



# **STIC Search Report**

## **EIC 3600**

**STIC Database Tracking Number: 212810**

**To Examiner Kisten Apple**  
**Location: KNX 5A78**  
**Art Unit: 3693**  
**Thursday, May 31, 2007**  
**Case Serial Number: 09/816264**

**From: Ginger Roberts DeMille**  
**Location: EIC 3600**  
**KNOX/4B59**  
**Phone: 571-272-3522**  
**Ginger.demille@uspto.gov**

### **Search Notes**

Dear Examiner Apple:

Please find attached the results for your search on the case serial number above.

The search was conducted using the business methods search template files.

If you have any questions, please do not hesitate to contact me.

Thank you for using the EIC!!

Ginger



705/35 (Se) 25833

# STIC EIC 3600 Search Request Form

Today's Date: 4

Class/Subclass

What date would you like to use to limit the search?

Priority Date:

Other:

Name Kirsten Apple

AU 3693 / Examiner # 81241

Room # 5A78 Phone 2-5588

Serial # 09/816,264

Format for Search Results (Circle One):

PAPER

DISK

EMAIL

Where have you searched so far?

USP DWPI EPO JPO ACM IBM TDB

IEEE INSPEC SPI Other \_\_\_\_\_

What is the topic, novelty, motivation, utility, or other specific details defining the desired focus of this search? Please include the concepts, synonyms, keywords, acronyms, definitions, strategies, and anything else that helps to describe the topic. Please attach a copy of the abstract, background, brief summary, pertinent claims and any citations of relevant art you have found.

Full search for allowance.

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24

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of Lishi  
5/23/07

need by 5/25/07 ~~RUSA~~

STIC Searcher Angela DeMille

Phone 2-3522

Date picked up 5-30-07

Date Completed 5-30-07





# STIC Search Results Feedback Form

**EIC 3600**

Questions about the scope or the results of the search? Contact *the EIC searcher or contact:*

**Karen Lehman, EIC 3600 Team Leader**  
**KNX 4A58, 571-271-3496**

## Voluntary Results Feedback Form

➤ I am an examiner in Workgroup:  Example: 3620 (optional)

➤ Relevant prior art **found**, search results used as follows:

- ☐ 102 rejection
- ☐ 103 rejection
- ☐ Cited as being of interest.
- ☐ Helped examiner better understand the invention.
- ☐ Helped examiner better understand the state of the art in their technology.

*Types of relevant prior art found:*

- ☐ Foreign Patent(s)
- ☐ Non-Patent Literature  
(journal articles, conference proceedings, new product announcements etc.)

➤ Relevant prior art **not found**:

- ☐ Results verified the lack of relevant prior art (helped determine patentability).
- ☐ Results were not useful in determining patentability or understanding the invention.

**Comments:**

**Drop off or send completed forms to EIC3600 PK5 Suite 804**



? show files

[File 15] **ABI/Inform(R)** 1971-2007/May 29

(c) 2007 ProQuest Info&Learning. All rights reserved.

[File 16] **Gale Group PROMT(R)** 1990-2007/May 28

(c) 2007 The Gale Group. All rights reserved.

[File 20] **Dialog Global Reporter** 1997-2007/May 30

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[File 47] **Gale Group Magazine DB(TM)** 1959-2007/May 18

(c) 2007 The Gale group. All rights reserved.

[File 88] **Gale Group Business A.R.T.S.** 1976-2007/May 24

(c) 2007 The Gale Group. All rights reserved.

[File 148] **Gale Group Trade & Industry DB** 1976-2007/May 28

(c) 2007 The Gale Group. All rights reserved.

[File 180] **Federal Register** 1985-2007/May 22

(c) 2007 format only DIALOG. All rights reserved.

[File 194] **FBODaily** 1982/Dec-2007/Mar

(c) format only 2007 Dialog. All rights reserved.

[File 247] **ONTAP(R) Gale Group Magazine Index(TM)**

(c) 1999 The Gale Group. All rights reserved.

[File 275] **Gale Group Computer DB(TM)** 1983-2007/May 28

(c) 2007 The Gale Group. All rights reserved.

[File 340] **CLAIMS(R)/US Patent** 1950-07/May 24

(c) 2007 IFI/CLAIMS(R). All rights reserved.

*\*File 340: The 2006 reload is online as of December 1, 2006. IPCR/8 is available.*

[File 348] **EUROPEAN PATENTS** 1978-2007/ 200721

(c) 2007 European Patent Office. All rights reserved.

*\*File 348: For important information about IPCR/8 and forthcoming changes to the IC= index, see HELP NEWSIPCR.*

[File 349] **PCT FULLTEXT** 1979-2007/UB=20070525UT=20070518

(c) 2007 WIPO/Thomson. All rights reserved.

*\*File 349: For important information about IPCR/8 and forthcoming changes to the IC= index, see HELP NEWSIPCR.*

[File 351] **Derwent WPI** 1963-2007/UD=200732

(c) 2007 The Thomson Corporation. All rights reserved.

[File 471] **New York Times Fulltext** 1980-2007/Jun 01

(c) 2007 The New York Times. All rights reserved.

[File 484] **Periodical Abs Plustext** 1986-2007/May W3

(c) 2007 ProQuest. All rights reserved.

[File 485] **Accounting & Tax DB** 1971-2007/May W2

(c) 2007 ProQuest Info&Learning. All rights reserved.

[File 486] **Press-Telegram** 1992- 2007/May 24

(c) 2007 Long Beach Press-Telegram. All rights reserved.

[File 582] **Augusta Chronicle** 1996- 2007/May 26

(c) 2007 Augusta Chronicle. All rights reserved.

[File 608] **KR/T Bus.News.** 1992-2007/May 29

(c)2007 Knight Ridder/Tribune Bus News. All rights reserved.

[File 610] **Business Wire** 1999-2007/May 30

(c) 2007 Business Wire. All rights reserved.

*\*File 610: File 610 now contains data from 3/99 forward. Archive data (1986-2/99) is available in File 810.*

[File 624] **McGraw-Hill Publications** 1985-2007/May 29

(c) 2007 McGraw-Hill Co. Inc. All rights reserved.

*\*File 624: Homeland Security & Defense and 9 Platt energy journals added Please see HELP NEWS624 for more*

[File 635] **Business Dateline(R)** 1985-2007/May 26

(c) 2007 ProQuest Info&Learning. All rights reserved.

[File 636] **Gale Group Newsletter DB(TM)** 1987-2007/May 28

(c) 2007 The Gale Group. All rights reserved.

[File 649] **Gale Group Newswire ASAP(TM)** 2007/May 29

(c) 2007 The Gale Group. All rights reserved.

[File 654] **US PAT.FULL.** 1976-2007/MAY 29

(c) Format only 2007 Dialog. All rights reserved.

*\*File 654: IPCR/8 classification codes now searchable in 2006 records. For information about IC= index changes, see HELP NEWSIPCR.*

[File 660] **Federal News Service** 1991-2002/Jul 02

(c) 2002 Federal News Service. All rights reserved.

*\*File 660: This file no longer updates*

[File 702] **Miami Herald** 1983-2007/May 25

(c) 2007 The Miami Herald Publishing Co. All rights reserved.

[File 713] **Atlanta J/Const.** 1989-2007/May 27

(c) 2007 Atlanta Newspapers. All rights reserved.

[File 717] **The Washington Times** Jun 1989-2007/May 27  
(c) 2007 Washington Times. All rights reserved.

[File 725] **(Cleveland)Plain Dealer** Aug 1991-2007/May 29  
(c) 2007 The Plain Dealer. All rights reserved.

[File 734] **Dayton Daily News** Oct 1990- 2007/May 28  
(c) 2007 Dayton Daily News. All rights reserved.

[File 781] **ProQuest Newsstand** 1998-2007/May 11  
(c) 2007 ProQuest Info&Learning. All rights reserved.

[File 990] **NewsRoom Current** Jan 1 -2007/May 29  
(c) 2007 Dialog. All rights reserved.

```
; d s
Set      Items  Description
S1       138    S (BILLING OR BILL OR BILLS OR INVOICE OR INVOICES OR INVOICING OR
STATEMENT OR VOUCHER OR PURCHASE()ORDER? ?) (20N) (LINEITEM? ? OR LINE(N) ITEM?
?) (8N) (TAX?) (8N) (CODE? ? OR UPC OR UPN OR NUMBER) (8N) (AGGREGAT? OR COLLECT? OR ASSIGN? OR
APPLY? OR MATCH? OR DETERMIN? OR INPUT? OR CLASSIF? OR INDEX? OR CATEGOR?)
S2       108    RD (unique items)
S3       48     S S2 NOT PY>2002
S4       48     RD (unique items)
```

? t 4/3,k/all

4/3,K/1 (Item 1 from file: 15) **Links**

ABI/Inform(R)

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02406669 147328101

**Purchasing cards grow up**

Anderson, Stanley W

Credit Card Management v15n5 pp: 56

Aug 2002

ISSN: 0896-9329 **Journal Code: CCM**

**Word Count: 775**

**Text:**

...on card use as to the type of merchant, the specific merchant, the amount and number of transactions allowed per day and per month.

\* The introduction of enhanced data captured at the point of sale-- Level II, which is tax and accounting data, and Level III, which is line-item detail normally found on an invoice. Related to this is the definition and transmission of specific data fields for sales taxes, value-added taxes, general ledger accounting

**codes**, commodity **codes**, expanded merchant name, location, identification numbers and freight costs-all incorporated into standard requirements for...

...large wholesalers who traditionally never accepted credit cards to small, women- and minority-owned business, **collectively** adding to the total merchant acceptance base.

\* Next-day settlement, thus reducing the issuer's...

4/3,K/2 (Item 2 from file: 15) [Links](#)

ABI/Inform(R)

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02283257 72793644

**The ongoing controversy over business combinations and intangible assets**

Murphy, Elizabeth A

Ohio CPA Journal v60n2 pp: 57-59

Apr-Jun 2001

ISSN: 0749-8284 **Journal Code: OCP**

**Word Count: 1995**

**Text:**

...reliably measured. Nonetheless, segregation of intangibles was not considered likely, due to the proposed income **statement** presentation of goodwill charges. Given the subjective nature of intangible asset valuations, some respondents suggested that separate recognition should **apply** to only the intangibles that could be sold separately.

The income **statement** presentation of the amortization of goodwill and impairment losses as a separate **line item**, net of **tax**, immediately before discontinued operations generated the largest **number** of comments. There was support for the expense and impairment loss recognition, but only if the proposed income **statement classification** outside of operating expenses was applied to all acquired intangible assets. Almost all respondents felt...

4/3,K/3 (Item 3 from file: 15) [Links](#)

ABI/Inform(R)

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01400771 00051758

**The federal Line-Item Veto: What is it and what will it do?**

Joyce, Philip G; Reichauer, Robert D

Public Administration Review v57n2 pp: 95-104

Mar/Apr 1997

ISSN: 0033-3352 **Journal Code: PAR**

**Word Count: 9806**

**Text:**

...the kinds of budgetary and institutional effects discussed above. In addition to these, however, a **number** of other potentially important but less global issues could be addressed. The line-item veto will make it more likely that the president will sign **bills** passed by Congress. With the **line-item** veto, the president may feel more compelled to sign legislation because, as noted above, the enhanced rescission procedure does not **apply** to laws without the president's signature. This effect, of course, would only be evident for appropriation **bills**, **tax bills** with limited benefits, and authorizing legislation providing new entitlement authority.

The president may use of...

4/3,K/4 (Item 4 from file: 15) **Links**

ABI/Inform(R)

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00766033 94-15425

**Paperless payables at Lord**

Pavlinko, Jean L

Management Accounting v75n1 pp: 32-34

Jul 1993

ISSN: 0025-1690 **Journal Code: NAA**

**Word Count: 2009**

**Text:**

...generate a debit memo automatically.

The check stub was changed to reflect the packing slip **number** rather than an **invoice number**. The receiving transaction was changed to disallow duplicate packing slip numbers and to identify the packing slip **line item** so suppliers could **apply** cash accurately without an **invoice number**.

How to take advantage of discounts was the next problem. We updated vendor files to...

...terms. Because automatic vouchering creates no backlog, 100% of available discounts are taken.

Handling sales **tax** was another problem. The automatic vouchering program was written to include a step that checked...

4/3,K/5 (Item 5 from file: 15) **Links**



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00581679 91-56026

**Accounting Software for Under \$50: Pacioli 2000**

Winsten, Irwin

CPA Journal v61n11 pp: 28-36

Nov 1991

ISSN: 0732-8435 Journal Code: CPA

Word Count: 3980

Text:

...01, .05, .10, .50 or \$1.00. Five discount levels are provided for each discount code. Discounts are computed by line item, not by invoice total. Every customer and vendor can be assigned a price type A, B, or C. In turn, each inventory item can be given a discount code for each of the three price types.

Sales Tax. One sales tax code can be assigned to each transaction. The software does not accumulate sales tax data.

Both balance forward and open item accounts are supported. There are two optional six...

...fields called Code 1 and Code 2 that can be used for salesperson or other classification. The problem with a keyed-in field such as this is that any entry error...

4/3,K/6 (Item 1 from file: 16) Links

Gale Group PROMT(R)

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09991671 Supplier Number: 90333049 (USE FORMAT 7 FOR FULLTEXT)

**Purchasing cards grow up. (Afterthoughts).(Brief Article)**

Anderson, Stanley W.

Credit Card Management , v 15 , n 5 , p 56(1)

August , 2002

Language: English Record Type: Fulltext

Article Type: Brief Article

Document Type: Magazine/Journal ; Trade

Word Count: 816

...on card use as to the type of merchant, the specific merchant, the amount and number of transactions allowed per day and per month.

\* The introduction of enhanced data captured at the point of

sale--Level II, which is **tax** and accounting data, and Level III, which is **line-item** detail normally found on an **invoice**. Related to this is the definition and transmission of specific data fields for sales **taxes**, value-added **taxes**, general ledger accounting **codes**, commodity **codes**, expanded merchant name, location, identification numbers and freight costs--all incorporated into standard requirements for...

...large wholesalers who traditionally never accepted credit cards to small, women- and minority-owned business, **collectively** adding to the total merchant acceptance base.

\* Next-day settlement, thus reducing the issuer's...

4/3,K/7 (Item 2 from file: 16) **Links**

Gale Group PROMT(R)

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03198841 **Supplier Number: 44381464 (USE FORMAT 7 FOR FULLTEXT)**

## **MANZANITA SOFTWARE INTRODUCES FLEXWARE 7 WITH HIGHER PERFORMANCE, LOWER PRICE**

PR Newswire , p N/A

Jan 24 , 1994

**Language:** English **Record Type:** Fulltext

**Document Type:** Newswire ; Trade

**Word Count:** 1571

...set up price  
discount structures based on customer ID, customer type, part number, or product **category**. Users can set up surcharges or discounts (percentage or flat dollar charge), sale start dates...

...graphical, drill-  
down reporting capabilities for management.  
-- True as-of aging.  
-- Shows credit available.  
-- Sales **tax** on a line item basis.  
- - Fax **number** on customer record.  
-- A newlookup feature for **invoice**  
numbers allows users to easily  
find an **invoice** even if the customer ID is not known.  
Accounts Payable  
-- Flash Reports using Microsoft Excel...

4/3,K/8 (Item 3 from file: 16) **Links**

Gale Group PROMT(R)

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02684100 **Supplier Number: 43582474 (USE FORMAT 7 FOR FULLTEXT)**

## NEW PENTA MODULE AUTOMATES SUBCONTRACT ADMINISTRATION AND CONTROL

News Release , p 1

Jan 15 , 1993

Language: English Record Type: Fulltext

Document Type: Magazine/Journal ; Trade

Word Count: 530

...is issued

- Record individuals responsible for issuance and approval of each subcontract
- Establish an unlimited number of individual subcontract line items specifying the work to be performed, linking project activities to their agreed-upon contract amounts
- Match vendor/subcontractor invoices with line item amounts to prevent over-billing
- Exercise automatic control over release of subcontractor payments based upon subcontract status, project/subcontract-level insurance and bonding status, document status (lien waivers signed and returned), approval of invoices by authorized personnel, proper tax identification, receipt of owner payment, and/or other user-defined factors such as project performance
- Track all changes to subcontracts and line items in detail, with links to project-level change control systems
- Maintain detailed activity history by date for each line item
- Produce reports combining budgeted, committed, actual (invoiced), paid, and forecast costs that are sorted and...

4/3,K/9 (Item 4 from file: 16) Links

Gale Group PROMT(R)

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01904759 Supplier Number: 42424639 (USE FORMAT 7 FOR FULLTEXT)

## TCI Outlines Customer Communication Plan

Multichannel News , v 12 , n 40 , p 7

Oct 7 , 1991

**Language:** English **Record Type:** Fulltext  
**Document Type:** Magazine/Journal ; Trade  
**Word Count:** 509

...measures to improve its image with subscribers.

The MSO also reported modest gains in a **number** of areas related to customer satisfaction as a result of its 33-point Customer 1st...

...to be controversial among local cable regulators involves breaking out franchise fees as a separate **line item** on subscriber bills, which TCI said it will begin doing in the spring of 1992.

With skyrocketing state and local **taxes**, a growing **number** of which **apply** to cable services, there's no reason that subscribers should be liable for a **tax** on any of the \$150 million that TCI annually pays to local governments, TCI said...

4/3,K/10 (Item 1 from file: 20) [Links](#)

Dialog Global Reporter

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26571900 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Lid Tightens on Seattle-Area Property Taxes**

Drew DeSilver

KRTBN KNIGHT-RIDDER TRIBUNE BUSINESS NEWS - THE SEATTLE TIMES - WASHI

December 13, 2002

**Journal Code:** KSET **Language:** English **Record Type:** FULLTEXT

**Word Count:** 1874

(USE FORMAT 7 OR 9 FOR FULLTEXT)

...most counties found that more than two-thirds passed. King County voters approved a record **number** of **tax** proposals this year: 46 of 60 submitted.

Frustration surrounding the property **tax** is due in part to its opaque nature. Here's an attempt to shine a bit of light into the black box.

I get my property-**tax bill** each February, and I don't understand what all those **line items** represent.

One of the most important things to realize about the property **tax** is that it's really many **taxes** rolled into one big **bill**.

In Washington, some 1,500 entities can **collect** property **taxes**. The state gets around \$3 for every \$1,000 in property value for K-12...

4/3,K/11 (Item 1 from file: 47) [Links](#)

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03703307 **Supplier Number:** 11936355 (USE FORMAT 7 OR 9 FOR FULL TEXT )

**Don't lose a single cent -- the best ways to track expenses. (includes a related article on techniques) (Finance) (Column)**

Stern, Linda

Home Office Computing , v10 , n2 , p34(2)

Feb , 1992

**Document Type:** Column

ISSN: 0899-7373

**Language:** ENGLISH **Record Type:** FULLTEXT; ABSTRACT

**Word Count:** 1265 **Line Count:** 00094

...worksheet looks like a ledger; it has a separate, automatic-totaling column for each expense **category** on Schedule C. There are columns along the left of the spreadsheet for the date, receipt **number**, amount, and expense **category**. If I want to see all of my expenses for a particular **category** together, I simply sort the entries by **category**. I **number** my receipts with the **line item** of the expense as I'm entering it. Since I use my spreadsheet to record my income as well, I always know roughly what my **taxable** income for the year looks like.

Time and expense trackers. People who **bill** their clients for many of their expenses need a different kind of record-keeping system...

4/3,K/12 (Item 2 from file: 47) **Links**

Gale Group Magazine DB(TM)

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03618148 **Supplier Number:** 11295257 (USE FORMAT 7 OR 9 FOR FULL TEXT )

**CYMA Professional Accounting Series. (from CYMA Systems Inc.) (Software Review) (one of nine evaluations of local area network-based accounting software packages in 'Downsizing to LAN-based accounting') (evaluation)**

Mullins, Barbara J.

PC Magazine , v10 , n17 , p148(3)

Oct 15 , 1991

**Document Type:** evaluation

ISSN: 0888-8507

**Language:** ENGLISH **Record Type:** FULLTEXT; ABSTRACT

**Word Count:** 1110 **Line Count:** 00085

...Record Invoice Entries menu option. Instead of entering invoice line items, you select a product **code** designated as Pay-ment. CYMA's A/R doesn't calculate commissions, but you can...

...based upon customer, terms, or salesperson.

ORDERS AND INVENTORY IN ONE

CYMA packages O/E, **purchase order**, and inventory functions all in one module. O/E allows for sales of both stocked and nonstocked items, tracks the earliest and latest ship dates, and **assigns tax by line item**.

Heavy distributors may be concerned with certain weaknesses in O/E. You cannot create quotes...

4/3,K/13 (Item 3 from file: 47) [Links](#)

Gale Group Magazine DB(TM)

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03306318 **Supplier Number: 07592952 (USE FORMAT 7 OR 9 FOR FULL TEXT )**

**Solomon III. (Software Review) (one of eight local area network-based accounting software packages evaluations) (evaluation)**

Colvin, Suzie; Lee, Timothy; White, Tom

PC Magazine , v8 , n16 , p232(4)

Sept 26 , 1989

**Document Type:** evaluation

ISSN: 0888-8507

**Language:** ENGLISH **Record Type:** FULLTEXT; ABSTRACT

**Word Count:** 2432 **Line Count:** 00199

...Graph Designer module may be used to generate excellent management and marketing reports using customer **classifications** and salesperson **codes**.

You can quickly and automatically **apply** payments against specific invoices or manually **apply** partial payments on an individual-item basis against a specific invoice.

ORDER ENTRY/INVOICING

The well-designed O/E and **Invoicing** module can be integrated with Inventory Control. If you are in a service industry, you may not even need the Inventory Control module, since O/E supports **invoicing** and debit/credit memos for sales returns, adjustments, and exchanges.

**Invoices** can have an unlimited **number of line items**, and **taxes** and G/L accounts can be specified for each item. But discounts cannot be automatically applied on a **line-item** basis, and the system falls short if your customers require that you reference their **purchase orders** on this basis.

During data entry, defaults are filled in from the customer record. Credit balances and customer statistical information are updated when the **invoice** is printed.

You can process regular orders, standard orders, cash orders, back orders, drop shipments...

4/3,K/14 (Item 4 from file: 47) [Links](#)

Gale Group Magazine DB(TM)

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02879224 **Supplier Number:** 04376501 (USE FORMAT 7 OR 9 FOR FULL TEXT )

**The open road to integrated accounting. (Price Waterhouse report) (evaluation)**

Dauphinais, G. William; Conor, Terri C.; Lee, Timothy J.

PC Magazine , v5 , p209(7)

Sept 30 , 1986

**Document Type:** evaluation

**Language:** ENGLISH **Record Type:** FULLTEXT

**Word Count:** 4863 **Line Count:** 00388

...When integrated with the Inventory module, it updates inventory records as soon as you approve **line items** on the **invoice**.

When you install Accounts Receivable, you are given several options for defining discounts, finance charges, **taxes**, and account coding for sales. You can define nine different discount terms for use in **invoicing** customers. A finance charge percentage can be established for each company to calculate and assess finance charges on overdue customer balances. Nine sales **tax** percentage **codes** can also be predefined. Eighteen pairs of general ledger account **number** combinations, which can be established to book sales and cost of sales, are specified on each **invoice line item** to allow for posting of your financial accounts. This approach represented a constraint to one...

...to 99, enabling our client to record sales and cost-of-sales amounts in specific **categories** or departments.

You must enter customer and ship-to-address information before processing transactions, just...

...Sales Order Processing module, the system automatically generates invoices for Accounts Receivable. Three types of **invoice** transactions are recognized by the system: credit sales, cash sales, and credit memos. **Invoice** header information calls for the customer ID, salesperson, terms, customer order **number** and date, ship-to address, shipping **number**, and shipping date. Using the **Invoice Detail** screen, you then enter the **line items** of your order, including a **tax code** and general ledger account combination **code**. If the quantity shipped is less than the quantity ordered, the dollar amount of back-ordered items is calculated and displayed. Total sales **tax** is automatically calculated from the **tax code** you supplied and is displayed after you enter each **line item**. You can also enter freight and any miscellaneous changes to the **invoice**.

The Cash Receipts screen is simple and effective for recording payments received from customers, as...

...have not been billed. After entering the customer ID and the check

number, you can **apply** a payment against a specific invoice or account. If you use the open-item method...

4/3,K/15 (Item 1 from file: 148) [Links](#)  
Gale Group Trade & Industry DB  
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0020112551 **Supplier Number: 90116651 (USE FORMAT 7 OR 9 FOR FULL TEXT )**  
**Unrelated business income tax returns, 1998.(Statistical Data Included)**

Riley, Margaret  
Statistics of Income. SOI Bulletin , 21 , 4 , 187(29)  
Spring , 2002  
**Document Type:** Statistical Data Included  
**ISSN:** 0730-0743  
**Language:** English  
**Record Type:** Fulltext  
**Word Count:** 13045 **Line Count:** 02188

...major industrial sectors (Public Administration is excluded) and 164 selected industries. In addition, five special **codes** are provided with the Form 990-T NAICS list that describe specific types of unrelated business activities, which correspond to **line-item** descriptions in the income **statement** section of the **tax** return. These five **classifications** are "unrelated debt-financed activities, except rental of real estate," "investment activities of **Code** section 501(c)(7), (9), or (17) organizations," "passive income activities with controlled organizations," "rental..."

4/3,K/16 (Item 2 from file: 148) [Links](#)  
Gale Group Trade & Industry DB  
(c)2007 The Gale Group. All rights reserved.  
09971563 **Supplier Number: 20126792 (USE FORMAT 7 OR 9 FOR FULL TEXT )**  
**NCBFAA promotes paperless invoices. (National Customs Brokers and Forwarders Assn. of America)**

American Shipper , v39 , n11 , p71(1)  
Nov , 1997  
**ISSN:** 1074-8350  
**Language:** English  
**Record Type:** Fulltext; Abstract  
**Word Count:** 598 **Line Count:** 00051

...of the goods somehow.  
"Why not use this authority to receive selected information from



commercial invoices?" asked Menendez, president of Sack & Menendez, a Tampa-based customs broker.

Menendez said most invoice information already is contained within entries under Customs' Automated Broker Interface program.

"Brokers could add some data elements to these records to create what amounts to an electronic invoice on a line-item basis," he said.

EEEP builds on Customs Form 7501, the standard U.S. Customs entry form, and adds only the invoice details required under the law.

For electronic invoices, brokers must include shipment information, such as name and address of seller, commercial invoice number, item or part number, commercial description, entered value, country of origin, ruling number, weights and quantity. All new data is placed in existing Automated Broker Interface records, including visa, category, license, duty, tax and fee information.

Brokers' ability to transmit EEEP invoices is indicated in their systems by...

4/3,K/17 (Item 3 from file: 148) [Links](#)

Gale Group Trade & Industry DB

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06719457 **Supplier Number: 14506297 (USE FORMAT 7 OR 9 FOR FULL TEXT)**

**Paperless payables at Lord. (Lord Corp.'s Industrial Products Division)**

Pavlinko, Jean L.

Management Accounting (USA), v75, n1, p32(3)

July, 1993

ISSN: 0025-1690

**Language: ENGLISH**

**Record Type: FULLTEXT; ABSTRACT**

**Word Count: 2149 Line Count: 00171**

...generate a debit memo automatically.

The check stub was changed to reflect the packing slip number rather than an invoice number. The receiving transaction was changed to disallow duplicate packing slip numbers and to identify the packing slip line item so suppliers could apply cash accurately without an invoice number.

How to take advantage of discounts was the next problem. We updated vendor files to...

...terms. Because automatic vouchering creates no backlog, 100% of available discounts are taken.

Handling sales tax was another problem. The automatic vouchering program was written to include a step that checked...

4/3,K/18 (Item 4 from file: 148) [Links](#)

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05582916 **Supplier Number:** 11885104 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**A new profile for Profile 90. (Target Information Systems Inc.'s computer software)**

Schell, Ernest H.

Catalog Age , v8 , n10 , p101(3)

Oct , 1991

ISSN: 0740-3119

**Language:** ENGLISH

**Record Type:** FULLTEXT

**Word Count:** 2039 **Line Count:** 00171

...Order processing: Order-entry, on-line customer/order look-up by name/business name/zip **code**/account **number**/order **number**/key word, real-time inventory status/pricing, item look-up by SKU/key word/listing, item substitution, multiple ship-tos, **line item**/order cancellation, order customer credit check, cash applied/unapplied, electronic or manual credit card authorization, credit authorization **billing** interface, special handling instructions, dated delivery instructions, freeform messages, unlimited customer correspondence tracking, upsell/cross-sell/technical data scripts, monograms and personalization, automatic acknowledgement letters, freight charges by offer/version/**line-item**/item count/item **category/number** of pieces within item **category/order** total/destination, key/catalog **code**, order and line-item status, defaults to previous credit card **number**, multiple/split payment types, consolidate/ship-complete parameters, continuity orders, cash receipts balancing, sales **tax** by zip code, returns inquiry.

Fulfillment: Single or batch processing for pick and pack with...

4/3,K/19 (Item 5 from file: 148) **Links**

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05526884 **Supplier Number:** 11591985 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Accounting software for under \$50: Pacioli 2000. (Evaluation)**

Winsten, Irwin

CPA Journal , v61 , n11 , p28(6)

Nov , 1991

**Document Type:** Evaluation

ISSN: 0732-8435

**Language:** ENGLISH

**Record Type:** FULLTEXT; ABSTRACT

**Word Count:** 3706 **Line Count:** 00293

...nearest .01, .05, .50 or \$1.00. Five discount levels are provided for each discount **code**. Discounts are computed by **line item**, not by **invoice total**. Every customer and vendor can be **assigned** a price type A, B, or C. In turn, each inventory item can be given a discount **code** for each of the three price types.

**Sales Tax**. One sales **tax code** can be **assigned** to each transaction. The software does not accumulate sales **tax data**.

Both balance ...fields called Code 1 and Code 2 that can be used for salesperson or other **classification**. The problem with a keyed-in field such as this is that any entry error...

4/3,K/20 (Item 6 from file: 148) **Links**  
Gale Group Trade & Industry DB  
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05507311 **Supplier Number: 11423026 (USE FORMAT 7 OR 9 FOR FULL TEXT)**  
**TCI outlines customer communication plan. (Tele-Communications Inc.)**

Thompson, Rachel W.  
Multichannel News , v12 , n40 , p7(1)  
Oct 7 , 1991  
ISSN: 0276-8593  
**Language: ENGLISH**  
**Record Type: FULLTEXT**  
**Word Count: 537 Line Count: 00041**

...measures to improve its image with subscribers.

The MSO also reported modest gains in a **number** of areas related to customer satisfaction as a result of its 33-point Customer 1st ...

...to be controversial among local cable regulators involves breaking out franchise fees as a separate **line item** on subscriber **bills**, which TCI said it will begin doing in the spring of 1992.

With skyrocketing state and local **taxes**, a growing **number** of which **apply** to cable services, there's no reason that subscribers should be liable for a **tax** on any of the \$150 million that TCI annually pays to local governments, TCI said...

4/3,K/21 (Item 1 from file: 180) **Links**  
Federal Register  
(c) 2007 format only DIALOG. All rights reserved.  
**DIALOG Accession Number: 02391182 Supplier Number: 960501947**  
**Promoting Wholesale Competition Through Open Access Non-Discriminatory Transmission Service by Public Utilities; Recovery of Stranded Costs by Public Utilities and Transmitting Utilities**

**Volume: 61 Issue: 92 Page: 21540**  
**Citation Number: 61 FR 21540**  
**Date: FRIDAY, MAY 10, 1996**  
**Text:**

...of the Final Rule in the Federal Register and must also be included as a line item on all bills submitted to wholesale customers in the third month following the effective date of this final...

4/3,K/22 (Item 2 from file: 180) [Links](#)  
Federal Register  
(c) 2007 format only DIALOG. All rights reserved.  
**DIALOG Accession Number: 02303376 Supplier Number: 931202620**  
**North American Free Trade Agreement**

**Volume: 58 Issue: 249 Page: 69460**  
**Citation Number: 58 FR 69460**  
**Date: THURSDAY, DECEMBER 30, 1993**  
**Text:**

...good in the same condition:

(A) A completed Customs Form 7539J. In addition, the tariff classification number of the imported goods shall be recorded on the form;

(B) Customs Form 7501 and copies of commercial invoices. The form must show the entry number, date of entry, port of importation, date of importation, importing carrier, and importer of record or ultimate consignee name and Customs or taxpayer identification number. Explicit line item information shall be clearly noted on the Customs Form 7501 and commercial invoices so that the subject goods

4/3,K/23 (Item 3 from file: 180) [Links](#)  
Federal Register  
(c) 2007 format only DIALOG. All rights reserved.  
**DIALOG Accession Number: 02222578 Supplier Number: 910202577**  
**Department of Defense Acquisition Regulations; Miscellaneous Amendments**

**Volume: 56 Issue: 31 Page: 6056**  
**Citation Number: 56 FR 6056**  
**Date: THURSDAY, FEBRUARY 14, 1991**  
**Text:**

...item numbers shall be sequential but need not be consecutive.

(b) The contract line item **number** shall be the same as the solicitation line item **number** unless there is a valid reason for using different numbers.

(c) Once a contract line item **number** has been assigned, it shall not be assigned to another, different, contract line item in the same contract.

204.7104 Contract subline items.

204.7104-1 Criteria for establishing.

Contract subline items provide flexibility to further identify elements within a contract line item for tracking performance or simplifying administration. There are only two kinds of subline items: those...

...items. (1) This type of subline item identifies information that relates directly to the contract line item and is an integral part of it (e.g., parts of an assembly or parts...

4/3,K/24 (Item 1 from file: 194) **Links**

FBODaily

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4468559

**MK 95 MOD 1 GUN MOUNT (PRESOL) SOL N0016403R4801 POC NICK ROBBINS, CONTRACT SPECIALIST 812-854-6698 FAX 812-854-5095 WEB:**

Click here to download synopsis <http://www.crane.navy.mil/supply/03R4801/03R4801.htm> E-MAIL: Email your questions to Click here to contact the Contract Specialist [robbins\\_n@crane.navy.mil](mailto:robbins_n@crane.navy.mil) This is a combined synopsis/solicitation for commercial items prepared in accordance with the format in FAR 12.6, as supplemented with additional information included in this notice. This announcement constitutes the only solicitation; proposals are being requested and a written solicitation will not be issued. Solicitation Number N00164-03-R-4801 is hereby issued as a request for Proposal (RFP). Incorporated provisions and clauses are those in effect through Federal Acquisition Circular 2001-10 as well as DCN 20021122. The North American Industry Classification System (NAICS) Code for this procurement is 332710 and the size standard is 500 employees. This requirement is for the following: CLIN 0001 - A Minimum quantity of 1EA. CLIN 0002 - A Maximum quantity of 1,000 EA for the MK 95 Mod1 Gun-Mounts. Evaluation for award shall be based on past performance and price. Past performance shall be significantly more important than price in making the source selection. See link for Pricing Evaluation on [www.crane.navy.mil/supply/03R4801/03R4801.htm](http://www.crane.navy.mil/supply/03R4801/03R4801.htm). A Drawing Package, which consists of drawing numbers: 5781491, 5781492, 5781505, 5793976, 6086235, 6086047, 6086287, 6650397, 6650402, 6650507, 6650508, 6650511, 6650512, 6650513, 6650514, 6650515, 6650564, 6650565, 6600566, 6650568, 6650570, 6650571, 6650665, 6650666, 6650667, 6650667, 6650669, 6650670, 6650677, 6650678, 6650679, 6650680, 6650681, 6650682, 6650683, 6650684, 6650685, 6650686, 6650687, 6650690, 6650691, 6650692, 701978, 7103290, 7109633, 7109634, 7109635, 7109636, 7109637, 7109638, 7109639, 7109640, 7109641, 7117793, 7117794, 7117795, 7232123, 7308318, 7308357, 7308358, 7520951 will be distributed via CD-ROM upon request by

contractor. The MK 95 Mod1 Gun-Mounts shall be manufactured in accordance with the aforementioned drawing numbers. See POC information in the latter portion of this document. Offers that are non-compliant with any material requirement of this solicitation may be rejected without further consideration for award. Award of a firm-fixed price, 3-year Indefinite Delivery, Indefinite Quantity (IDIQ) contract is anticipated. Simplified Acquisition Procedures pursuant to Federal Acquisition Regulation (FAR) 13.5 will be utilized. The Government reserves the right to award multiple contracts resulting from this solicitation. In the event multiple contracts are awarded, delivery orders will be competed among awardees. Delivery shall begin with 20 units due 60 days after date of order and continue at a rate of 20 units every 30 days thereafter until complete. Delivery shall be FOB destination, NAVSURFWARCENDIV 300 Highway 361 Crane, IN 47522-5011 BLD G 2521. Inspection and acceptance will also be at destination. The contractor shall extend to the Government the full coverage of commercial sale warranty provided such warranty is available at no additional cost to the Government.

Clauses/provisions: 52.203-3; 52.211-14 (DO X /DX); 52.211-15; 52.212-1; 52.212-3 Alt 1 (FILL-IN) (NOTE: paragraph (b) of 52.212-3 is not applicable); NOTE: This provision MUST be filled out completely and submitted with the offer. 52.212-4; 52.212-5 (incorporating 52.219-4; 52.219-8; 52.222-21; 52.222-26; 52.222-35; 52.222-36; 52.222-37; 52.225-13; 52.232-33); 52.215-05; 52.216-19 (orders may be issued from date of contract through 3 years after date of contract.); 52.216-19 ((a) Minimum order, 1 EA MK 95 Gun-Mount;(b)(1) Maximum order, 999 EA MK95 Gun-Mounts;(b)(2) 1,000 (b)(3) 30 days);52.216-22(....Contractor shall not be required to make any deliveries under this contract after to be **determined**.) 52.242-15; 252.204-7004; 252.212-7000; 252.212-7001 (incorporating 252.225-7001; 252.225-7012; 252.243-7002; 252.247-7023). The offeror shall provide its Commercial and Government Entity (CAGE) Code, Contractor Establishment Code and Tax Identification Number. Addendum to 52.212-4(g): The Contractor shall include, as a minimum, the following information on each **invoice**: (1) Name and address of the Contractor; (2) **Invoice number** and date and shall be marked ?Original **Invoice**?; (3) **Contract number**, **contract line item number** and, if applicable, the **order number**; (4) Description, quantity, unit of measure, unit price and extended price of the items delivered or services performed and an invoice total; (5) Shipping number and date of shipment including the bill of lading number and weight of shipment if shipped on Government bill of lading; (6) Terms of any prompt payment discount offered; (7) Name and address of official to whom payment is to be sent; and (8) Name, title, and phone number of person to be notified in event of defective invoice. Invoices will be handled in accordance with the Prompt Payment Act (31 U.S.C. 3903) and Office of Management and Budget (OMB) Circular A-125, Prompt Payment. Contractors are encouraged to assign an identification number to each invoice. MAIL INVOICES TO: Vendor Pay, Code 00M, Bldg 3173, NAVSURFWARCENDIV, 300 Highway 361, Crane IN 47522-5002. PAYMENT STATUS INQUIRIES-Status of invoice payments can be obtained from the following web site: [www.dfas.mil/money/vendor](http://www.dfas.mil/money/vendor) If the payment is being made by DFAS-Columbus use the MOCAS Vendor Pay Inquiry System (VPIS) site listed on the above web site. It is recommended that the vendor download the ?MOCAS VPIS Help Guide? and ?Reason and Remark Code Document?. You must then register by clicking on ?User Registration? under the subheading ?MOCAS Vendor Pay Inquiry System? before payment inquiries can be made. If payment is being made by other than DFAS-Columbus, status of invoice payment can be obtained through the Non-MOCAS System by cage code, contract number or DUNS number at the above listed web site. To determine which system to use see the following blocks of your contract document for payment offices designation: SF 26 Award/Contract-Blk 12; SF 33 Award/Contract-Blk 25; SF 1449 Solicitation/Contract/Order for Commercial Items-Blk 18a; DD1155 Order for Supplies or Services-Blk 15.

Addendum to 52.212-4: ADDITIONAL MARKING INSTRUCTIONS-In addition to any other marking instructions in this order, it is essential that all packages be accompanied by packing slips (preferably a DD 250) and all packing slips be located on the outside of the box or container and that all packages, shipping papers, and invoices be marked with the following information to permit effective receipt processing and timely payment: 1) Order Number; 2) Contract Line Item Numbers. The foregoing requirements must be passed on to any organization entity originating a shipment as a deliverable under this order. Caution: Any packages received which are not marked in accordance with the above requirements may be refused and returned to the contractor at the contractor's expense. BUSINESS

HOURS-Crane Division, Naval Surface Warfare Center, Crane, Indiana, allows flexible working hours for its employees. The normal eight-hour shift may be worked between the hours of 6:30 AM and 5:30 PM EST. Many of our employees work 6:30 AM to 3:00 PM as a regular practice. The core time, when all employees are scheduled to work, is 9:00 AM to 3:00 PM. To be eligible for award you must be properly registered in the Government's Central Contractor Registration (CCR). Offerors may obtain information on CCR registration and annual confirmation requirements by calling 1-888-227-2423, or via the internet at [www.ccr.gov](http://www.ccr.gov). If a change occurs in this requirement, only those offerors that respond to this announcement within the required time frame will be provided any changes/amendments and considered for future discussions and/or award. All responsible sources may submit an offer, which will be considered by the agency. Offers may be faxed to Mr. Nick Robbins, TELEPHONE 912-854-6698, FAX 812-854-5095 or e-mailed to [robbins\\_n@crane.navy.mil](mailto:robbins_n@crane.navy.mil). Complete mailing address is: Mr. Nick Robbins, Code 1162NF Bldg 2521, NAVSURFWARCENDIV Crane, 300 Highway 361, Crane IN 47522-5011. All required information must be received on or before 2:00PM January 06, 2003 Eastern Standard Time. Our mission is to provide quality and responsive acquisition services for this Command. In an effort to continue to improve our services, we are conducting a survey of our vendors. This survey may be found on the World Wide Web at the following address: <http://www.crane.navy.mil/supply/VendorSurvey.htm>. Your comments will help us determine if we are accomplishing this and show us ways to improve our processes. Please consider taking the time to complete the survey. Posted 12/17/02 (fbodaily.com W-SN00225450). (0351)

**Sponsor:** N00164 300 Highway 361, Building 2521 Crane, IN 47522-5001

**Publication Date:** December 19, 2002

**Issue:** FBO-0382

...Contractor shall not be required to make any deliveries under this contract after to be **determined**.) 52.242-15; 252.204-7004; 252.212-7000; 252.212-7001 (incorporating 252.225... 243-7002; 252.247-7023). The offeror shall provide its Commercial and Government Entity (CAGE) **Code**, Contractor Establishment **Code** and **Tax Identification Number**. Addendum to 52.212-4(g): The Contractor shall include, as a minimum, the following information on each **invoice**: (1) Name and address of the Contractor; (2) **Invoice number** and date and shall be marked ?Original **Invoice**?; (3) Contract **number**, contract **line item number** and, if applicable, the order **number**; (4) Description, quantity, unit of measure, unit price and extended price of the items delivered...

4/3,K/25 (Item 2 from file: 194) **Links**

FBODaily

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4428914

**DEMONSTRATION SAMPLES OF ENHANCED GRENADE LAUNCHER MODULE (EGLM)  
(PRESOL) SOL N0016403Q0007 POC**

Joyce Loughmiller, Purch. Agent 812-854-3902 FAX 812-854-3762 OR 812-854-5095 WEB: Click here to download synopsis <http://www.crane.navy.mil/supply/03Q0007/03Q0007.htm> E-MAIL: Email your questions to Click here to contact the Purchasing Agent. [loughmiller\\_j@crane.navy.mil](mailto:loughmiller_j@crane.navy.mil) This is a combined synopsis/solicitation for commercial items prepared in accordance with the format in FAR 12.6, as supplemented with additional information included in this notice. The announcement constitutes the only solicitation; proposals are being requested and a written solicitation will not be issued. Solicitation Number N00164-03-Q-0007 is hereby issued as a request for proposals (RFQ). Incorporated provisions and clauses are those in effect through Federal Acquisition Circular 2002-07 as well as DCN 20020531. The North American Industry Classification System (NAICS) Code for this procurement is 332992 and the size standard is 500 employees. This requirement is for the following: CLIN 0001 - A minimum quantity of 1 (one)/maximum quantity of 4 (four) Enhanced Grenade Launcher Modules

(EGLM) prototypes. These limited numbers of EGLM prototypes sought in this combined synopsis/solicitation will be utilized as aids at briefings, exhibits, live-fire demonstrations, and other activities to enhance public and government understanding of the EGLM Project (SOL N00164-01-R-0103). The EGLM samples sought in this announcement are to be functional prototypes (or production samples) that are capable of conducting the following tasks: (1) SAFETY: to be safely fired by unprotected personnel using standard 40mm munitions, (2) ACCURACY: First round (Objective, (O)), second round (Threshold, (T)) shall land within a 5-meter radius of a point target at unknown ranges out to 400 meters, under low wind conditions (less than 5 mph). (3) LIGHT CONDITIONS: Operate in daylight (T), darkness (O) (can be in conjunction with a government-furnished Night Vision Goggles), (4) RANGEFINDING: Capable of ranging a target (E-type silhouette) out to 500 meters (T), 1000 meters (O). (5) MOUNTING TO CARBINE: Able to quickly (not more than 5 minutes) mount on an M4A1 Carbine. (6) INDEPENDENT OPERATION: Able to be removed from the M4A1 Carbine and attached to a stock and/or grip that allow the EGLM to be fired in a stand-alone mode quickly (not more than 5 minutes). (7) STERILITY: Has no visible manufacturers markings or logos. This simplified seven-point performance specification is for this announcement only, and is not to be confused with the full performance specification for the EGLM Project (SOL N00164-01-R-0103). Simplified Acquisition Procedures will not be utilized. Delivery is required to begin within 60 days after contract award, and shall be FOB destination, NAVSURFWARCEMDIV Crane, inspection and acceptance will also be at destination. The government may select and accept one or more proposals for award; more than one award may result from this announcement. Selection for award will be based on past performance and price. Offers that exceed \$24,999 per item will be rejected as unreasonably expensive. Offers that are non-compliant with any material requirement of this solicitation may be rejected without further consideration for award. The Government intends to exhibit these EGLM samples, to include their method of operation; Intellectual Property protection is the responsibility of the vendor. The contractor shall extend to the Government the full coverage of commercial sale warranty provided such warranty is available at no additional cost to the Government. Clauses/provisions: 52.203-3; 52.211-14 (DO); 52.211-15; 52.212-1; 52.212-3 Alt I (FILL-IN); (NOTE: paragraph (b) of 52.212-3 is not applicable); NOTE: This provision MUST be filled out completely and submitted with the offer. 52.212-4; 52.212-5; (incorporating 52.219-4; 52.219-8; 52.222-21; 52.222-26; 52.222-35; 52.222-36; 52.222-37; 52.225-13; 52.232-33) 52.215-05 (fax proposals to 812-854-5095); 52.216.18 (. . . orders may be issued from date of contract through 3 years after date of contract.); 52.216-19 ((a) Minimum order, 1 EGLM; (b)(1) Maximum order, 4 EGLM; (b)(2) 4 EGLM; (b)(3) 60 days); 52.216-22 (. . . Contractor shall not be required to make any deliveries under this contract after to be determined.); 52.242-15; 252-204-7004; 252.212-7000; 252.212-7001 (incorporating 252.225-7001; 252.225-7012; 252.223-7002; 252.223-7007; 252.243-7002; 252.247-7023). The following clauses shall also be incorporated into this combined synopsis/solicitation: PHYSICAL SECURITY OF CONTRACTOR'S FACILITIES (6004) -- The contractor agrees to maintain his facilities in accordance with the applicable requirements of Department of Defense Instruction 4145.26M as referenced in DFAR 252.223-7002. Supplies procured under this contract are identified as sensitive material (**Category IV**) under DOD 5100.76-M (Physical Security of Sensitive Conventional Arms, Ammunition and Explosives at contractor facilities), requiring physical security and transportation in accordance with DOD 5100.76-M. The offeror shall provide its Commercial and Government Entity (CAGE) **Code**, Contractor Establishment **Code** and **Tax Identification Number**. The Contractor shall include, as a minimum, the following information on each **invoice**: (1) Name and address of the Contractor; (2) **Invoice number** and date and shall be marked "Original **Invoice**"; (3) Contract **number**, contract **line item number** and, if applicable, the order number; (4) Description, quantity, unit of measure, unit price and extended price of the items delivered or services performed and an invoice total; (5) Shipping number and date of shipment including the bill of lading number and weight of shipment if shipped on Government bill of lading; (6) Terms of any prompt payment discount offered; (7) Name and address of official to whom payment is to be sent; and (8) Name, title, and phone number of person to be notified in event of defective invoice. Invoices will be handled in accordance with the Prompt Payment Act (31 U.S.C. 3903) and Office of Management and Budget (OMB) Circular A-125, Prompt Payment. Contractors are encouraged to assign an identification number to each invoice. MAIL



INVOICES TO: Vendor Pay, Code 0562, Bldg 2701, NAVSURFWARCENDIV, 300 Highway 361, Crane IN 47522-5002. PAYMENT STATUS INQUIRIES--Status of invoice payments can be obtained from the following web site: [www.dfas.mil/money/vendor](http://www.dfas.mil/money/vendor) &lt;<http://www.dfas.mil/money/vendor>&gt; If the payment is being made by DFAS-Columbus use the MOCAS Vendor Pay Inquiry System (VPIS) site listed on the above web site. It is recommended that the vendor download the "MOCAS VPIS Help Guide" and "Reason and Remark Code Document". You must then register by clicking on "User Registration" under the subheading "MOCAS Vendor Pay Inquiry System" before payment inquiries can be made. If payment is being made by other than DFAS-Columbus, status of invoice payment can be obtained through the Non-MOCAS System by cage code, contract number or DUNS number at the above listed web site. To determine which system to use see the following blocks of your contract document for payment offices designation: SF 26-Award/ Contract-Blk 12; SF 33 Award/Contract-Blk 25; SF 1449 Solicitation/Contract/Order for Commercial Items-Blk 18a; DD1155 Order for Supplies or Services-Blk 15.

Additional Marking Instructions -In addition to any other marking instructions in this order, it is essential that all packages be accompanied by packing slips (preferably a DD 250) and all packing slips be located on the outside of the box or container and that all packages, shipping papers, and invoices be marked with the following information to permit effective receipt processing and timely payment: 1) Order Number; 2) Contract Line Item Numbers. The foregoing requirements must be passed on to any organization entity originating a shipment as a deliverable under this order. Caution: Any packages received which are not marked in accordance with the above requirements may be refused and returned to the contractor at the contractor's expense.

BUSINESS HOURS--Crane Division, Naval Surface Warfare Center, Crane, Indiana, allows flexible working hours for its employees. The normal eight-hour shift may be worked between the hours of 6:30 AM and 5:30 PM EST. Many of our employees work 6:30 AM to 3:00 PM as a regular practice. The core time, when all employees are scheduled to work, is 9:00 AM to 3:00 PM.

EXPEDITING CONTRACT CLOSEOUT (NAVSEA) (DEC 1995)--(a) As part of the negotiated fixed price or total estimated amount of this contract, both the Government and the Contractor have agreed to waive any entitlement that otherwise might accrue to either party in any residual dollar amount of \$500 or less at the time of final contract closeout. The term "residual dollar amount" shall include all money that would otherwise be owed to either party at the end of the contract except that, amounts connected in any way with taxation, allegations of fraud and/or antitrust violations shall be excluded. For purposes of determining residual dollar amounts, offsets of money owed by one party against money that would otherwise be paid by that party may be considered to the extent permitted by law. (b) This agreement to waive entitlement to residual dollar amounts has been considered by both parties. It is agreed that the administrative costs for either party associated with collected such small dollar amounts could exceed the amount to be recovered.

PREPARATION FOR DELIVERY (STANDARD COMMERCIAL) Standard commercial preservation, packaging and packing is authorized unless otherwise specified.

MOST FAVORED CUSTOMER ASSURANCE-The contractor agrees that the prices for the supplies or services furnished under this contract are as low or lower than those charged the supplier's most favored customer for comparable quantities under similar terms and conditions, in addition to any discounts for prompt payment.

TAX EXEMPTION-Section 39(a) and Section 6 of the Indiana gross income tax act of 1933, specifically exempts Crane Division, Naval Surface Warfare Center, Crane, Indiana, as a government activity from any payment of sales and use tax.

EXEMPTION NUMBER 0018103400015 assigned. To be eligible for award you must be properly registered in the Government's Central Contractor Registration (CCR). Offerors may obtain information on CCR registration and annual confirmation requirements by calling 1-888-227-2423, or via the internet @ [www.ccr.gov](http://www.ccr.gov) &lt;<http://www.ccr.gov>&gt;. If a change occurs in this requirement, only those offerors that respond to this announcement within the required time frame will be provided any changes/amendments and considered for future discussions and/or award. All responsible sources may submit an offer, which will be considered by the agency. Offers may be faxed to Joyce Loughmiller, FAX 812-854-3762 or e-mailed to [loughmiller\\_j@crane.navy.mil](mailto:loughmiller_j@crane.navy.mil) Desk telephone is 812-854-3902, fax 812-854-3762 or 812-854-5095, complete mailing address is: Mrs. Joyce Loughmiller, Code 1162N3 Bldg. 2521, NSW Crane, 300 Highway 361, Crane IN 47522-5001. E-mail address is [loughmiller\\_j@crane.navy.mil](mailto:loughmiller_j@crane.navy.mil).

All required information must be received on or before 15 October 2002, 2:00 PM Eastern Standard Time. See

Numbered Note 22 &lt;./cbdnates.htm&gt;. Our mission is to provide quality and responsive acquisition services for this Command. In an effort to continue to improve our services, we are conducting a survey of our vendors. This survey may be found on the World Wide Web at the following address:

&lt;http://www.crane.navy.mil/supply/VendorSurvey.htm&gt;. Your comments will help us determine if we are accomplishing this and show us ways to improve our processes. Please consider taking the time to complete the survey. Posted 10/03/02 (fbodaily.com W-SN00181791). (0276)

**Sponsor:** N00164 300 Highway 361, Building 2521 Crane, IN 47522-5001

**Publication Date:** October 5, 2002

**Issue:** FBO-0307

...in DFAR 252.223-7002. Supplies procured under this contract are identified as sensitive material (**Category IV**) under DOD 5100.76-M (Physical Security of Sensitive Conventional Arms, Ammunition and Explosives... ..with DOD 5100.76-M. The offeror shall provide its Commercial and Government Entity (CAGE) **Code**, Contractor Establishment **Code** and **Tax Identification Number**. The Contractor shall include, as a minimum, the following information on each **invoice**: (1) Name and address of the Contractor; (2) **Invoice number** and date and shall be marked "Original **Invoice**"; (3) Contract **number**, contract **line item number** and, if applicable, the order number; (4) Description, quantity, unit of measure, unit price and...

4/3,K/26 (Item 3 from file: 194) **Links**

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4395812

**AMMUNITION (PRESOL) SOL N0016402R0123 DUE 090202 POC**

Stacy McAtee, Contract Specialist 812-854-1988 FAX 812-854-5095 OR 812-854-3762 WEB: Click here to download synopsis <http://www.crane.navy.mil/supply/02R0123/02R0123.htm> E-MAIL: Email your questions to Click here to contact the Contract Specialist [mcatee\\_s@crane.navy.mil](mailto:mcatee_s@crane.navy.mil) This is a combined synopsis/solicitation for commercial items prepared in accordance with the format in FAR 12.6, as supplemented with additional information included in this notice. The announcement constitutes the only solicitation; proposals are being requested and a written solicitation will not be issued. Solicitation Number N00164-02-R-0123 is hereby issued as a request for proposals (RFP). Incorporated provisions and clauses are those in effect through Federal Acquisition Circular 2002-07 as well as DCN 20020531. The North American Industry Classification System (NAICS) Code for this procurement is 332992 and the size standard is 500 employees. This requirement is for the following: CLIN 0001 ? A minimum quantity of 694/maximum quantity of 11,505 wire bound boxes of Black Hills Ammunition 5.56mm, 77 grain long range (LR) ammunition, Black Hills P/N D223N9. Military packaging required, 1,640 rounds in each wire bound box. The 5.56mm 77 grain LR ammunition will be required to meet the following: (1) The projectile shall be the Sierra 0.224? diameter 77 grain Match King, Hollow Point Boat Tail (HPBT) in accordance with drawing 53711-7520883. (2) The cartridge case shall be in accordance with drawing 19200-9378276. (3) A vent hole shall be present in the primer pocket of the cartridge case. (4) The cartridge case head stamp marking shall be in accordance with drawing 19200-9378276 with the exception of note 7. (5) Each cartridge shall contain a minimum of 13 grains of propellant. (6) The manufacturer shall establish the charge weight to meet performance requirements. The Government intends to negotiate with Black Hills Ammunition, Rapid City, SD, on a sole-source basis. Award of a firm-fixed price, 3-year IDIQ contract is anticipated. Simplified Acquisition Procedures will not be utilized. Delivery is required to begin within 90 days after contract award, and shall be FOB destination,

NAVSURFWARCENDIV Crane, inspection and acceptance will also be at destination. Selection for award will be based on past performance and price. Offers that are non-compliant with any material requirement of this solicitation may be rejected without further consideration for award. The contractor shall extend to the Government the full coverage of commercial sale warranty provided such warranty is available at no additional cost to the Government. Clauses/provisions: 52.203-3; 52.211-14 (DO X /DX); 52.211-15; 52.212-1; 52.212-3 Alt I (FILL-IN); (NOTE: paragraph (b) of 52.212-3 is not applicable); NOTE: This provision MUST be filled out completely and submitted with the offer. 52.212-4; 52.212-5; (incorporating 52.219-4; 52.219-8; 52.222-21; 52.222-26; 52.222-35; 52.222-36; 52.222-37; 52.225-13; 52.232-33) 52.215-05 (fax proposals to 812-854-5095); 52.216.18 (. . .orders may be issued from date of contract through 3 years after date of contract.); 52.216-19 ((a) Minimum order, 694 cans; (b)(1) Maximum order, 10,811 cans; (b)(2) 11,505 cans; (b)(3) 30 days); 52.216-22 (. . .Contractor shall not be required to make any deliveries under this contract after to be determined.); 52.242-15; 252-204-7004; 252.212-7000; 252.212-7001 (incorporating 252.225-7001; 252.225-7012; 252.223-7002; 252.223-7007; 252.243-7002; 252.247-7023). The following clauses shall also be incorporated into this combined synopsis/solicitation: PHYSICAL SECURITY OF CONTRACTOR'S FACILITIES (6004) -- The contractor agrees to maintain his facilities in accordance with the applicable requirements of Department of Defense Instruction 4145.26M as referenced in DFAR 252.223-7002. Supplies procured under this contract are identified as sensitive material ( **Category IV**) under DOD 5100.76-M (Physical Security of Sensitive Conventional Arms, Ammunition and Explosives at contractor facilities), requiring physical security and transportation in accordance with DOD 5100.76-M. The offeror shall provide its Commercial and Government Entity (CAGE) **Code**, Contractor Establishment **Code** and **Tax Identification Number**. The Contractor shall include, as a minimum, the following information on each **invoice**: (1) Name and address of the Contractor; (2) **Invoice number** and date and shall be marked ?Original **Invoice**?; (3) Contract **number**, contract **line item number** and, if applicable, the order number; (4) Description, quantity, unit of measure, unit price and extended price of the items delivered or services performed and an invoice total; (5) Shipping number and date of shipment including the bill of lading number and weight of shipment if shipped on Government bill of lading; (6) Terms of any prompt payment discount offered; (7) Name and address of official to whom payment is to be sent; and (8) Name, title, and phone number of person to be notified in event of defective invoice. Invoices will be handled in accordance with the Prompt Payment Act (31 U.S.C. 3903) and Office of Management and Budget (OMB) Circular A-125, Prompt Payment. Contractors are encouraged to assign an identification number to each invoice. MAIL INVOICES TO: Vendor Pay, Code 0562, Bldg 2701, NAVSURFWARCENDIV, 300 Highway 361, Crane IN 47522-5002. PAYMENT STATUS INQUIRIES--Status of invoice payments can be obtained from the following web site: [www.dfas.mil/money/vendor](http://www.dfas.mil/money/vendor) If the payment is being made by DFAS? Columbus use the MOCAS Vendor Pay Inquiry System (VPIS) site listed on the above web site. It is recommended that the vendor download the ?MOCAS VPIS Help Guide? and ?Reason and Remark Code Document?. You must then register by clicking on ?User Registration? under the subheading ?MOCAS Vendor Pay Inquiry System? before payment inquiries can be made. If payment is being made by other than DFAS-Columbus, status of invoice payment can be obtained through the Non-MOCAS System by cage code, contract number or DUNS number at the above listed web site. To determine which system to use see the following blocks of your contract document for payment offices designation: SF 26 Award/Contract?Blk 12; SF 33 Award/Contract?Blk 25; SF 1449 Solicitation/Contract/Order for Commercial Items?Blk 18a; DD1155 Order for Supplies or Services?Blk 15. **ADDITIONAL MARKING INSTRUCTIONS?**In addition to any other marking instructions in this order, it is essential that all packages be accompanied by packing slips (preferably a DD 250) and all packing slips be located on the outside of the box or container and that all packages, shipping papers, and invoices be marked with the following information to permit effective receipt processing and timely payment: 1) Order Number; 2) Contract Line Item Numbers. The foregoing requirements must be passed on to any organization entity originating a shipment as a deliverable under this order. Caution: Any packages received which are not marked in accordance with the above requirements may be refused and returned to the contractor at the contractor's expense. **BUSINESS HOURS**--Crane Division, Naval Surface Warfare Center, Crane, Indiana, allows flexible working hours for its employees. The

normal eight-hour shift may be worked between the hours of 6:30 AM and 5:30 PM EST. Many of our employees work 6:30 AM to 3:00 PM as a regular practice. The core time, when all employees are scheduled to work, is 9:00 AM to 3:00 PM. EXPEDITING CONTRACT CLOSEOUT (NAVSEA) (DEC 1995)--(a) As part of the negotiated fixed price or total estimated amount of this contract, both the Government and the Contractor have agreed to waive any entitlement that otherwise might accrue to either party in any residual dollar amount of \$500 or less at the time of final contract closeout. The term "residual dollar amount" shall include all money that would otherwise be owed to either party at the end of the contract except that, amounts connected in any way with taxation, allegations of fraud and/or antitrust violations shall be excluded. For purposes of determining residual dollar amounts, offsets of money owed by one party against money that would otherwise be paid by that party may be considered to the extent permitted by law. (b) This agreement to waive entitlement to residual dollar amounts has been considered by both parties. It is agreed that the administrative costs for either party associated with collected such small dollar amounts could exceed the amount to be recovered. PREPARATION FOR DELIVERY (STANDARD COMMERCIAL) Standard commercial preservation, packaging and packing is authorized unless otherwise specified. MOST FAVORED CUSTOMER ASSURANCE? The contractor agrees that the prices for the supplies or services furnished under this contract are as low or lower than those charged the supplier's most favored customer for comparable quantities under similar terms and conditions, in addition to any discounts for prompt payment. TAX EXEMPTION? Section 39(a) and Section 6 of the Indiana gross income tax act of 1933, specifically exempts Crane Division, Naval Surface Warfare Center, Crane, Indiana, as a government activity from any payment of sales and use tax. EXEMPTION NUMBER 0018103400015 assigned. To be eligible for award you must be properly registered in the Government's Central Contractor Registration (CCR). Offerors may obtain information on CCR registration and annual confirmation requirements by calling 1-888-227-2423, or via the internet @ [www.ccr.gov](http://www.ccr.gov). If a change occurs in this requirement, only those offerors that respond to this announcement within the required time frame will be provided any changes/amendments and considered for future discussions and/or award. All responsible sources may submit an offer, which will be considered by the agency. Offers may be faxed to Stacy McAtee, FAX 812-854-5095 or e-mailed to [mcatee\\_s@crane.navy.mil](mailto:mcatee_s@crane.navy.mil). Desk telephone is 812-854-1988, complete mailing address is: Ms. Stacy McAtee, Code 1162NS Bldg. 2521, NSWC Crane, 300 Highway 361, Crane IN 47522-5001. All required information must be received on or before 02 Aug 2002, 2:00 PM Eastern Standard Time. See Numbered Note 22. Our mission is to provide quality and responsive acquisition services for this Command. In an effort to continue to improve our services, we are conducting a survey of our vendors. This survey may be found on the World Wide Web at the following address: <http://www.crane.navy.mil/supply/VendorSurvey.htm>. Your comments will help us determine if we are accomplishing this and show us ways to improve our processes. Please consider taking the time to complete the survey. Posted 08/22/02 (fbodaily.com W-SN00148107). (0234)

**Sponsor:** N00164 300 Highway 361, Building 2521 Crane, IN 47522-5001

**Publication Date:** August 24, 2002

**Issue:** FBO-0265

...in DFAR 252.223-7002. Supplies procured under this contract are identified as sensitive material (**Category IV**) under DOD 5100.76-M (Physical Security of Sensitive Conventional Arms, Ammunition and Explosives... ..with DOD 5100.76-M. The offeror shall provide its Commercial and Government Entity (CAGE) **Code**, Contractor Establishment **Code** and **Tax Identification Number**. The Contractor shall include, as a minimum, the following information on each **invoice**: (1) Name and address of the Contractor; (2) **Invoice number** and date and shall be marked ?Original **Invoice**?; (3) Contract **number**, contract **line item number** and, if applicable, the order number; (4) Description, quantity, unit of measure, unit price and...

4/3,K/27 (Item 4 from file: 194) [Links](#)

FBODaily

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4148087

**DIGGER DERRICK, LEASE WITH OPTION TO BUY SOL F29651-01-Q0136 DUE 010901 POC**

Jody Desormeaux, Contract Specialist, Phone 505-572-5299, Fax 505-572-2107, Email

jody.desormeaux@holloman.af.mil -- Boyce Haywood, Contract Specialist, Phone (505) 572-2101, Fax (505)

572-2107, Email WEB: Visit this URL for the latest information about this,

/cgi-bin/WebObjects/EPS.woa/wa/SPF?A=P&P=F29651-01-Q0136&L=961. E-MAIL: Jody Desormeaux,

jody.desormeaux@holloman.af.mil. This is a Request for Quotation (RFQ). These announcements constitute the

only solicitation; quotations are being requested and a written solicitation will not be issued. Solicitation Number:

F29651-01-Q-0136. This Solicitation Document and incorporated provisions and clauses are those in effect through

Federal Acquisition Circular 97-17. This acquisition is 100% set aside for small business. The Standard Industrial

Code (SIC) is 7353 and the NAICS is 23499. The small business size standard is \$5 M. This solicitation consist of

One (1) line item. **Line Item 0001:** 1 Each; SERVICE, NON-PERSONAL: CONTRACTOR TO PROVIDE

DIGGER DERRICK, 1 EACH; LEASE WITH THE OPTION TO BUY. CONTRACTOR RESPONSIBLE FOR

NEW MEXICO GROSS RECEIPTS TAX, AND WAGE DETERMINATION. SEE STATEMENT OF WORK

FOR ITEM DESCRIPTION AND CONTRACTOR RESPONSABILITIES. Delivery date requested is starting from

5 Days after award to 30 Sep 01 with option to extend lease, delivered to Holloman AFB NM. FOB and Acceptance:

Destination, Holloman AFB, NM. The attached clauses apply to this solicitation. Offerors should include a complete

copy of FAR 52-212-3 (Offeror Representation and Certifications) with their quote. The Offerors must be CCR

(Central Contractor Registration). The Offeror is required to provide their Contractors DUNS Number, Commercial

and Government Entity (CAGE) Code, and Taxpayer Identification Number (TIN) with their offer. Facsimile quotes

will be accepted at (505) 572-2107. All responsible sources may submit an offer which will be considered. Posted

12/22/00 (D-SN509509). (0357)

**Sponsor:** Department of the Air Force, Air Combat Command, 49 CONS, P O Drawer S, 1210 Forty Niner Avenue, Holloman AFB, NM, 88330-1601

**Publication Date:** December 27, 2000

**Issue:** PSA-2755

...Circular 97-17. This acquisition is 100% set aside for small business. The Standard Industrial Code (SIC) is 7353 and the NAICS is 23499. The small business size standard is \$5 M. This solicitation consist of One (1) line item.

**Line Item 0001:** 1 Each; SERVICE, NON-PERSONAL: CONTRACTOR TO PROVIDE DIGGER DERRICK, 1

EACH; LEASE WITH THE OPTION TO BUY. CONTRACTOR RESPONSIBLE FOR NEW MEXICO GROSS

RECEIPTS TAX, AND WAGE DETERMINATION. SEE STATEMENT OF WORK FOR ITEM

DESCRIPTION AND CONTRACTOR RESPONSABILITIES. Delivery date requested is starting from 5...

4/3,K/28 (Item 1 from file: 275) [Links](#)

Gale Group Computer DB(TM)

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01308929 **Supplier Number:** 07592870 (Use Format 7 Or 9 For FULL TEXT )

**Data Pro Accounting Series. (Software Review) (one of eight local area network-based accounting software packages evaluations) (evaluation)**

Lee, Timothy; Owens, Steve; Smith, Don; Still, Bill

PC Magazine , v8 , n16 , p171(5)

Sept 26 , 1989.

**Document Type:** evaluation

ISSN: 0888-8507

**Language:** ENGLISH **Record Type:** FULLTEXT; ABSTRACT

**Word Count:** 2267 **Line Count:** 00181

...module to convert a proposal into an invoice, though.

You can use the invoicing cycle **code** for customers to reflect your **collection** priorities and to group work more effectively. Salespersons' commissions may be paid on **collection** of either total sales price, gross profit per sale, or just the gross **billing** amount. Aging reports can be grouped by customer or salesperson and within sales regions. ORDER...

...service organizations. If you've been frustrated by accounting packages that can only discount or **tax** a total **invoice**, you will appreciate Data Pro's line-item control for price, freight, **tax**, discount, and cost of goods and sales account **codes**.

Pressing F IO at the unit price field lets you select a discount from your discount structure or enter in a discount percentage for that **line item**. **Tax** calculations will be correct, and you'll be able to easily implement an item-based...

4/3,K/29 (Item 2 from file: 275) **Links**

Gale Group Computer DB(TM)

(c) 2007 The Gale Group. All rights reserved.

01177234 **Supplier Number:** 04421326 (Use Format 7 Or 9 For FULL TEXT )

**The open road to integrated accounting. (Software Review) (evaluation)**

Dauphinais, G. William; Connor, Terri C.; Lee, Timothy J.

PC Magazine , v5 , n16 , p209(7)

Sept 30 , 1986

**Document Type:** evaluation

ISSN: 0888-8507

**Language:** ENGLISH **Record Type:** FULLTEXT; ABSTRACT

**Word Count:** 4863 **Line Count:** 00388

...When integrated with the Inventory module, it updates inventory records as soon as you approve **line items** on the **invoice**.

When you install Accounts Receivable, you are given several options for defining discounts, finance charges, **taxes**, and account coding for sales. You can define nine different discount terms for use in **invoicing** customers. A finance charge percentage can be established for each company to calculate and assess finance charges on overdue customer balances. Nine sales **tax** percentage **codes** can also be predefined. Eighteen pairs of general ledger account **number**

combinations, which can be established to book sales and cost of sales, are specified on each **invoice line item** to allow for posting of your financial accounts. This approach represented a constraint to one...

...to 99, enabling our client to record sales and cost-of-sales amounts in specific **categories** or departments.

You must enter customer and ship-to-address information before processing transactions, just...

...Sales Order Processing module, the system automatically generates invoices for Accounts Receivable. Three types of **invoice** transactions are recognized by the system: credit sales, cash sales, and credit memos. **Invoice** header information calls for the customer ID, salesperson, terms, customer order **number** and date, ship-to address, shipping **number**, and shipping date. Using the **Invoice Detail** screen, you then enter the **line items** of your order, including a **tax code** and general ledger account combination **code**. If the quantity shipped is less than the quantity ordered, the dollar amount of back-ordered items is calculated and displayed. Total sales **tax** is automatically calculated from the **tax code** you supplied and is displayed after you enter each **line item**. You can also enter freight and any miscellaneous changes to the **invoice**.

The Cash Receipts screen is simple and effective for recording payments received from customers, as...

...have not been billed. After entering the customer ID and the check number, you can **apply** a payment against a specific invoice or account. If you use the open-item method...

4/3K/30 (Item 1 from file: 348) **Links**

EUROPEAN PATENTS

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01513602

**Business-to-business commerce using financial transaction numbers**

Handel zwischen Geschäften mit finanziellen Transaktionsnummern

Commerce interentreprises utilisant des numeros de transactions financieres

**Patent Assignee:**

- **Orbis Patents Limited; (2859611)**  
3 Sandyford Park, Sandyford Industrial Estate; Dublin 18; (IE)  
(Applicant designated States: all)

**Inventor:**

- **Flitcroft, Daniel Ian**  
Old Glebe House, Bride's Glen; Rathmichael, County Dublin; (IE)

- **O'Donnell, Graham**  
Kilbarron, Otranto Place; Sandycove; (IE)
- **Lanford, Conor**  
3 Sandycove Park, Sandycove Industrial Estate; Dublin 18; (IE)
- **Carroll, James**  
3 Sandycove Park, Sandycove Industrial Estate; Dublin 18; (IE)

**Legal Representative:**

- **O'Connor, Donal Henry (72401)**  
c/o Cruickshank & Co., 1 Holles Street; Dublin 2; (IE)

	Country	Number	Kind	Date	
Patent	EP	1265202	A1	20021211	(Basic)
Application	EP	2002012259		20020604	
Priorities	US	294974	P	20010604	
	US	295019	P	20010604	

**Designated States:**

AT; BE; CH; CY; DE; DK; ES; FI; FR; GB;  
GR; IE; IT; LI; LU; MC; NL; PT; SE; TR;

**Extended Designated States:**

AL; LT; LV; MK; RO; SI;

**International Patent Class (V7):** G07F-019/00; G06F-017/60 **Abstract Word Count:** 80

**NOTE:** 3

**NOTE:** Figure number on first page: 3

Type	Pub. Date	Kind	Text
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Publication: English

Procedural: English

Application: English

Available Text	Language	Update	Word Count
CLAIMS A	(English)	200250	1073
SPEC A	(English)	200250	10954
Total Word Count (Document A) 12027			
Total Word Count (Document B) 0			
Total Word Count (All Documents) 12027			

**Specification:** ...payment cycle that are of significance to a business. They are: purchase information, purchase reference number, payment number and payment information. Each will be described below. 1) Purchase Information (user defined information)

The purchase information is user defined and is the specific **line item** detail of a purchase. It contains information



about: quantity; description; product **codes**; price; **tax**; and a general ledger cost **code** or **codes** to which the goods are allocated. Typically this is the information that is contained in the **purchase order** a company provides to its supplier.

Whether the business is using an electronic purchase system or hand written purchase orders, every business needs to **match** the goods ordered and received with the suppliers invoice and payment to the supplier within... ..2 data include Level 1 data plus: sales tax; and variable data field (typically a **purchase order number**).

Suppliers who are Level 2 capable have the ability to pass sales **tax** information as well as a unique transaction data field (typically limited to 16 characters) through the purchasing card system. Some issuers pass this data to the cardholder **statement** but it is not mandatory for merchants to use this variable field.

### Level 3 Data: **Line Item Detail**

Transactions that include Level 3 data include Level 1 and Level 2 data plus: item product **code**; item description; item quantity; item unit of measure; and item price; item **tax** treatment (e.g. 17.5%).

### III. Limitations of Current Card Product Functionality

As illustrated by the diagram of Figure 1, the **matching** of purchasing and payment information is a manual process for users of standard commercial cards...

4/3K/31 (Item 1 from file: 349) [Links](#)

PCT FULLTEXT

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00963611

### **EXTENDED WEB ENABLED MULTI-FEATURED BUSINESS TO BUSINESS COMPUTER SYSTEM FOR RENTAL VEHICLE SERVICES**

SYSTEME INFORMATIQUE INTERENTREPRISES A ELEMENTS MULTIPLES A ACCES INTERNET POUR SERVICES DE LOCATION DE VEHICULES

### **Patent Applicant/Patent Assignee:**

- **THE CRAWFORD GROUP INC**; 600 Corporate Park Drive, St. Louis, MO 63105  
US; US(Residence); US(Nationality)  
(For all designated states except: US)
- **WEINSTOCK Timothy Robert**; 1845 Highcrest Drive, St. Charles, MO 63303  
US; US(Residence); US(Nationality)  
(Designated only for: US)
- **DE VALLANCE Kimberly Ann**; 2037 Silent Spring Drive, Maryland Heights, MO 63043  
US; US(Residence); US(Nationality)  
(Designated only for: US)
- **HASELHORST Randall Allan**; 1016 Scenic Oats Court, Imperial, MO 63052  
US; US(Residence); US(Nationality)

(Designated only for: US)

- **KENNEDY Craig Stephen**; 9129 Meadowglen Lane, St. Louis, MO 63126  
US; US(Residence); US(Nationality)  
(Designated only for: US)
- **SMITH David Gary**; 10 Venice Place Court, Wildwood, MO 63040  
US; US(Residence); US(Nationality)  
(Designated only for: US)
- **TINGLE William T**; 17368 Hilltop Ridge Drive, Eureka, MO 63025  
US; US(Residence); US(Nationality)  
(Designated only for: US)
- **KLOPFENSTEIN Anita K**; 433 Schwarz Road, O'Fallon, IL 62269  
US; US(Residence); US(Nationality)  
(Designated only for: US)

**Patent Applicant/Inventor:**

- **WEINSTOCK Timothy Robert**  
1845 Highcrest Drive, St. Charles, MO 63303; US; US(Residence); US(Nationality); (Designated only for: US)
- **DE VALLANCE Kimberly Ann**  
2037 Silent Spring Drive, Maryland Heights, MO 63043; US; US(Residence); US(Nationality); (Designated only for: US)
- **HASELHORST Randall Allan**  
1016 Scenic Oats Court, Imperial, MO 63052; US; US(Residence); US(Nationality); (Designated only for: US)
- **KENNEDY Craig Stephen**  
9129 Meadowglen Lane, St. Louis, MO 63126; US; US(Residence); US(Nationality); (Designated only for: US)
- **SMITH David Gary**  
10 Venice Place Court, Wildwood, MO 63040; US; US(Residence); US(Nationality); (Designated only for: US)
- **TINGLE William T**  
17368 Hilltop Ridge Drive, Eureka, MO 63025; US; US(Residence); US(Nationality); (Designated only for: US)
- **KLOPFENSTEIN Anita K**  
433 Schwarz Road, O'Fallon, IL 62269; US; US(Residence); US(Nationality); (Designated only for: US)

**Legal Representative:**

- **HAFERKAMP Richard E(et al)(agent)**  
Howell & Haferkamp, L.C., Suite 1400, 7733 Forsyth Blvd., St. Louis, MO 63105-1817; US;

	Country	Number	Kind	Date
Patent	WO	200297700	A2	20021205
Application	WO	2001US51431		20011019
Priorities	US	2000694050		20001020

**Designated States:** (All protection types applied unless otherwise stated - for applications 2004+)

[EP] AT; BE; CH; CY; DE; DK; ES; FI; FR; GB;  
GR; IE; IT; LU; MC; NL; PT; SE; TR;

[OA] BF; BJ; CF; CG; CI; CM; GA; GN; GQ; GW;  
ML; MR; NE; SN; TD; TG;

[AP] GH; GM; KE; LS; MW; MZ; SD; SL; SZ; TZ;  
UG; ZW;

[EA] AM; AZ; BY; KG; KZ; MD; RU; TJ; TM;

Publication Language: English

Filing Language: English

Fulltext word count: 237932

**Detailed Description:**

...RATE/DAY field with the previously computed INSURANCE MINIMUM TOTAL AMOUNT value.

ELSE (Processing Code = I R I - C1 using RN) . clear the field to zeros.

- If the retrieved...

4/3K/32 (Item 2 from file: 349) [Links](#)

PCT FULLTEXT

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00933152

**EXTENDED WEB ENABLED MULTI-FEATURED BUSINESS TO BUSINESS COMPUTER SYSTEM  
FOR RENTAL VEHICLE SERVICES**

SYSTEME INFORMATIQUE ETENDU ENTRE ENTREPRISES, A FONCTIONS MULTIPLES,  
FONCTIONNANT SUR LE WEB, POUR DES SERVICES DE LOCATION DE VEHICULES

**Patent Applicant/Patent Assignee:**

- **THE CRAWFORD GROUP INC;** 600 Corporate Park Drive, St. Louis, MO 63105  
US; US(Residence); US(Nationality)  
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(Designated only for: US)
- **SMITH David Gary**; 10 Venice Place Court, Wildwood, MO 63040  
US; US(Residence); US(Nationality)  
(Designated only for: US)
- **TINGLE William T**; 17368 Hilltop Ridge Drive, Eureka, MO 63025  
US; US(Residence); US(Nationality)  
(Designated only for: US)
- **KLOPFENSTEIN Anita K**; 433 Schwarz Road, O'Fallon, IL 62269  
US; US(Residence); US(Nationality)  
(Designated only for: US)

**Patent Applicant/Inventor:**

- **WEINSTOCK Timothy Robert**  
1845 Highcrest Drive, St. Charles, MO 63303; US; US(Residence); US(Nationality); (Designated only for: US)
- **DE VALLANCE Kimberly Amm**  
2037 Silent Spring Drive, Maryland Heights, MO 63043; US; US(Residence); US(Nationality); (Designated only for: US)
- **HASELHORST Randall Allan**  
1016 Scenic Oats Court, Imperial, MO 63052; US; US(Residence); US(Nationality); (Designated only for: US)
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17368 Hilltop Ridge Drive, Eureka, MO 63025; US; US(Residence); US(Nationality); (Designated only for: US)
- **KLOPFENSTEIN Anita K**  
433 Schwarz Road, O'Fallon, IL 62269; US; US(Residence); US(Nationality); (Designated only for: US)

**Legal Representative:**

- **HAFERKAMP Richard E(et al)(agent)**  
HOWELL & HAFERKAMP, L.C., Suite 1400, 7733 Forsyth Blvd., St. Louis, MO 63105-1817; US;

	Country	Number	Kind	Date
Patent	WO	200267175	A2	20020829
Application	WO	2001US51437		20011019
Priorities	US	2000694050		20001020

**Designated States:** (All protection types applied unless otherwise stated - for applications 2004+)

[EP] AT; BE; CH; CY; DE; DK; ES; FI; FR; GB;  
GR; IE; IT; LU; MC; NL; PT; SE; TR;

[OA] BF; BJ; CF; CG; CI; CM; GA; GN; GQ; GW;  
ML; MR; NE; SN; TD; TG;

[AP] GH; GM; KE; LS; MW; MZ; SD; SL; SZ; TZ;  
UG; ZW;

[EA] AM; AZ; BY; KG; KZ; MD; RU; TJ; TM;

Publication Language: English

Filing Language: English

Fulltext word count: 243912

**Detailed Description:**

...IELARMS21 and I\*LIBLI for the evoked program's specified possible libra3  
nes.

'DEVI to **determine** if being executed on the development (not production)  
--tware environment platform.

'\*DOWN' and 'SDI as...

4/3K/33 (Item 3 from file: 349) [Links](#)

PCT FULLTEXT

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00808418

**METHOD, SYSTEM AND COMPUTER PROGRAM PRODUCT FOR FACILITATING A TAX  
TRANSACTION**

PROCEDE, SYSTEME ET PROGRAMME D'ORDINATEUR DESTINE A FACILITER UNE TRANSACTION  
DE TAXE

**Patent Applicant/Patent Assignee:**

- **TAXWARE INTERNATIONAL INC;** 27 Congress Street, Salem, MA 01970  
US; US(Residence); US(Nationality)  
(For all designated states except: US)

- **SULLIVAN Daniel L**; 56 Lee Street, Marblehead, MA 01945  
US; US(Residence); US(Nationality)  
(Designated only for: US)

**Patent Applicant/Inventor:**

- **SULLIVAN Daniel L**  
56 Lee Street, Marblehead, MA 01945; US; US(Residence); US(Nationality); (Designated only for: US)

**Legal Representative:**

- **WOLF Douglas R(agent)**  
Wolf, Greenfield & Sacks, P.C., 600 Atlantic Avenue, Boston, MA 02210; US;

	Country	Number	Kind	Date
Patent	WO	200141552	A2-A3	20010614
Application	WO	2000US42498		20001130
Priorities	US	99168081		19991130

**Designated States:** (All protection types applied unless otherwise stated - for applications 2004+)

[EP] AT; BE; CH; CY; DE; DK; ES; FI; FR; GB;  
GR; IE; IT; LU; MC; NL; PT; SE; TR;

[OA] BF; BJ; CF; CG; CI; CM; GA; GN; GW; ML;  
MR; NE; SN; TD; TG;

[AP] GH; GM; KE; LS; MW; MZ; SD; SL; SZ; TZ;  
UG; ZW;

[EA] AM; AZ; BY; KG; KZ; MD; RU; TJ; TM;

Publication Language: English

Filing Language: English

Fulltext word count: 17194

**Detailed Description:**

...Any information that the transaction tax compliance system 200 can infer or determine independent of **input** from the seller and/or the purchaser may be omitted from the submitted transaction data... ...include an exempt amount with jurisdiction identifier, contract amount, installation amount, freight amount, discount amount, **number** of items, rounding identifier indicating the scheme for rounding dollar 10 amounts less than \$0.01, **tax** type identifier (including based on sales or use), no **tax** indicator, override amount and jurisdiction, **invoice** date, purchaser identifier, purchaser name, **invoice number**, **invoice line item number**, delivery date, seller/purchaser company **code**, seller identifier, seller name, and seller/purchaser division **codes**.

The transaction **tax** compliance system 200 performs **tax** compliance and/or

1 5 calculates the applicable transaction **tax** liability in essentially "real-time." Real-time is defined as during the transaction, beginning with...a transaction only after authorization from the selling/purchasing system 100, or alternatively, may automatically **determine** the whole or partial exempt status or for a transaction when a transaction is initialized... amount or rate may be specified by the selling/purchasing system or alternatively may be **determined** from the product/service database, the entity/use database, and/or the standard **tax** rate database. The exempted amount and/or rate may indicate item and/or **line item** threshold amounts and limitations. Further, **invoice** thresholds and limitations may be indicated. Partial exemptions (e.g., special rates) and thresholds (i... amounts, transaction rates, and/or a rule system applicable to a calculation of the applicable **tax** liability. The exemption manager may access the seller and purchaser databases to retrieve administration **codes** to **determine** active and/or inactive tax jurisdictions and/or tax types as indicated by the seller...may access the product database with the city code for a particular tax jurisdiction and **determine** the location of the rate in the product database or standard rate database. The exemption... access the product database with a county code for a - 33 particular tax jurisdiction and **determine** the location of the rate in the product database, standard rate database, or default value. The exemption manager may then check the maximum **tax codes** to **determine** how numeric fields may be used to calculate the maximum **taxes** (most **tax** laws for maximum **tax** liability amounts are based on a per **line item** or **invoice** amount). The exemption manager may then return a completion **code** indicating the success of **tax** calculation, any errors stopping **tax** calculation, or any errors overcome with default or **determined** values.

In a further embodiment of the invention, the transaction **tax** processor may access (620) information from an exemption data warehouse to verify the exemption I... of the records in the purchaser, seller, product, and/or use database is identified as **matching** the current verification identifier, such as a commodity code, reason code, or an exemption certificate...

4/3K/34 (Item 4 from file: 349) **Links**

PCT FULLTEXT

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00802117

## **TRANSACTION TAX COLLECTION SYSTEM AND METHOD**

SYSTEME ET PROCEDE DE RECOUVREMENT DE LA TAXE SUR LES TRANSACTIONS

### **Patent Applicant/Patent Assignee:**

- **ESALESTAX COM**; 6766 South Revere Parkway, Suite 120, Englewood, CO 80112  
US; US(Residence); US(Nationality)  
(For all designated states except: US)
- **GRYGLEWICZ Dave**; 3735 S. Hibiscus Way, Denver, CO 80237  
US; US(Residence); US(Nationality)  
(Designated only for: US)
- **BLANDINA Mike**; 7596 S. Telluride Ct., Aurora, CO 80016  
US; US(Residence); US(Nationality)  
(Designated only for: US)

- **BIRCH Doug**; 6616 Old Ranch Trail, Littleton, CO 80125  
US; US(Residence); US(Nationality)  
(Designated only for: US)

**Patent Applicant/Inventor:**

- **GRYGLEWICZ Dave**  
3735 S. Hibiscus Way, Denver, CO 80237; US; US(Residence); US(Nationality); (Designated only for: US)
- **BLANDINA Mike**  
7596 S. Telluride Ct., Aurora, CO 80016; US; US(Residence); US(Nationality); (Designated only for: US)
- **BIRCH Doug**  
6616 Old Ranch Trail, Littleton, CO 80125; US; US(Residence); US(Nationality); (Designated only for: US)

**Legal Representative:**

- **DUPRAY Dennis J(et al)(agent)**  
Sheridan Ross P.C., 1560 Broadway, Suite 1200, Denver, CO 80202-5141; US;

	Country	Number	Kind	Date
Patent	WO	200135678	A2-A3	20010517
Application	WO	2000US30903		20001110
Priorities	US	99164976		19991111

**Designated States:** (All protection types applied unless otherwise stated - for applications 2004+)

[EP] AT; BE; CH; CY; DE; DK; ES; FI; FR; GB;  
GR; IE; IT; LU; MC; NL; PT; SE; TR;

[OA] BF; BJ; CF; CG; CI; CM; GA; GN; GW; ML;  
MR; NE; SN; TD; TG;

[AP] GH; GM; KE; LS; MW; MZ; SD; SL; SZ; TZ;  
UG; ZW;

[EA] AM; AZ; BY; KG; KZ; MD; RU; TJ; TM;

Publication Language: English

Filing Language: English

Fulltext word count: 29121

**Detailed Description:**

...i.e., for determining a single product code for the transaction) to use in **determining** which, if any, of the taxes imposed (see substep (c) above) by the tax authorities... ...default product code selected as part of, e.g., the merchant's enrollment with the **taxation** system.

Substep (f) For the order total or for each **line item**, an evaluation is made to determine which if any of the



imposable **taxes** (see substep (c) above) explicitly **tax** or exempt the product code (see substeps (d) or (e) above). If the order total or **line item** amount is deemed **taxable** for an imposable **tax**, that amount (order total or **line item** amount) is accumulated.

Substep (g) After evaluating all amounts (e.g., sale totals and/or **line item** price information) included by the merchant in the transaction, the amount of each imposable **tax** is determined by applying the **tax** rate to the appropriate accumulated total.

Substep (h) Based upon the business rules of the... ..of each tax authority and tax applied, and further including information on taxability by 'urisdiction **category** such as national, state/province, county, city, local or other tax authority, for each line...

4/3K/35 (Item 5 from file: 349) [Links](#)

PCT FULLTEXT

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00783299

**A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR ORGANIZING MULTIPLE DIMENSIONS OF TAX DATA FOR THE PURPOSE OF GENERATING TAX-RELATED FACT DETAILS**

SYSTEME, PROCEDE ET ARTICLE DE FABRICATION SERVANT A ORGANISER PLUSIEURS DIMENSIONS DE DONNES D'IMPOTS AUX FINS DE PRODUCTION DE DETAILS FACTUELS ASSOCIES A DES IMPOTS

**Patent Applicant/Patent Assignee:**

- **ACCENTURE LLP**; 1661 Page Mill Road, Palo Alto, CA 94304  
US; US(Residence); US(Nationality)

**Legal Representative:**

- **HICKMAN Paul L(agent)**  
Oppenheimer Wolff & Donnelly, LLP, 38th Floor, 2029 Century Park East, Los Angeles, CA 90067-3024; US;

	Country	Number	Kind	Date
Patent	WO	200116847	A2	20010308
Application	WO	2000US24243		20000831
Priorities	US	99387744		19990831

**Designated States:** (All protection types applied unless otherwise stated - for applications 2004+)

[EP] AT; BE; CH; CY; DE; DK; ES; FI; FR; GB;  
GR; IE; IT; LU; MC; NL; PT; SE;

[OA] BF; BJ; CF; CG; CI; CM; GA; GN; GW; ML;  
MR; NE; SN; TD; TG;

[AP] GH; GM; KE; LS; MW; MZ; SD; SL; SZ; TZ;  
UG; ZW;

[EA] AM; AZ; BY; KG; KZ; MD; RU; TJ; TM;

Publication Language: English

Filing Language: English

Fulltext word count: 9301

**Detailed Description:**

...prioritized or sorted is also illustrated.

Dimension: Account

Levels: Account ID

Dimension: Asset

Levels: Asset **Category**

0 Asset ID

Dimension: Date

Levels: Year

Quarter

Month

Dimension: Entity

Levels: Consolidated Group

Company... ..Invoice Number

Levels: PO Number

Dimension: Jurisdiction-City

Levels: Country

State

City

Dimension: Jurisdiction-Geo **Code**

Levels: Country

State

City

County

School District

**Geo Code**

Dimension: Jurisdiction-Type

Levels: Country

Jurisdiction Type

0 Name

Dimension: **Tax**-Account ID

Levels: **Tax** Category

**Tax** Subcategory

Account ID

0 Account Description

Dimension: **Tax** -Subcategory

Levels: **Tax** Category

**Tax** Subcategory

32

Dimension: Transaction-Description

Levels: \* Transaction Description

Dimension: Transaction FSC

Levels: Ship To Geo **Code**

Customer Ac ID

Transaction ID

**Line Item**

Transaction Description

Dimension: Transaction ID

Levels: 9 Transaction ID

Dimension: Transaction ID-Descp

Levels: Transaction... ..Dimension: Transaction Type-ID-Descp

Levels: Transaction-Type

Transaction ID

0 Transaction Description

Dimension: Transaction-**Line Item**

Levels: Transaction Type

**Tax** Type

**Line Item**

Dimension: Customer ID

Levels: Customer Ac ID

OLAP Cubes

Cubes

33

The following sets illustrate various examples of dimensions and attributes necessary to **determine** exemplary facts.

Cube: Adjusted Book (See Figure 9.)

Fact Table: adjusted-book-fact-tbl

Measure...

4/3K/36 (Item 6 from file: 349) [Links](#)

PCT FULLTEXT

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00783298

**A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR ORGANIZING AND MANAGING  
ASSET-RELATED TAX INFORMATION**  
SYSTEME, PROCEDE ET ARTICLE PERMETTANT D'ORGANISER ET DE GERER L'INFORMATION  
FISCALE LIEE AUX AVOIRS

**Patent Applicant/Patent Assignee:**

- **ACCENTURE LLP**; 1661 Page Mill Road, Palo Alto, CA 94304  
US; US(Residence); US(Nationality)

**Legal Representative:**

- **HICKMAN Paul L(agent)**  
Hickman Coleman & Hughes, LLP, Oppenheimer Wolff & Donnelly, LLP, 38th Floor, 2029 Century Park East,  
Los Angeles, CA 90067-3024; US;

	Country	Number	Kind	Date
Patent	WO	200116846	A2	20010308
Application	WO	2000US24242		20000831
Priorities	US	99387893		19990831

**Designated States:** (All protection types applied unless otherwise stated - for applications 2004+)

[EP] AT; BE; CH; CY; DE; DK; ES; FI; FR; GB;  
GR; IE; IT; LU; MC; NL; PT; SE;

[OA] BF; BJ; CF; CG; CI; CM; GA; GN; GW; ML;  
MR; NE; SN; TD; TG;

[AP] GH; GM; KE; LS; MW; MZ; SD; SL; SZ; TZ;  
UG; ZW;

[EA] AM; AZ; BY; KG; KZ; MD; RU; TJ; TM;

Publication Language: English

Filing Language: English

Fulltext word count: 10224

**Detailed Description:**

...prioritized or sorted is also illustrated.

Dimension: Account

Levels: Account ID

Dimension: Asset

Levels: Asset **Category**

0 Asset ID

Dimension: Date

Levels: Year

Quarter

Month

Dimension: Entity

Levels: Consolidated Group

Company... ..Invoice Number

Levels: PO Number

Dimension: Jurisdiction-City

Levels: Country

State

city

Dimension: Jurisdiction-Geo **Code**

Levels: Country

State

City

County

School District

**Geo Code**

Dimension: Jurisdiction-Type

Levels: Country

Jurisdiction Type

0 Name

Dimension: **Tax**-Account ID

Levels: **Tax** Category

**Tax** Subcategory

AccountID

0 Account Description

Dimension: **Tax** -Subcategory

Levels: **Tax** Category

**Tax** Subcategory

32

Dimension: Transaction-Description

Levels: 9 Transaction Description

Dimension: Transaction FSC

Levels: Ship To Geo **Code**

Customer Ac ID

Transaction ID

**Line Item**

Transaction Description

Dimension: Transaction ID

Levels: \* Transaction ID

Dimension: Transaction ID-Descp

Levels: Transaction ID... ..Dimension: Transaction Type

ID-Descp

Levels: Transaction-Type

Transaction ID

0 Transaction Description

Dimension: Transaction-Line Item

Levels: Transaction Type

Tax Type

0 Line Item

Dimension: Customer ID

Levels: Customer Ac ID

OLAP Cubes

Cubes

33

The following sets illustrate various examples of dimensions and attributes necessary to **determine** exemplary facts.

Cube: Adjusted Book (See Figure 9.)

Fact Table: adjusted-book-fact-tbl

Measure...

#### Claims:

...details.

5 The method as set forth in claim 1, wherein the asset identifier is **determined** based on attributes including a calendar date when an asset was placed in service, a fiscal date when the asset was placed in service, a **category** of the asset, a status of the asset, an asset book depreciation method, an allocated activity, and an asset **tax** depreciation method.

43. The method as set forth in claim 1, wherein the fact details are selected from the group of fact details consisting of an adjusted book fact, a **tax** adjustment fact, a schedule M fact, a **tax** return fact, an apportionment fact, a **line item** fact, a transaction fact, an **invoice** fact, an asset fact, a payroll fact, a **taxes** paid fact, and a projected financial fact.

7 A computer program embodied on a computer readable medium for organizing and managing an asset-related dimension of **tax** data for generating fact details comprising:

(a) a **code** segment that provides an asset-related dimension of tax data;(b) a **code** segment that provides a plurality of attributes for the asset-related dimension of tax data, the attributes including an asset identifier **determined** based on attributes selected from the group of attributes consisting of a calendar date when...

4/3K/37 (Item 7 from file: 349) [Links](#)

PCT FULLTEXT

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00734742

**COMMERCIAL TRANSACTION MANAGEMENT SYSTEM AND METHOD**  
**SYSTEME ET PROCEDE DE GESTION DE TRANSACTIONS COMMERCIALES**

**Patent Applicant/Patent Assignee:**

- **ETIME CAPITAL INC**; 1154 E. Arques Avenue, Sunnyvale, CA 94086  
US; US(Residence); US(Nationality)

**Legal Representative:**

- **ROBINSON Eric J**  
Nixon Peabody LLP, Suite 800, 8180 Greensboro Drive, McLean, VA 22102; US;

	Country	Number	Kind	Date
Patent	WO	200048053	A2	20000817
Application	WO	2000US2508		20000211
Priorities	US	99119853		19990212

**Designated States:** (All protection types applied unless otherwise stated - for applications 2004+)

[EP] AT; BE; CH; CY; DE; DK; ES; FI; FR; GB;  
GR; IE; IT; LU; MC; NL; PT; SE;

[OA] BF; BJ; CF; CG; CI; CM; GA; GN; GW; ML;  
MR; NE; SN; TD; TG;

[AP] GH; GM; KE; LS; MW; SD; SL; SZ; TZ; UG;  
ZW;

[EA] AM; AZ; BY; KG; KZ; MD; RU; TJ; TM;

Publication Language: English

Filing Language: English

Fulltext word count: 3560

**Detailed Description:**

...of the ASN determine 1 5 whether the goods ordered were the goods shipped. Also **determine** whether it was these goods that were invoiced and in the quantity specified on the... ..append to the invoice "goods validated". If these are not validated then appended to the **invoice** "goods invalid." The score is incremented by "I" for the wrong SKU (i.e., product **number**, description, quantity in excess of CO line quantity.)

**Invoice Validation Test 718:** Pull the CO and the INV and compare the **line item** unit price, total price (proportional / rounding error), and **tax** (proportional / rounding error). If there is agreement between these items on each document then append to the goods **invoice** "price valid", versus if not "price invalid." The score increments by "I" for each wrong unit price, total price, or **tax**.

Freight **Invoice** Validation Test 720: Pull the BL and the Freight INV and compare the **line item** unit price, total price (proportional / rounding error), and **tax** (proportional / rounding error). If there is agreement between these items on each document then append to the goods **invoice** "price valid", versus if not "price invalid." The score increments by "I" for each wrong unit price, total price, or **tax**.

- 20 - 21 - 22

4/3K/38 (Item 8 from file: 349) [Links](#)

PCT FULLTEXT

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00483346

**ELECTRONIC INFORMATION NETWORK FOR INVENTORY CONTROL AND TRANSFER**  
**RESEAU ELECTRONIQUE D'INFORMATION DESTINE A LA GESTION ET AU TRANSFERT**  
**D'INVENTAIRES**

**Patent Applicant/Patent Assignee:**

- **MAINTENET CORPORATION;**

;;

	Country	Number	Kind	Date
Patent	WO	9914698	A1	19990325
Application	WO	98US19161		19980915
Priorities	US	9758824		19970915

**Designated States:** (All protection types applied unless otherwise stated - for applications 2004+)

Publication Language: English

Filing Language:

Fulltext word count: 29294

**Detailed Description:**

...vendor. The Processed Orders section preferably displays, for I 0 each processed order, the order **number assigned** by the vendor, the date the order was processed by the vendor, the **purchase order number**, the release **number assigned** by the user, "ordered by" information (identification of the user who placed the order), shipping cost, handling cost, **tax**, and status (**assigned** by vendor).

1 5 To review the **line item** details of any order in the Processed Orders section, in a step 610 the user clicks on the order **number** to select the order to be reviewed. In a step 612, the information network displays the **line item**



information for the order, including line **number**, part **number**, description, price, quantity of the item ordered, quantity shipped (note that one status which might...

4/3K/39 (Item 9 from file: 349) [Links](#)

PCT FULLTEXT

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00482069

**CONSUMER-BASED SYSTEM AND METHOD FOR MANAGING AND PAYING ELECTRONIC BILLING STATEMENTS**

SYSTEME ET PROCEDE DE GESTION ET DE PAIEMENT DE RELEVES DE FACTURATION  
ELECTRONIQUES BASES SUR LE CONSOMMATEUR

**Patent Applicant/Patent Assignee:**

- **MICROSOFT CORPORATION;**

;;

	Country	Number	Kind	Date
Patent	WO	9913421	A2	19990318
Application	WO	98US15687		19980728
Priorities	US	97926156		19970909

**Designated States:** (All protection types applied unless otherwise stated - for applications 2004+)

Publication Language: English

Filing Language:

Fulltext word count: 8110

**Detailed Description:**

...4.

Fig. 8 shows another example of a graphical user interface window containing an electronic **billing** statement I 10 presented by the payment analyzer 56 on display 38. The billing statement... UI I 10 has a main body portion 112 that lists individual line **items**

for each purchase at Crown Home Improvement Center, with each **line item**

containing the purchase date, purchase order number, **invoice** number, item number, description of item, quantity, price, total, **tax**, and amount due.

The **bill** presentment UI 110 provides an easy forum for the consumer to evaluate and challenge certain portions of the **bill**. It is common that a consumer might want to challenge a **line item**

on the **bill**. For instance, the **bill** might include a **tax** on an item that is used for a non-**taxable** purpose, or the **bill** might include an item that has not yet been received by the consumer, or the **bill** might include an item that the consumer returned.

The bill UI 1 1 0 is... ..As an example, the bill UI 1 1 0 might contain additional columns which **categorize** preset reasons for challenging a billing item, such as tax exempt status, or the product...

4/3,K/40 (Item 1 from file: 484) [Links](#)

Periodical Abs Plustext

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02785808 **Supplier Number:** 96155028 (USE FORMAT 7 OR 9 FOR FULLTEXT )

**Residential organics diversion strategies**

Gies, Glenda

Biocycle: Journal of Waste Recycling ( IBIC ) , v37 n4 , p 90-93

Apr 1996

ISSN: 0276-5055 **Journal Code:** IBIC

**Document Type:** Feature

**Language:** English **Record Type:** Fulltext; Abstract

**Word Count:** 1952 **Length:** Long (31+ col inches)

**Text:**

...composting programs are charged based on each program's total predicted cost divided by the **number** of households using the service. Prior to 1995, these costs were billed to households on a utility **bill** which itemized costs for water, sewer, garbage and recycling/composting. To reduce administration and **billing** costs, these services were billed in 1995 as separate **line items** on property **tax bills**. In 1995, single families were charged \$89.40 per year for garbage **collection** and \$52.08

4/3,K/41 (Item 2 from file: 484) [Links](#)

Periodical Abs Plustext

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00997550 (USE FORMAT 7 OR 9 FOR FULLTEXT )

**Don't Lose a Single Cent--The Best Ways to Track Expenses**

Stern, Linda

Home-Office Computing ( GFHC ) , v10 n2 , p 34-35

Feb 1992

ISSN: 0899-7373 **Journal Code:** GFHC

**Document Type:** Feature

**Language:** English      **Record Type:** Fulltext; Abstract  
**Word Count:** 1270      **Length:** Medium (10-30 col inches)  
**Text:**

...worksheet looks like a ledger; it has a separate, automatic-totaling column for each expense **category** on Schedule C. There are columns along the left of the spreadsheet for the date, receipt **number**, amount, and expense **category**. If I want to see all of my expenses for a particular **category** together, I simply sort the entries by **category**. I **number** my receipts with the **line item** of the expense as I'm entering it. Since I use my spreadsheet to record my income as well, I always know roughly what my **taxable** income for the year looks like.

Time and expense trackers.

People who **bill** their clients for many of their expenses need a different kind of record-keeping system...

4/3,K/42 (Item 1 from file: 485) [Links](#)  
Accounting & Tax DB  
(c) 2007 ProQuest Info&Learning. All rights reserved.  
**\*\* FULL-TEXT AVAILABLE IN FORMATS 7 AND 9 \*\***  
00776819 **Supplier Number:** 57985019  
**Distric of Columbia: Fee imposed on local exchanged service**

Anonymous  
State Tax Review v61 n32 pp: 29 Aug 7, 2000  
**ISSN:** 0162-1750 **Journal Code:** ASTR  
**Word Count:** 133 **Line Count:** 12 Accounting & Tax DB\_1971-2007/May W2  
**Text:**

...Centrex line. Subscribers to wireless exchange will pay a rate of 20 cents per telephone **number** with a District of Columbia **billing** address. The fee is **collected** by local exchange services and remitted to the Mayor on a quarterly basis. Each user fee will be reflected in a separate **line item** on each **bill** sent by a local exchange carrier. User fees are not subject to **tax**. (D.C. Act 13-352, Laws 2000, approved May 19, 2000, effective after a 30day...

4/3,K/43 (Item 2 from file: 485) [Links](#)  
Accounting & Tax DB  
(c) 2007 ProQuest Info&Learning. All rights reserved.  
**\*\* FULL-TEXT AVAILABLE IN FORMATS 7 AND 9 \*\***  
00610677  
**Navision goes American**

Bellone, Robert H

Accounting Technology v13 n2 pp: 40 Feb/Mar 1997

ISSN: 0883-1866 Journal Code: CIA

Word Count: 264 Line Count: 24 Accounting & Tax DB\_1971-2007/May W2

Text:

...new invoice. You can set as many discount levels as you want, as well as assign tiered levels of service charges.

An unlimited number of alternative ship-to addresses is available, as well as the ability to collect sales tax for an unlimited number of jurisdictions per transaction. Thus, you can account for federal, state, county, parish, and city tax jurisdictions.

By setting up a customer's defaults, you can expense each line item on a customer's invoice directly to a General Ledger account. You can also invoice for inventory items, resources, and jobs on a line item basis.

Navision can print invoices and credit memos on plain paper or preprinted, customized forms. You can maintain bank balances...

4/3,K/44 (Item 1 from file: 636) Links

Gale Group Newsletter DB(TM)

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05212238 Supplier Number: 80057209 (USE FORMAT 7 FOR FULLTEXT)

#### **FLA. PLANNING SWEEPING TELECOM TAX REFORM IN FALL.**

Communications Daily, v 21, n 90, p NA

May 9, 2001

Language: English Record Type: Fulltext

Document Type: Newsletter ; Trade

Word Count: 723

...of work among industries, municipalities and state govt. that included passage last year of tax code changes that made this year's telecom tax consolidation legally possible. Supporters said Fla. bill could serve as national model for other states that wanted to reform outdated telecom taxation.

Measure would combine state telecom sales and gross receipts taxes into single statewide 9.17% rate that would be billed as one line item. Local utility tax, telecom franchise tax, cable franchise tax and sales tax would be consolidated into single local rate for that municipality and billed as second line item. Local rates would range from 1.6% to 5.1%, depending on tax types and rate locality currently levied. State taxes on direct broadcast satellite services would be wrapped into single 13.7% rate listed as single line item on satellite

services bill, with about 1/3 of collections from DBS tax to be returned to local govts. Rates were set to be revenue neutral for state ...affect state relay service tax or local E- 911 taxes, which will continue to be collected separately. Measure also doesn't affect dial-up Internet access services.

Measure would broaden tax...

4/3,K/45 (Item 2 from file: 636) [Links](#)

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03654964 Supplier Number: 47872196 (USE FORMAT 7 FOR FULLTEXT)

### **Last-Ditch Effort On CBI Trade Benefits Falls Short**

CongressDaily/A.M. , p N/A

July 31 , 1997

Language: English Record Type: Fulltext

Document Type: Magazine/Journal ; Trade

Word Count: 613

...and secondary schools and the travel expenses of federal employees doing criminal investigations.

The new line item veto law allows the president to exercise the power over extremely limited tax benefits granted to a small number of people.

The law requires that the JCT file a memo identifying the sections of the bill that are subject to the line item veto or state that no provisions are covered.

If the list is included in the...

...If the conferees choose not to include a list, the president has the power to determine which provisions are covered by the law.

The House made the entire tax conference report...

4/3,K/46 (Item 3 from file: 636) [Links](#)

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03282282 Supplier Number: 46738876 (USE FORMAT 7 FOR FULLTEXT)

### **MASTERCARD REDUCES INTERCHANGE.**

EFT Report , v 19 , n 20 , p N/A

Sept 25 , 1996

Language: English Record Type: Fulltext

Document Type: Newsletter ; Trade

Word Count: 172

(USE FORMAT 7 FOR FULLTEXT)

Text:

...for large ticket items. MasterCard hopes to incent suppliers to provide more customer information on invoices. Suppliers that provide customer codes, merchant classification numbers, tax identification numbers and other line item details will receive additional interchange savings. This information will be transmitted electronically from the supplier...

4/3,K/47 (Item 1 from file: 654) Links

US PAT.FULL.

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0004971779 \*\*IMAGE Available

Derwent Accession: 2002-291534

**METHOD AND SYSTEM OF COMBINED BILLING OF MULTIPLE ACCOUNTS ON A SINGLE STATEMENT**

Inventor: PATRICK SAVAGE, INV

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	Publication Number	Kind	Date	Application Number	Filing Date
	-----	--	-----	-----	-----
Main Patent	US 20020026394	A1	20020228	US 98181658	19981029

Fulltext Word Count: 19725

**Description of the Invention:**

...related charges, other information is received from the vendor 140 and placed on the final bill to provide additional information about the usage, including, for example, meter number, last reading, current reading, start and end dates. The bill calculation module 146 converts the usage data into a rated bill, including any tax due on the sale. The retail company 234 also provides the financial institution 100 the required information to properly calculate the tax due on each sale. In the process for calculating the bill, initially, the incoming data is validated. Validating the data includes, receiving line items via a flat file from each supply chain vendor 140 or its meter reading vendor, validating the formatting of each line item, and returning any line items that do not match the mutually agreed upon format

...

...feeding the data into the financial institution's billing system. The retail company's bill aggregator 124 receives the line items from the supply chain vendor 140, validates this information, and...

...the supply chain vendor's part number for the type of service, and the billing code indicating the type of information or action to be taken. The billing codes include one time fee (initiation, termination, penalty, rebate, and miscellaneous) and recurring fee (daily, weekly, bimonthly, monthly, quarterly, semi-annually, annually, and tax). Each line item also includes the dollar amount and any descriptive text relating to the line item. The retail company's bill aggregator 124 also validates the formatting of each line item and returns any line items that do not match the mutually agreed upon format. A component that has multiple charges (i.e., tax, shipping) creates multiple line items, one for each charge...

...the bundle 128, products 130, and components 132 purchased. The supply chain vendor's component number is validated to match one of the components purchased by the customer 110 in the particular order. If they do not match, the record is placed in dispute for later review. The price received from the supply chain vendor 140 is validated (i.e., the line with the billing code corresponds to the item being purchased) to match the price within the retail company's component database. Non-energy charges received must match the retail company's component price. If not, the line item is rejected and returned. All of the retail company's pricing except bundle discounts must be synchronized with the supply chain vendor's price for the component. Non-energy rated bills received include taxation calculations from the supply chain vendor 140...

4/3,K/48 (Item 1 from file: 660) Links

Federal News Service

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00211278 Subfile: FNS

**Title: PREPARED STATEMENT OF GENE L. DODARO ASSISTANT COMPTROLLER GENERAL  
ACCOUNTING AND INFORMATION MANAGEMENT DIVISION UNITED STATES GENERAL  
ACCOUNTING OFFICE**

**BEFORE THE HOUSE COMMITTEE ON GOVERNMENT REFORM AND OVERSIGHT SUBCOMMITTEE  
ON GOVERNMENT MANAGEMENT, INFORMATION AND TECHNOLOGY**

**SUBJECT - U.S. GOVERNMENT FINANCIAL STATEMENTS RESULTS OF GAO'S FISCAL YEAR 1997  
AUDIT**

**WEDNESDAY, APRIL 1, 1998**

**Section Heading: Capitol Hill hearing**

**Dateline: Washington dateline general news**

Filing Date: 980401 Year: 1998

Approximate Word Count: 004949 Approximate Line Count: 00449

**Text:**

...its tax collection activities, which affect its ability to efficiently and effectively account for and **collect** the government's revenue.<sup>4</sup> This situation requires extensive reliance on ad hoc programming and...

...financial information. For example, the government currently does not obtain information necessary to identify tax **collections** by every type of **tax** at the time of **collection**. As a result, the government cannot separately report revenue for three of the four largest revenue sources--Social Security, Hospital Insurance, and individual income **taxes**. Because of this, the government had to report these three **tax** types in the same **line item** on the Consolidated **Statement of Changes in Net Position**. Additionally, excise **tax** revenues are distributed to the relevant trust funds based 'on' assessments rather than, as required by the Internal Revenue **Code**, on **collections**. Serious weaknesses also affect the federal government's ability to effectively manage its **taxes** receivable and other unpaid assessments.<sup>5</sup> The lack of appropriate subsidiary systems to track the status of taxpayer accounts affects the government's ability to make informed decisions about **collection** efforts. This weakness, for example, has resulted in the government pursuing and **collecting**, from individual taxpayers, taxes that had already been paid. Additionally, the federal government is vulnerable...



? show files

[File 13] **BAMP** 2007/May W2

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[File 20] **Dialog Global Reporter** 1997-2007/May 29

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[File 101] **Disclosure Database(R)** 2007/May W4

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[File 180] **Federal Register** 1985-2007/May 22

(c) 2007 format only DIALOG. All rights reserved.

[File 194] **FBODaily** 1982/Dec-2007/Mar

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[File 195] **FBODaily** MAR 2005-2007/May 30

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[File 349] **PCT FULLTEXT** 1979-2007/UB=20070525UT=20070518

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*\*File 349: For important information about IPCR/8 and forthcoming changes to the IC= index, see HELP NEWSIPCR.*

[File 542] **SEC Online(TM) 10-K Reports** 1997/Sep W3

(c) 1987-1997 SEC Online Inc. All rights reserved.

*\*File 542: This file is closed.*

[File 545] **Investext(r)Archive** 1982-2007/MAR 31

(c)2007Thomson Financial Networks. All rights reserved.

*\*File 545: File 545 will cease updating March 31, 2007. Use File 745 for current reports.*

[File 654] **US PAT.FULL.** 1976-2007/MAY 24

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*\*File 654: IPCR/8 classification codes now searchable in 2006 records. For information about IC= index changes, see HELP NEWSIPCR.*

[File 766] **(R)Kalorama Info Market Res.** 1993-2000/Aug

(c) 2000 Kalorama Info Inc. All rights reserved.

*\*File 766: Updating Suspended. Last Data 06/2000*

[File 774] **EdgarPlus(TM)-Prospectuses** 2006/Oct 03

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*\*File 774: File 774 is closed (no longer updating).*

[File 775] **EdgarPlus(TM)-Reg. Statements** 2006/Oct 03

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*\*File 775: File 775 is closed (no longer updating).*

[File 780] **EdgarPlus(TM)-Proxy Statements 2006/Oct 03**

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[File 990] **NewsRoom Current Jan 1 -2007/May 28**

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[File 991] **NewsRoom 2006 Jan 1-2006/Dec 31**

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[File 992] **NewsRoom 2005**

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[File 994] **NewsRoom 2003**

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[File 995] **NewsRoom 2002**

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[File 996] **NewsRoom 2000-2001**

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; d s  
Set Items Description  
S1 31 S (BILLING OR BILL OR BILLS OR INVOICE? ? OR INVOICING OR STATEMENT OR  
VOUCHER OR PURCHASE() ORDER? ?) (S) (LINEITEM? ? OR LINE(N) ITEM? ?) (S) (TAX?) (S) (CODE? ? OR  
CLASSIF? OR INDEX? OR CATEGORIS? OR UPC OR UPN) (S) (B2B OR BUSINESS(X) BUSINESS OR  
MERCHANT(X) MERCHANT)  
S2 31 RD (unique items)

? t 2/3,k/all

2/3,K/1 (Item 1 from file: 13) **Links**

**BAMP**

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00722967 24705410 2723400 (Use Format 7 Or 9 For Fulltext)

**Building a Business Rule System: Part 1**

**( The ideal payback of a business rules methodology is that it enables the discovery of key intellectual process flow, and allows for more spontaneous business change )**

**Article Author:** von Halle, Barbara

DM Review , v 11 , n 1 , p 38-43

January 2001

**Document Type:** Journal **ISSN:** 1521-2912 ( United States )

**Language:** English **Record Type:** Fulltext

Word Count: 3284 (Use Format 7 Or 9 For Fulltext)

Text:

...Term	A noun or noun phrase with an agreed upon definition.	<ul style="list-style-type: none"><li>* Customer</li><li>* Customer Credit Rating Code</li><li>* Female</li><li>* Days of the American work week (Monday, Tuesday, etc.)</li></ul>
Fact	A <b>statement</b> that connects terms through prepositions and verbs, into sensible business-	<ul style="list-style-type: none"><li>* Customer can place order</li><li>* Order is for line</li></ul>
item	relevant observations.	<ul style="list-style-type: none"><li>* Line</li></ul>
item is		for product
		<ul style="list-style-type: none"><li>* Customer qualifies for customer credit rating code</li></ul>
Mandatory Constraint	A complete <b>statement</b> that expresses an unconditional circumstance that...	<ul style="list-style-type: none"><li>* A customer must not have more than 10 open</li></ul>
...not be		greater than the customer's single order credit limit amount.
Guideline	A complete <b>statement</b> that expresses a warning about a	A customer should not have more than 10 open...
...or not	time.	
Action Enabler	true. An action enabler is a complete <b>statement</b> that tests conditions and upon finding them true, initiates another <b>business</b> event, message or other activity.	<ul style="list-style-type: none"><li>* If a customer order is valid, then initiate the place order process/<b>business</b> event/use case.</li><li>* If a customer is high-risk, then notify the customer services</li></ul>

Computation	A complete <b>statement</b> that provides an algorithm for arriving	manager. The total-amount- due for an order is computed...
...	where such algorithms item amount(s) for may include sum, difference, product, quotient, count, maximum, minimum, average.	the order plus <b>tax</b> .
Inference	A complete <b>statement</b> that tests conditions and, upon finding them true, establishes the truth of a new fact.	* If a customer has no outstanding <b>invoices</b> , then customer is of preferred status. * If a customer is...

2/3,K/2 (Item 1 from file: 20) **Links**

Dialog Global Reporter

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55246387

**Resolution PLC - Year end results 2006 - Pt 2**

AFX CNF

April 03, 2007

**Journal Code:** WCNF **Language:** English **Record Type:** FULLTEXT

**Word Count:** 16072

...Post-tax new Pre-tax new New 31 December 2006 premiums premium value of  
new **business business business** equivalent business  
contribution contribution margin Single Regular premiums AGBPm AGBPm AGBPm  
AGBPm AGBPm AGBPm % Protection...

...New business margin The new business margin of 3.9% represents the  
ratio of pre-**tax** EEV contribution from new business to the present  
value of new business premiums (PVNBP). The...return on shareholders' net  
worth 2.9% 3.0% Life divisions' expected EEV profit before **tax** 8.8%  
6.6% Operating experience variances 0.3% 3.0% Operating assumption  
variances 0.7% (3.2)% Life divisions' EEV operating profit before  
**tax** 9.8% 6.4% Asset management 0.9% 0.4% Management services 0.4%  
0.1% Group income and charges (0.2)% (0.4)% EEV operating profits before  
**tax**, financing and non-recurring items 10.9% 6.5% Impact of  
financing 2.8% 0.1% Attributed **tax** charge on EEV operating profits  
before non-recurring items (4.1%) (1.5)% EEV operating profits after  
**tax**, before non-recurring items 9.6% 5.1% Non-recurring items 8.1%

3.8...

...experience variances 2.3% 7.0% Effect of economic assumption changes  
2.3% (1.6)% **Tax** on non-recurring items (3.2)% (2.1)% EEV profit  
after **tax** 18.8% 12.2% Profit on acquisition of Abbey's life  
businesses 4.6% 0...

...payments made, and the debt raised and repaid during 2006. The EEV  
operating profits before **tax**, financing, and non-recurring items  
are based on the gross EEV (i.e. before the...

2/3,K/3 (Item 2 from file: 20) [Links](#)

Dialog Global Reporter

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49113342

**PRO PHARMACEUTICALS INC**

EDGAR ONLINE

May 23, 2006

**Journal Code:** CXEO **Language:** English **Record Type:** FULLTEXT

**Word Count:** 3421

...share of increases in the operating expenses for the building after  
calendar year 2006 and **taxes** for the building after fiscal year  
2007. We have the right to extend the term...

...On an ongoing basis, we evaluate our estimates, including those related  
to intangible assets, income **taxes**, accrued expenses, stock-based  
compensation, convertible debt instrument and warrant liabilities  
contingencies and litigation. We...

...believe our critical accounting policies include our policies regarding  
stock-based compensation, accrued expenses, income **taxes** and  
convertible debt instrument and warrant liabilities. For a more detailed  
discussion the first three...fair value of convertible debt instrument and  
warrants, which is separate line item in our **statement** of  
operations, we believe our interest expense **line item** more  
appropriately reflects the cost of debt associated with our convertible  
debentures. We determined the...

...instruments are fixed at \$3.35 per share, and result in a charge to our  
**statement** of operations. A decrease in our stock price would  
likewise cause the fair value of the convertible debentures and the  
warrants to decrease and result in a credit to our **statement** of  
operations. If the price of our common stock were to decline  
significantly, however, the...

...Cybernet Data Systems, Inc. All Rights Reserved Received by Edgar

Online May 22, 2006 CIK Code: 0001133416 Accession Number:  
0001193125-06-117325

2/3,K/4 (Item 3 from file: 20) [Links](#)  
Dialog Global Reporter  
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48815184 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Q1 2006 Telegate AG Earnings Conference Call - Part 1**

FAIR DISCLOSURE WIRE  
April 25, 2006  
**Journal Code: WFDW Language: English Record Type: FULLTEXT**  
**Word Count: 4731**

...in line with operating profitability, we have slightly higher interest income. We have slightly lower tax payments but then we have slightly higher depreciation again. And first time since quite a...the first quarter last year. And then second if you look to the cash flow **statement** which we published already on the web, you will also see that the working capital...

...the base of business and revenues (as I mentioned) and on the other hand the B2B areas starting with the consumer business. The strategy in helping our (inaudible) DA business of...

...voice and online in different situations (inaudible) offer from us in those areas. In the B2B areas, primarily our (inaudible) services business and (inaudible) the combination of the 11880, also mobile...in the process of preparation of the best market opening especially when the old (legacy code) is shut down. We have secured the golden number, the 1818. So we have the...questions from you. That is our outlook '06 and guidance '07, this chart and this **statement** has not changed. In the beginning of the year when we introduced this (logic), clearly whatever you would expect and better clarity for '06 is clearly a **statement** from our side that it's too early. At the moment we are not in...

2/3,K/5 (Item 4 from file: 20) [Links](#)  
Dialog Global Reporter  
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42647935  
**Q1 2005 RealNetworks Earnings Conference Call - Part 1**

FAIR DISCLOSURE WIRE  
May 04, 2005

**Journal Code:** WFDW **Language:** English **Record Type:** FULLTEXT  
**Word Count:** 4521

...24% growth rate over 2004 results. Real also expects full-year EBITDA, earnings before interest, **taxes**, depreciation and amortization and excluding antitrust litigation expenses to be between 25 million and 28...

2/3,K/6 (Item 5 from file: 20) [Links](#)

Dialog Global Reporter

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28771717

**Visa Canada helps commercial clients save time and money at Grand & Toy**

CANADA NEWSWIRE

April 23, 2003

**Journal Code:** WCNW **Language:** English **Record Type:** FULLTEXT

**Word Count:** 718

...can choose to receive more detailed information. These details could include a breakdown of sales **tax** data and a customer reference indicator, which could be used for an account or purchase order number or a general ledger **code**. Other available information includes a product description and **code**, quantity ordered, discount amount or shipping and **billing** addresses. Grand & Toy, one of the largest commercial suppliers of office products in Canada, is...

...position requires us to be a leader in the services we provide our customers," says Bill Manveiler, National Sales Manager for Grand & Toy. "A valuable tool, Visa Canada's enhanced data...

...indicated that the average company spends \$88,000 per year to provide reporting to their **B2B** customers. This figure is based on the average company having seven full-time account managers...

2/3,K/7 (Item 1 from file: 194) [Links](#)

FBODaily

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3875482

**BOILER FEEDWATER SERVICES FOR NAVAL STATION, NAS NORTH ISLAND AND POINT LOMA, SAN DIEGO SOL N00244-98-R-0160 DUE 101998 POC**

Barbara Davis, Contracting Officer at 619-532-2657 WEB: [click here to learn more about FISC San Diego and](#)

2899 with a small business standard of 500 employees. The agency need is Boiler Feedwater...area. The requirement is for a firm fixed price, Indefinite Quantity, Requirements contract with three line items with subclins for each year. The Period of Performance is 01 November 1998 through 30 September 1999, with two, one year options for the following items: Line Item 0001 Naval Station-32nd Street, 0001AA -- (Est. QTY. 3,000,000 GL), Delivery of Mobile...from this solicitation. The purchase card program will replace the customary task or delivery order, invoice and remittance procedures with charge card purchases and automated supplier payments. If you currently do...encourage you to evaluate the benefit of this program and sign-up as a Visa merchant. Merchant Bankcard services (the issuer of the Visa card our employees will be using) has a...  
[www.acq-ref.navy.mil/turbo/arp51.htm](http://www.acq-ref.navy.mil/turbo/arp51.htm)/ All responsible Offerors are to submit cage code, Dunn and Bradstreet number, Tax Identification Number and all applicable specifications regarding this solicitation. Proposals must be received no later...

2/3K/8 (Item 1 from file: 349) Links  
 PCT FULLTEXT

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 01329846

# ENSEMBLE D'INTERFACES COHERENT DERIVE D'UN MODELE D'OBJETS COMMERCIAUX CONSISTENT SET OF INTERFACES DERIVED FROM A BUSINESS OBJECT MODEL

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- **SCHNEIDER Andreas**  
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Legal Representative:

- **SAITO Marina N et al(agent)**

8000 Sears Tower, 233 South Wacker Drive, Chicago, IL 60606; US;

	Country	Number	Kind	Date
Patent	WO	200612160	A2-A3	20060202
Application	WO	2005US22137		20050624
Priorities	US	2004582949		20040625
	US	2005145464		20050603
	WO	2005US19961		20050603
	WO	2005US21481		20050617
	US	2005155368		20050617

**Designated States:** (All protection types applied unless otherwise stated - for applications 2004+)  
 AE; AG; AL; AM; AT; AU; AZ; BA; BB; BG;  
 BR; BW; BY; BZ; CA; CH; CN; CO; CR; CU;  
 CZ; DE; DK; DM; DZ; EC; EE; EG; ES; FI;

GB, GD, GE, GH, GU, HT, IL, IN, IS, JP, KE, KM, KP, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW;

[EP] AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR;

[OA] BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG;

[AP] BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW;

[EA] AM, AZ, BY, KG, KZ, MD, RU, TJ, TM;

Publication Language: English  
Filing Language: English  
Fulltext word count: 378186

**Detailed Description:**

...lines 1 and 2 of item 30 remain unchanged, because they are not transmitted.  
Schedule line 3 of item 30 is deleted (ActionCode = "03").  
The default case for a message is...

2/3K/9 (Item 2 from file: 349) [Links](#)  
PCT FULLTEXT

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00784185

**A SYSTEM AND METHOD FOR STREAM-BASED COMMUNICATION IN A COMMUNICATION SERVICES PATTERNS ENVIRONMENT**

SYSTEME, PROCEDE ET ARTICLE DE PRODUCTION FOURNISSANT UN SYSTEME DE COMMUNICATION EN CONTINU DANS UN ENVIRONNEMENT DE CONFIGURATIONS DE SERVICES DE COMMUNICATION

**Patent Applicant/Patent Assignee:**

- **ACCENTURE LLP**; 1661 Page Mill Road, Palo Alto, CA 94304  
US; US(Residence); US(Nationality)

**Legal Representative:**

- **HICKMAN Paul L(agent)**  
Hickman Coleman & Hughes, LLP, P.O. Box 52037, Palo Alto, CA 94303-0746; US;

	Country	Number	Kind	Date
Patent	WO	200117195	A2-A3	20010308
Application	WO	2000US24125		20000831
Priorities	US	99386717		19990831

**Designated States:** (All protection types applied unless otherwise stated - for applications 2004+)

[EP] AT; BE; CH; CY; DE; DK; ES; FI; FR; GB;  
GR; IE; IT; LU; MC; NL; PT; SE;

[OA] BF; BJ; CF; CG; CI; CM; GA; GN; GW; ML;  
MR; NE; SN; TD; TG;

[AP] GH; GM; KE; LS; MW; MZ; SD; SL; SZ; TZ;  
UG; ZW;

[EA] AM; AZ; BY; KG; KZ; MD; RU; TJ; TM;

Publication Language: English

Filing Language: English

Fulltext word count: 150532

**Detailed Description:**

...services control and coordinate the tasks that must be completed in order to process a **business** event. For example, at XYZ Savings and Loan, in order to receive a promotion, you...etc.

Having the business logic stored on the server enables developers to centrally maintain application **code**; thereby eliminating the need to distribute software to client machines when changes to the business... client model. However, as technology evolves, this balance is beginning to shift, allowing business logic **code** bundled into components to be either downloaded at runtime or permanently stored on the client ...

2/3,K/10 (Item 1 from file: 545) [Links](#)  
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10561066

## COMPUTERS-SOFTWARE & SERVICES: UPDATE

SG COWEN SECURITIES CORPORATION  
BROSSEAU, A.  
MASSACHUSETTS (COMMONWEALTH OF)

**DATE:** March 13, 00

**INVESTEXT(tm) REPORT NUMBER:** 3714723 , PAGE 28 OF 28 , TEXT/TABLE PAGE

This is a(n) INDUSTRY report.

### TEXT:

...EPS were \$0.07, compared with the  
consensus estimate of \$0.04. Our preferred metric, **taxed** operating  
EPS,  
were \$0.05 (vs -\$0.06), exceeding our estimate of \$0.02. Cash...

...For \$475MM-VerticalNet announced the planned  
acquisition of Tradeum, a San Francisco-based provider of **B2B**  
marketplace solutions. Tradeum's XML-based digital marketplaces fuse  
online catalogs, auctions, and exchanges with...

...Q2:01.

[Graphical Material Omitted - Call Your Client Service Representative  
For Availability: YTD Software Performance **Index** By Company]

This report is published solely for information purposes, and is  
not to be...

...be reliable but is not guaranteed by us and does not purport be a  
complete **statement** or summary of the available data. Any opinions  
expressed herein are statements of our judgment...

2/3,K/11 (Item 1 from file: 654) [Links](#)  
US PAT.FULL.  
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6524471 \*\*IMAGE Available

Derwent Accession: 2006-067216

UTILITY

Consistent set of interfaces derived from a business object model

Inventor: Seubert, Michael, Sinsheim, DE  
Rasch, Jochen, Sandhausen, DE  
Kuehl, Axel, Nussloch, DE  
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Poetschke, Dominic, Ettlingen, DE  
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Reinemuth, Frank, Mannheim, DE  
Rieken, Gregor, Walldorf, DE  
Ripp, Volker, Mannheim, DE  
Ritter, Gerd, Heidelberg, DE  
Sala, Paola, Heidelberg, DE

Schapler, Daniela, St. Leon-Rot, DE  
Schmitt, Matthias, Speyer, DE  
Schneider, Andreas, Bobenheim-Roxheim, DE  
Schueler, Armulf, Heidelberg, DE  
Schultze, Dagmar, Heidelberg, DE  
Seyler, Reiner, Neidenstein, DE  
Sievers, Ralf, Walldorf, DE  
Stuhec, Gunther, Heidelberg, DE  
Thome, Frank, Karlsruhe, DE  
Wagner, Andre, Sinsheim, DE  
Winkel, Rudolf, Walldorf, DE  
Yu, Tao, Walldorf, DE  
Zachmann, Jens, Walldorf, DE  
Zadro, Renato, Bruhl, DE  
Zimmerman, Theo, Wiesloch, DE  
Zoeller, Michael, Rauenberg, DE

Assignee: Unassigned

Correspondence Address: SONNENSCHNATH & ROSENTHAL LLP, P.O. BOX  
061080, WACKER DRIVE STATION, SEARS TOWER, CHICAGO, IL, 60606-1080, US

	Publication Number	Kind	Date	Application Number	Filing Date
	-----		-----	-----	-----
Main Patent	US 20060085450	A1	20060420	US 2005166065	20050624
Provisional				US 60-582949	20040625
Provisional				US 60-656598	20050225
Provisional				US 60-669310	20050407

Fulltext Word Count: 361322

2/3,K/12 (Item 2 from file: 654) Links

US PAT.FULL.

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5565633 \*\*IMAGE Available

Derwent Accession: 2004-279809

Utility

E/ Secure gateway interconnection in an e-commerce based  
environment

Inventor: Underwood, Roy Aaron, Long Grove, IL

Assignee: Accenture LLP 02), Palo Alto, CA

(Code: 63692)

Examiner: Wiley, David (Art Unit: 213)

Assistant Examiner: Neurauter, George



Combined Principal Attorneys: Edwards, W. Glenn Oppenheimer Wolff &  
Donnelly, LLP

	Publication Number	Kind	Date	Application Number	Filing Date
Main Patent	US 6704873	A	20040309	US 99364490	19990730

Fulltext Word Count: 104984

Description of the Invention:

...**Business** Components...to note that the environment should adequately support all the development tasks, not just the **code** /compile/test/debug cycle...

...the project's day-to-day activities and avoid conflicting actions by controlling access to **code** and repositories...

...However, there is one new role that is critical to the CM process, the **Source Code Librarian**...

...Once the developer starts working on the **Statement** of Work or Scope Definition portion of the present description, the developer should set the...

...The **Statement** of Work/Scope Definition portion of the present description is sent to the change requester...

...design specifications portion of the present descriptions. Other impacted groups may create a technical impact **statement**.

...**Code & Unit Test**...

...In this phase 2310, the developer **codes** the change request and unit tests the **code** changes to ensure that it works as designed and that it meets the business needs...

...After the change has been **coded** and unit tested, the developer should fill in the Resolution field for the change request...

...that the system's functionality works as designed. Furthermore, this test also ensures that the **code** change did not adversely affect other areas of the current system. This may entail running...

...pre-defined System Test scripts. For certain change requests, it is important to test the **code** change against a large volume of data. This may check if the change may handle

2/3,K/13 (Item 3 from file: 654) Links

US PAT.FULL.

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0004977995 \*\*IMAGE Available

Derwent Accession: 2001-616424

**Apparatus, systems and methods for online, multi-parcel,  
multi-carrier, multi-service parcel returns shipping management**

Inventor: Daniel Williams, INV  
David Bennett, INV  
Lynn Goldhaber, INV  
Dennis Glavin, INV  
Lory Krett, INV  
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	Publication Number	Kind	Date	Application Number	Filing Date
	-----	--	-----	-----	-----
Main Patent	US 20020032612	A1	20020314	US 2001820292	20010327
Provisional				US 60-192692	20000328
Provisional				US 60-195748	20000406
Provisional				US 60-232103	20000912

Fulltext Word Count: 60446

Description of the Invention:

...120 and Password 121 and to click on the onscreen Continue button  
122. If the **Merchant**/User enters an e-mail/User ID 120 and a  
Password 121, the Return System...

...151, an Attention name 152, one or more Address lines 153, city state  
and zip code 154, country 155, and telephone number 156...

2/3,K/14 (Item 4 from file: 654) Links

US PAT.FULL.

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4836036 \*\*IMAGE Available

Derwent Accession: 2003-455844

Utility

CERTIFICATE OF CORRECTION

E/ Method for translating an object attribute converter in an  
information services patterns environment

Inventor: Bowman-Amuah, Michel K., Colorado Springs, CO

Assignee: Accenture LLP 02), Palo Alto, CA

Accenture LLP (Code: 63692)

Examiner: Mizrahi, Diane D. (Art Unit: 238)

Assistant Examiner: Benson, Walter

Law Firm: Oppenheimer Wolff & Donnelly LLP

	Publication Number	Kind	Date	Application Number	Filing Date
	-----	--	-----	-----	-----
Main Patent	US 6529909	A	20030304	US 99386837	19990831

Fulltext Word Count: 145777

#### Description of the Invention:

...builds upon earlier iterations of HTML by enabling Web authors to include advanced forms, in-line frames, and enhanced tables in Web pages. HTML 4.0 also allows authors to publish...refresh (replication of changes), replication granularity (row, table, database), method of capturing changes (snapshot, SQL statement intercept, trigger-based, log-based), method of propagating copies (push, pull), propagation timing controls (database...

...Indexing 1412...

...Therefore, most document management products provide index services that support the following methods for searching document repositories...

...and a reference to the actual document itself. In order to support Attribute Search an index maintains documents' attributes, which it uses to manage, find and catalog documents. This is the...

- ...documents that match the search criteria. In order to facilitate Full-text Search, full-text **indexes** are constructed by scanning documents once and recording in an **index** file which words occur in which documents. Leading document management systems have full-text services...
- ...words or phrases. Also, searches for related words or phrases by using synonyms and word **taxonomies**. For example, if the user searches for auto, the search engine should look for car...
- ...that are joined together using boolean operators (e.g., AND, OR, NOT). Same type of **indexes** are used for Boolean Search as for Full-Text Search...
- ...The following products are used to **index** and search Web and non-Web documents...
- ...Verity Topic--delivers accurate **indexing**, searching and filtering of a wide variety of information sources and formats. Verity Topic is integrated directly into several document management products, allowing systems to full-text **index** its unstructured information. Verity Topic also offers a variety of products to help full-text **index** Web sites...
- ...Fulcrum--provides a variety of robust, multi-platform **indexing** and retrieval products that deliver full-function text retrieval capabilities. Fulcrums products are typically integrated...
- ...MICROSOFT **Index** Server 1.1--allows for search of Web documents, including MICROSOFT Word and MICROSOFT Excel...
- ...0 or higher to provide access to documents stored on an intranet or Internet site. **Index** Server supports full-text searches and retrieves all types of information from the Web browser

2/3,K/15 (Item 1 from file: 766) **Links**

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00172193

**THE PRODUCTS: Establishing a Commercial Card Program -- Data Capture Levels ; Query Existing Bank Partners First ; Providers Often Help with Implementation ; How a Corporate or Purchasing Card Program Works .**

**Main Title:** Market for Corporate Credit Cards and Purchasing Cards

**Pub. Date:** May 2000

**Source:** Packaged Facts

**Telephone:** US (800) 346-3787; Other (212) 807-2657

**Word Count:** 934 ( 2 pp.)

**Language:** English

**Country:** UNITED STATES

**Industry:** SERVICES

**Company Names (DIALOG Generated):** American Express ; Association of Purchasing Management ; Express ; Purchasing Card Program Works ; Purchasing Management ; Query Existing Bank Partners

**Telephone:** US (800) 346-3787; Other (212) 807-2657

...by means of technology embedded in the POS terminal, or by software that translates default codes into company-specific accounting codes.

Purchasing card consultants advise companies interested in establishing a purchasing card program to query their...

...all possible.

Data Capture Levels

In industry terminology, Level 1 data include simply the transaction, merchant, merchant address and date; Level 2 includes two additional

details about the transaction but less than Level 3; and Level 3 captures all of the required line-item transaction details.

The two

extra fields on Level 2 data are generally filled with an SIC or other product code, plus sales tax; Level 3 data are generally far more

complex, but can vary by industry and supplier...

...MasterCard

data for airlines, for example, include passenger name, departure date, city of origin, airport code, travel agency code and name,

ticket number, and the first four legs of the trip, although MasterCard is...

...and

toll-free customer service number. Level 3 data for others suppliers might include inventory codes, quantity of items purchased and unit price; sales and use tax; minority- or women-owned business status; and 1099 status for outside contractors.

Query Existing Bank...

...representative of the card program work with purchasing managers to develop the controls and reporting codes appropriate to a client's program, many will also help with employee training.

How a...

...authorization, which verifies that the cardholder is authorized to make the purchase. If so, a code is generated and the details of the transaction are captured electronically. For a travel-and...

...of customization incorporated into the card issuer's program, the record may include the SIC code, as well as a line-item listing of each purchase, sales or use tax paid, and whether the merchant is a woman-, disabled-, or minority-owned business.

Each day...

...the transactions to a payment processor. The payment processor (or acquiring processor) provides credit card billing, reporting, and settlement services to both acquiring and issuing banks, and receives an interchange fee for these services. Credit card billing presents the statement of the cardholder's transactions to the cardholder (either the corporation or its employee). The...

2/3,K/16 (Item 1 from file: 774) Links  
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02466880  
**MONY VARIABLE ACCOUNT A**

**Document Type: PROSP Form Type: 497**  
**Document Date: 20040521**  
**Document Control Number: 04823117**  
**Company Number: M783200000**

LOCATOR

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A8	EXPERTS
A9	FINANCIAL-STATEMENTS
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B1	BALANCE-SHEET
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B3	CASH-FLOW-STATEMENT
B4	NOTES-TO-FIN
B5	FINANCIAL-STATEMENTS

2/3,K/17 (Item 2 from file: 774) [Links](#)

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02324937

**SONERA OYJ**

**Document Type: PROSP Form Type: 425**

**Document Date: 20021004**

**Document Control Number: 02781483**

**Company Number: S468269062**

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A8	BUSINESS
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030071881  
**RIO HAN HOLDING CO**

**Document Type:** REGST Form Type: F-4  
**Document Date:** 20060309  
**Document Control Number:** 06674243  
**Company Number:** R595970317

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D2	POWER-OF-ATTORNEY
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L4	EXHIBIT 15.1 LETTER-UNAUDITED-INTERIM-INFO
L5	EXHIBIT 21.1 SUBSIDIARIES
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L7	EXHIBIT 99.1 ADDITIONAL-EXHIBITS
L8	EXHIBIT 99.2 ADDITIONAL-EXHIBITS
L9	ADDITIONAL-EXHIBITS

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03965846  
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**Document Date:** 20020514  
**Document Control Number:** 02645162.  
**Company Number:** C505850000

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**AT&T COMCAST CORP**

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**VOICESTREAM WIRELESS CORP**

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NewsRoom Current

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1370101961 17MN33L8

**Trade regulation rules: Franchising and business opportunities; disclosure requirements and prohibitions**

RegAlert

Friday , March 30, 2007

**Journal Code:** GDGC **Language:** English **Record Type:** Fulltext

**Document Type:** Trade Journal **ISSN:** N/A

**Word Count:** 157,734

...This document also contains the text of the final amended Rule and the Rule's **Statement of Basis and Purpose** ('`SBP''), including a Regulatory Analysis.

**EFFECTIVE DATES:** The effective date of...prohibitions in part 437 are

identical to  
those of the original Franchise Rule.  
[[Page 15445]]

## STATEMENT OF BASIS AND PURPOSE

### I. INTRODUCTION

#### A. Overview of the Original Franchise Rule The Commission...

...43 FR 59614 (Dec. 21, 1978). Along with the original Rule, the Commission published a **Statement** of Basis and Purpose ('`original SBP`'), 43 FR 59621 (Dec. 21, 1978) and later Final...has authorized its staff to file a number of advocacy comments recommending against proposed state bills that would have unduly limited manufacturers in managing their distribution systems, such as by requiring...promulgation and amendment of a Section 18 rule require a preponderance of reliable evidence. See **Statement** of Basis and Purpose, Funeral Rule, 59 FR 1592 (Jan. 11, 1994); Credit Practices Rule...In addition, the seller had to set forth the claim in a separate earnings claims **statement** containing the bases and assumptions underlying the claim. Franchisors and business opportunity sellers were also...

...warning of the consequences to a franchisee when a franchisor offers no exclusive territory; a **statement** of what the term ``renewal`` means in the franchise system; and a disclosure of the...enables prospective franchisees to obtain desirable information without imposing new compliance burdens on franchisors.

#### 4. **Statement** of prevalence

The Commission promulgated the original Rule based upon its finding of prevalent deception...Rule context.

-----

94  
16 CFR 436.2(n).

95  
See generally Federal Trade Commission Policy **Statement** on Deception, appended to Cliffdale Assocs., 103 FTC 110 (1984).

-----

5. Section 436.1(e)...comments and the Commission's long law enforcement history, the Commission, reiterating its Franchise NPR **statement** quoted immediately above, states its intent that expense information not be included in the part...require franchisors to register their advertisements in advance of their use. E.g., Cal. Corp. Code Sec. 31156 (1997) (franchisor must register advertising at least three business days before first publication); Md. Code Ann., Bus. Reg. Sec. 14-225 (1998) (franchisor must register advertising at least seven business...the large franchisee exemption, we recognize that franchisors may establish

subsidiaries for limited liability or tax purposes. In such instances, the operations of the franchisor and its subsidiaries are likely to...

...is no different from a novice when it comes to entering into a type of business with which he or she is unfamiliar.

136

It is precisely in such circumstances that...Report, at 37-41.

-----

Another commenter voiced concern over the Commission's policy that a business relationship will be deemed a franchise ``if it is offered or represented as having the...offers to grant a franchise.'' E.g., Mich. Comp. Laws. 445.1502(5); Wash. Rev. Code 19.100.010(8).

172

See Lewis, NPR 15, at 11 (suggesting that the definition...includes a person's handwritten signature, as well as a person's use of security codes, passwords, electronic signatures, and

...This approach is consistent with current industry practice. See, e.g., <http://www.msaworldwide.com/index.cfm/franchise/calendar> [5]

(2006). But see JandG, at 2 (noting that this approach is...Consulting Group, Inc., Bus. Franchise Guide (CCH) ] 11335 (N.D. Ill. 1998) (claims regarding medical billing expertise and contacts with medical community are material); FTC v. Richard L. Levinger, No. 94...

...s disclosure

document to assess those claims before purchasing a franchise. For example, a franchisor **statement** in Item 19 that it does not authorize the making of financial performance claims should...

...the broker were to make his or her own performance claims. Similarly, a franchisor's **statement** in Item 3 that it has been sued by franchisees would dispel any claim by...See NASAA Comparison, at 8; UFOC Guidelines, Item 6, Instructions vi. As noted throughout this **Statement**, a primary objective in revising this Rule is to align it more closely with the...in Item 6 would cover employee wages, uniform dry cleaning, or accountant fees to prepare taxes). Several commenters recommended that Item 6 be limited to ongoing payment made to the franchisor...this could be misleading without more detailed earnings information, such as in an earnings claim **statement**.

449

Indeed, one commenter argued persuasively that the Franchise NPR's proposal could create a...by

stating . . .'' Footnote 5 added: ``Take figures from the franchisor's recent annual audited financial **statement** . . . If audited statements are not yet required, or if the entity deriving the income is...

...Item 9 if the franchisor provides prospective franchisees with a detailed table of contents or **index** to its franchise agreement.

463

Similarly, another franchisor representative suggested that the Item 9 disclosures...11.

-----  
Section 436.5(k) requires franchisors to begin their Item 11 disclosure with the **statement**, in bold type, that ``[e]xcept as listed below, [the franchisor] is not required to...Commission has authorized staff to file a number of advocacy comments recommending against proposed state **bills** that would have unduly limited manufacturers in managing their distribution systems, such as by requiring...

2/3,K/23 (Item 1 from file: 991) **Links**

NewsRoom 2006

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1265090333 17F22S6W

**Securities etc: Executive and director compensation etc.; disclosure requirements**

RegAlert

Friday, September 8, 2006

**Journal Code:** GDGC **Language:** English **Record Type:** Fulltext

**Document Type:** Trade Journal **ISSN:** N/A

**Word Count:** 125,981

...that has a personal aspect (without regard to whether it may be provided for some **business** reason or for the convenience of the company)? If so, is it generally available on...not limited to: club memberships not used exclusively for business entertainment purposes, personal financial or **tax** advice, personal travel using vehicles owned or leased by the company, personal travel otherwise financed...

...the Proposing Release, the amount attributed to perquisites and other personal benefits for federal income **tax** purposes is not the incremental cost for purposes of our disclosure rules unless, independently of the **tax** characterization, it constitutes such incremental cost. Therefore, for example, the cost



of aircraft travel attributed to an executive for federal income tax purposes is not generally the incremental cost of such a perquisite or personal benefit for...

...21(g) [26 CFR 1.61-21(g)] regarding Internal Revenue Service guidelines for imputing **taxable** personal income to an employee who travels for personal reasons on corporate aircraft. These complex...rule.

-----  
``Gross-ups'' or other amounts reimbursed during the fiscal year for the payment of **taxes**;

220  
and

-----  
220  
Item 402(c)(2)(ix)(B).

-----  
For any security of the company...purposes, the ``date of grant'' or ``grant date'' is the grant date determined for financial **statement** reporting purposes pursuant to FAS 123R.

251  
Finally, in combining the proposed tables, we have...Act ``by reason of such employee being among the  
4  
highest compensated officers for the **taxable** year,'' as stated in Internal Revenue **Code** Section 162(m)(3)(B) [26 U.S.C. 162(m)(3)(B)]. See letter...

...additional individuals not fall within the purview of Section 162(m) of the Internal Revenue **Code**).

-----  
Request for Comment

We request additional comment on the proposal to require compensation disclosure for...in the table, each computed as of the same pension plan measurement date for financial **statement** reporting purposes with respect to the audited financial statements for the company's last completed...

...a  
named executive officer participates.  
299

For purposes of allocating the current accrued benefit between **tax** qualified defined benefit plans and related supplemental plans, a company will apply the applicable Internal Revenue **Code** limitations in effect as of the pension plan measurement date.

300  
At the suggestion of...other plan that provides for the deferral of compensation on a basis that is not **tax**-qualified. These plans may be a

significant element of retirement and post-termination compensation.  
Prior...

...preferential portion of  
earnings on compensation that is deferred on a basis that is not **tax**  
qualified. To provide investors with disclosure of the full amount of  
nonqualified deferred compensation accounts...

...noted that the title proposed--Nonqualified Defined  
Contribution and Other Deferred Compensation Plans--suggested that  
**tax**  
qualified plans that provide for deferral of compensation, such as  
Section 401(k) plans, would...

...modify the title to clarify that the  
table covers only deferred compensation that is not **tax**-qualified,  
and  
we have also shortened the title consistent with our amendments  
regarding the Pension...and  
similar agreements, and provisions regarding waiver of breach of these  
agreements, and disclosure of **tax** gross-up payments.

A company will be required to provide quantitative disclosure under  
these requirements...

...to Item 402(j). These  
would be the assumptions applied under Financial Accounting  
Standards Board **Statement** of Financial Accounting Standards No. 106,  
Employer's Accounting for Postretirement Benefits Other Than  
Pensions...amendment to permit companies to reduce the detailed  
executive compensation information provided in the proxy **statement**  
by instead furnishing that information in the Form 10-K. We did not  
act upon...

...All perquisites and other personal benefits if the total  
is \$10,000 or greater;

All **tax** reimbursements;

For any security of the company or its subsidiaries  
purchased from the company or...to be performed in whole or part at  
or after the filing of the registration **statement** or report, or were  
entered into not more than two years before the filing. Item...Form 8-K  
amendments in 2004, it was  
customary for a company's annual proxy **statement** to be the primary  
vehicle for disclosure of executive and director compensation  
information. However, Item...

...that are much more  
frequent and accelerated than those included in a company's proxy  
**statement**. In addition, particularly because of the terms of Item  
601(b)(10), Item 1.01...

...of compensation events that  
should be disclosed, if at all, in a company's proxy **statement** for

its  
annual meeting or as an exhibit to the company's next periodic report...  
description in the company's most recent annual report on Form 10-K  
or proxy **statement**.

---

With respect to the principal executive officer, the  
principal financial officer, or persons falling within...a) or 15(d) during  
the 12 month period prior to filing of the  
registration **statement**.

391

For the same reasons, when adopting the  
expanded Form 8-K rules in 2004...a more  
appropriate materiality analysis. Instead, Item 404(a) as amended  
consists of a general **statement** of the principle for disclosure,  
followed by specific disclosure requirements and instructions. The  
instructions to...Act.

416

---

416

However, if the disclosure is being incorporated by  
reference into a registration **statement** on Form S-4, the additional  
two years of disclosure will not be required, as...or her immediate family  
members; and

If disclosure were provided in a proxy or information  
**statement** relating to the election of directors, any nominee for  
director and the immediate family members...

...applies to nominees for director if disclosure is being  
provided in a proxy or information **statement** involving the election  
of directors. Also, as proposed, ongoing disclosure is not required  
regarding nominees...period  
during which such person did not hold any such position or  
relationship, provided a **statement** to that effect is made.' We have  
amended Note C to Schedule 14A as proposed...or potential problems,

452

disclosure under  
paragraph (a) of Item 404 may consist of a **statement**, if correct,  
that  
the loans to such persons satisfied the following conditions:

---

450

Instruction 4...procedures regarding related person  
transactions under paragraph (b) of Item 404, as amended.

---

465

Del. Code Ann. tit. 8, Sec. 144 (2004). See also NYSE,  
Inc. Listed Company Manual Section 307...

...In addition, as proposed our revisions add Form SB-2  
to the list of registration **statement** forms in Item 404 for which

promoter disclosure is required. While this revision updates the registration **statement** forms listed in Item 404, it does not change the promoter disclosure requirement of Form...are not include the definitions as an appendix to the company's proxy or information **statement** at least once every three years or if the policies have been materially amended since...

...s Web site, or included as an appendix to the company's proxy or information **statement**, the company must disclose in which of the prior fiscal years the policies were included in the company's proxy or information **statement**.

-----

484

Item 407(a)(2).

-----

In addition, the amendments require, for each director or director...

...if the person no longer serves as director at the time of filing the registration **statement** or report or, if the information is in a proxy **statement**, if the director's term of office as a director will not continue after the... English requirement,

537

and some commenters suggested extending the plain English requirements to the proxy **statement** as a whole and to other Commission filings.

538

-----

537

See, e.g., letters from...incorporated by reference into an Exchange Act report from a company's proxy or information **statement**, the disclosure is required to be in plain English in the proxy or information **statement**.

540

The plain English rules are part of the disclosure rules applicable to filings required...

...therefore we are not at this time extending plain English requirements to the entire proxy **statement** or to other Commission filings.

-----

540

See, e.g., General Instruction G(3) to Form...

...10-KSB (specifying information that may be incorporated by reference from a proxy or information **statement** in an annual report on Form 10-K or 10-KSB).

-----

We believe that several...information statements covering registered investment companies, compliance is required for any new proxy or information **statement** filed on or after December 15, 2006.

546

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546

The amendments to the cross-references...

...particular registrant's Forms N-CSR that are filed after the filing of any proxy

**statement** that includes a response to new Item 407(c)(2)(iv) of Regulation S-K...

...initial filing will contain compensation disclosure regarding 2005 following the prior rules. If the registration **statement** does not become effective until after the Item 402 disclosure must be updated, then an...the

required disclosure into the Form 10-K by reference to the proxy or information **statement** if the company is subject to the proxy rules. This approach takes into account the...

...of the Compensation Discussion and Analysis in the Form 10-K or proxy or information **statement**;

New tables and narrative disclosure regarding retirement plans and nonqualified defined contribution and other deferred...s charter (if it has one), either as an appendix to the proxy or information **statement** at least once every three fiscal years or on the company's Web site. These...

...to a substantial extent, as discussed above, by the periodic reporting and proxy or information **statement** requirements for Compensation Discussion and Analysis, the new Compensation Committee Report and a narrative disclosure...

...only be required to be included or incorporated by reference from the proxy or information **statement** in the annual report on Form 10-K. We estimate that, on balance, the changes...

...to proxy or information statements will result in some incremental burdens on proxy or information **statement** collections of information, as described in more detail below.

The amendments will increase existing disclosure...

2/3,K/24 (Item 2 from file: 991) [Links](#)

NewsRoom 2006

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1242519305 17CP0LV8

**ROYAL DUTCH SHELL PLC - Second quarter unaudited results**

PR Newswire Europe (inc. UK Disclose)

Thursday, July 27, 2006

Journal Code: DIIA Language: English Record Type: Fulltext

Document Type: Newswire

Word Count: 9,396

...higher than a year ago,  
mainly reflecting strong oil and gas price realisations and income  
tax credits, partly offset by lower volumes and higher costs. Production  
for second quarter 2006 was...included charges in Corporate and in other  
segments including minority interests, the effects of Canadian tax rate changes on deferred  
tax and the restructuring of employee retirement plans in France as detailed below and  
as summarised...

...Production second quarter 2006 earnings included a combined  
net income of \$304 million including Canadian tax revisions and  
income related to the mark-to-market valuation of certain UK gas contracts...  
included net charges of \$65 million related to French employee retirement plans and the Canadian  
tax rate change.

\* Chemicals second quarter 2006 earnings included net charges of \$30  
million related to French employee retirement plans and the Canadian tax  
rate change.

\* In connection with the putative shareholder class actions filed in the  
United States...

...the matter (see note 10). The provision is included in the Corporate segment.

\* The Canadian tax revisions also resulted in an additional income  
attributable to Minority interests in the second quarter...year ago  
(\$2,745 million), mainly reflecting strong oil and gas price  
realisations and income tax credits, partly offset by lower volumes  
and higher costs.

Second quarter 2006 earnings included a combined net income of \$304 million  
including Canadian tax revisions and income related to the  
mark-to-market valuation of certain UK gas contracts...

...rate of ramp up to full

production.

In the UK, enactment of the announced UK **tax** increases that take effect from January 1, 2006, has been delayed to the third quarter deferred **tax** revaluations) in the third quarter 2006. Additionally some \$100 million to

\$150 million earnings impact...See 1 (thousand b/d)

6,475

7,461 See 1

1. Certain contracts are **classified** as held for trading purposes and reported

net rather than gross with effect from Q3...

...employee retirement plans in France, partially offset by the impact of a reduction in deferred **taxes** in Canada

arising from reduced **tax** rates. Earnings in the second quarter of 2005 were

\$2,028 million. Higher earnings due...

...ago. Retail

earnings declined due to margin compression as a result of higher product cost.

B2B earnings increased mainly due to increased margins for marine, aviation

fuels and bitumen. Lubricants earnings...

...of 2005, reflecting supply constraints and including the impact of divestments

(1.3%) and rationalised B2B volumes (0.5%).

Portfolio developments:

Motiva Enterprises (Shell share 50%) continued progress towards a consideration...employee retirement plans in France

partially offset by the impact of a reduction in deferred **taxes** in Canada

arising from reduced **tax** rates. This compares to \$280 million for the second

quarter of 2005, which included some...this section. Readers should not place undue

reliance on forward-looking statements. Each forward-looking **statement** speaks

only as of the date of this Report. Neither Royal Dutch Shell nor any of its

subsidiaries undertake any obligation to publicly update or revise any forward-looking **statement** as a result of new information, future events or

other information. In light of these...800-SEC-0330.

July 27,

2006

Appendix 1: Royal Dutch Shell financial report and tables

**Statement** of income (see note 1)

QUARTERS

\$ million

SIX MONTHS

Q2 Q1 Q2

2006 2006 2005 12,392 12,326 10,056 +23 Income before **taxation**

24,718 21,350 +16

4,865	5,310	4,595	Taxation	10,175	
8,8691. Revenue is stated after deducting sales taxes, excise duties and similar levies of \$17,984 million in Q2 2006, \$16,709 million...144)					
(73)	112	(6)	Currency exchange gains/(losses)	39	(46)
(410)	118	(130)	Other - including taxation	(292)	
(137...	19,083	18,153	18,679		
3,401			financial assets	3,912	3,929
2,961			Deferred tax	2,259	2,393
2,320	LIABILITIES		Prepaid pension costs	3,143	2,742
			Non-current liabilities:		
7,905			Debt	8,472	7,347
11,061	12,807		Deferred tax	12,007	
6,239...	5,185	5,479	Retirement benefit obligations	6,271	5,926
52,678			Accounts payable and accrued liabilities	63,701	62,350
11,047	10,789		Taxes payable	10,525	
			Retirement benefit obligations	285	289
			Other provisions	285	300
flows (see notes 1 and 8)					
QUARTERS			\$ million	SIX MONTHS	
Q2	Q1	Q2...			
...	the period		14,543	12,267	
4,763	5,015	5,086	Adjustment for:		
9,397			Current taxation	9,778	
121	232	204	Interest (income)/expense	353	364
			Depreciation, depletion and...		
...	from equity				
1,556	1,060	1,515	accounted investments	2,616	
2,507			Deferred taxation and other provisions	1,481	
903	578	(142)	Other	(18)	57
(534)					
489	(507)	(246)			
Cash flow from operating activities					
13,378	12,219	11,823	(pre-tax)		
25,597	23,690...				



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1207095913 179G2XP8

**REVISORY RENAMING BOB AND DCCA**

LegAlert

Friday, May 19, 2006

**Journal Code:** GDGD **Language:** English **Record Type:** Fulltext

**Document Type:** Trade Journal **ISSN:** N/A

**Word Count:** 172,098

...Act

unless authorized by law to make rules or regulations.

(f) "Rule" means each agency **statement** of general applicability that implements, applies, interprets or prescribes law or policy, but does not...6-03.)

Section 210. The Department of Natural Resources (Conservation) Law of the Civil Administrative Code of Illinois is amended by changing Section 805-435 as follows:

(20 ILCS 805/805...amend and repeal rules, regulations and bylaws governing the Board's organization and conduct of **business**.

(9) To authorize the expenditure of monies from the Coal Technology Development Assistance Fund...with the procedures provided for the exercise of eminent domain under Article VII of the Code of Civil Procedure, as amended, provided, however, the power of condemnation shall be exercised solely...

...by "An Act to authorize public corporations to issue bonds, other evidences of indebtedness and tax anticipation warrants subject to interest rate limitations set forth therein", approved May 26, 1970, as...the delinquency is remedied.

(7) Individual port district project applications shall include the following:

(a) **Statement** of purpose. A description of the project shall be submitted along with the project's...

...development cost estimate and general site and or facility drawings.

(d) Proposed loan amount. A **statement** as to the amount proposed from the Program and the port district's intentions as...request to applicants.

(f) On an annual basis, the community-based

organization  
shall furnish a **statement** to the Department of  
Commerce and  
[Added: Economic Opportunity] [Deleted: Community  
Affairs] on the programmatic and  
financial status of any approved project and an  
audited  
financial **statement** of the project.  
(Source: P.A. 85-633; revised 12-6-03.)  
Section 450. The...

...costs  
incurred subsequent to the funding.  
(b) Reimbursement Estimation and Appropriation  
Procedure.  
(1) When a **bill** is introduced in the General  
Assembly,  
the Legislative Reference Bureau, hereafter  
referred to as  
the Bureau, shall determine whether such **bill**  
may require  
reimbursement to local governments pursuant to  
this Act.  
The Bureau shall make such...

...the determination required by this  
subsection (b) the Bureau shall disregard any  
provision in  
a **bill** which would make inoperative the  
reimbursement  
requirements of Section 6 above, including an  
express  
exclusion...

...of this Act, and  
shall make  
the determination irrespective of any such  
provision.  
(2) Any **bill** or amended **bill** which creates or  
expands a  
State mandate shall be subject to the  
provisions of "An Act  
requiring fiscal notes in relation to certain  
**bills**",  
approved June 4, 1965, as amended. The fiscal  
notes for  
such **bills** or amended **bills** shall include  
estimates of the  
costs to local government and the costs of any  
reimbursement required under this Act. In the  
case of **bills**  
having a potential fiscal impact on units of  
local

government, the fiscal note shall be prepared by the Department. In the case of bills having a potential fiscal impact on school districts, the fiscal note shall be prepared by the State Superintendent of Education. In the case of bills having a potential fiscal impact on community college districts, the fiscal note shall be prepared by the Illinois Community College Board. Such fiscal note shall accompany the bill that requires State reimbursement and shall be prepared prior to any final action on such a bill by the assigned committee. However, if a fiscal note is not filed by the appropriate agency within 30 days of introduction of a bill, the bill can be heard in committee and advanced to the order of second reading. The bill shall then remain on second reading until a fiscal note is filed. A bill discharged from committee shall also remain on second reading until a fiscal note is provided...

...shall include the amount estimated to be required during the first fiscal year of a bill's operation in order to reimburse local governments pursuant to Section 6, for costs mandated by such bill. In the event that the effective date of such a bill is not the first day of the fiscal year the estimate shall also include the...

...be provided as follows: (i) any statute mandating such costs shall have a companion appropriation bill, and (ii) any executive order mandating such costs shall be accompanied by a bill to appropriate the funds therefor,

or, alternatively an appropriation for such funds shall be included...

...appropriations for such costs shall be included in the Governor's budget or supplemental appropriation bills.

(c) Reimbursement Application and Disbursement Procedure.

(1) For the initial fiscal year during which reimbursement...of such cost, and appropriations for such costs shall be included in a supplemental appropriation bill.

(2) A local government may also appeal directly to the State Mandates Board of Review...

...share of income under Sections 702 and 704 and

subchapter S of the Internal Revenue Code. The Department, in cooperation with the Department of Commerce and [Added: Economic ]

[Added: Opportunity] [Deleted...members of the stockholder's family (as defined in

Section 318 of the Internal Revenue Code) own directly,

indirectly, beneficially, or constructively, in the

aggregate, at least 50% of the value...

...party to the corporation under the attribution rules of

Section 318 of the Internal Revenue Code, if the Taxpayer

owns directly, indirectly, beneficially, or constructively

at least 50% of the value...

...party to the corporation under the attribution rules of

Section 318 of the Internal Revenue Code, if the

corporation and all such related parties own in the

aggregate at least 50...

...is

attribution of

stock ownership in accordance with Section 1563(e) of the

Internal Revenue Code, except, for purposes of

determining  
whether a person is a Related Member under this  
paragraph Revenue Code.

"Taxpayer" means an individual, corporation,  
partnership,  
or other entity that has any Illinois Income  
Tax...motor vehicles or  
trailers involved in  
that transaction to the Department on the same  
uniform  
invoice-transaction reporting return form. For  
purposes of  
this Section, "watercraft" means a Class 2,  
Class...

...registered with an  
agency of this State, shall be the same  
document as the Uniform  
Invoice referred to in Section 5-402 of the  
Illinois Vehicle  
Code and must show the name and address of the  
seller; the name  
and address of...

...sold; such other  
information as  
is required in Section 5-402 of the Illinois  
Vehicle Code, and  
such other information as the Department may  
reasonably  
require.

The transaction reporting return in...1.75% discount  
provided for in this Section being allowed.

When the user pays  
the tax directly to the Department, he shall  
pay the tax in the  
same amount and in the same form in which it  
would be remitted  
if the tax had been remitted to the Department  
by the retailer.

Where a retailer collects the tax with respect  
to the  
selling price of tangible personal property

which he sells and  
the...

...selling  
price thereof to  
the purchaser, such retailer shall also refund,  
to the  
purchaser, the tax so collected from the  
purchaser. When filing

his return for the period in which he refunds such **tax** to the purchaser, the retailer may deduct the amount of the **tax** so refunded by him to the purchaser from any other use **tax** which such retailer may be required to pay or remit to the Department, as shown by such return, if the amount of the **tax** to be deducted was previously remitted to the Department by such retailer. If the retailer has not previously remitted the amount of such **tax** to the Department, he is entitled to no deduction under this Act upon refunding such **tax** to the purchaser. Any retailer filing a return under this Section shall also include (for the purpose of paying **tax** thereon) the total **tax** covered by such return upon the selling price of tangible personal property purchased by him at retail from a retailer, but as to which the **tax** imposed by this Act was not collected from the retailer filing such return, and such retailer shall remit the amount of such **tax** to the Department when filing such return. If experience indicates such action to be practicable...

...enable retailers, who are required to file returns hereunder and also under the Retailers' Occupation **Tax** Act, to furnish all the return information required by both Acts on the one form...

...January 1, 1990, each month the Department shall pay into the State and Local Sales **Tax** Reform Fund, a special fund in the State Treasury which is hereby created, the net revenue realized for the preceding month from the 1% **tax** on

sales of food for human consumption which is to be consumed off the premises...

...January 1, 1990, each month the Department shall pay into the State and Local Sales **Tax** Reform Fund, a special fund in the State Treasury, 20% of the net revenue realized 2000, each month the Department shall pay into the State and Local Sales **Tax** Reform Fund 100% of the net revenue realized for the preceding month from the 1...

...gasohol. Beginning January 1, 1990, each month the Department shall pay into the Local Government **Tax** Fund 16% of the net revenue realized for the preceding month from the 6.25...

...be paid into the Build Illinois Fund pursuant to Section 3 of the Retailers' Occupation **Tax** Act, Section 9 of the Use **Tax** Act, Section 9 of the Service Use **Tax** Act, and Section 9 of the Service Occupation **Tax** Act, such Acts being hereinafter called the "**Tax** Acts" and such aggregate of 2.2% or 3.8%, as the case may be, of moneys being hereinafter called the "**Tax** Act Amount", and (2) the amount transferred to the Build Illinois Fund from the State and Local Sales

**Tax** Reform Fund shall be less than the Annual Specified Amount (as defined in Section 3 of the Retailers' Occupation **Tax** Act), an amount equal to the difference shall be immediately paid into the Build Illinois Fund from other moneys received by the Department pursuant to the **Tax** Acts; and further provided, that if on the last business day of any month the sum of (1) the **Tax** Act Amount

required to be deposited into the Build  
Illinois Bond Account  
in the Build...

...transferred during such month to the Build  
Illinois Fund from  
the State and Local Sales Tax Reform Fund shall  
have been less  
than 1/12 of the Annual Specified Amount, an...

...into  
the Build  
Illinois Fund from other moneys received by the  
Department  
pursuant to the Tax Acts; and, further  
provided, that in no  
event shall the payments required under the  
preceding...

...this clause (b) for any fiscal year  
in excess of  
the greater of (i) the Tax Act Amount or (ii)  
the Annual  
Specified Amount for such fiscal year; and,  
further provided...

2/3,K/26 (Item 4 from file: 991) Links

NewsRoom 2006

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1199518801 178Z0LCJ

#### ROYAL DUTCH SHELL PLC - 1st Quarter Results

PR Newswire Europe (inc. UK Disclose)

Thursday, May 4, 2006

**Journal Code:** DIIA **Language:** English **Record Type:** Fulltext

**Document Type:** Newswire

**Word Count:** 7,485

...18,153	16,905	18,763			
financial assets			3,929	3,672	3,704
Deferred tax			2,393	2,562	2,775
Prepaid pension costs			2,742	2,486	2,250...
LIABILITIES					
Non-current liabilities:					
Debt			7,347	7,578	8,000
Deferred tax			11,061	10,763	
12,625					
Retirement benefit obligations			5,926	5,807	6,358...



5,338	5,718		
Accounts payable and accrued liabilities	62,350	69,013	45,820
Taxes payable	11,047	8,782	
11,228			
Retirement benefit obligations	289	282	308
Other provisions	Summarised statement of cash flows (see notes 1 and 7)		
	\$ million		
	Q1	Q4	Q1
	2006	2005	2005...
...OPERATING ACTIVITIES:			
Income for the period	7,016	4,603	6,806
Adjustment for:			
Current taxation			
5,015 4,490 4,311			
Interest (income)/expense	232	148	160
Depreciation, depletion and...			
...389) (1,359)			
Dividends received from equity accounted investments	1,060	1,441	992
Deferred taxation and other provisions	578	(869)	(392)
Other	(507)	833	303
from operating activities (pre-tax)	12,219	15,129	Cash flow
11,867...			
...Taxation paid	(4,395)	(6,664)	
(3,187) Q1 on Q1 change			
2 Certain contracts are classified as held for trading purposes and reported net rather than gross with effect from Q3...			

2/3,K/27 (Item 1 from file: 992) [Links](#)

NewsRoom 2005

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1101016940 172U0JKC

#### ROYAL DUTCH SHELL PLC - 3rd Quarter Results

PR Newswire Europe (inc. UK Disclose)

Thursday, October 27, 2005

Journal Code: DIIA Language: English Record Type: Fulltext

Document Type: Newswire

Word Count: 9,264

2/3,K/28 (Item 1 from file: 993) [Links](#)

NewsRoom 2004

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0923040140 16RQ176C

**Securities: Securities offerings reform; registration communications and offering processes; modification**

RegAlert

Wednesday, November 17, 2004

**Journal Code:** GDGC **Language:** English **Record Type:** Fulltext

**Document Type:** Trade Journal

**Word Count:** 116,399

**Text:**

...meeting the conditions of Rule 433 to be a Section 10(b) prospectus without having line item disclosure requirements or otherwise requiring that the free writing prospectus contain any particular information, other...

...beyond information the substance of which is contained in the prospectus included in the registration statement. We believe that exempting free writing prospectuses meeting the conditions of the proposed rule from...192

In addition, the legend also would advise investors that they can obtain the registration statement including the prospectus and any incorporated Exchange Act documents for free through the Commission's...

...disclaimers of responsibility or liability that would be impermissible in a statutory prospectus or registration statement also would be impermissible in free writing prospectuses. Examples of impermissible legends or disclaimers that...

...to read or acknowledge that they have read any disclaimers or legends or the registration statement; and

Language indicating that the communication is neither a prospectus nor an offer to sell...

...included in a free writing prospectus in a prospectus filed as part of a registration statement would not, solely by virtue of inclusion of the information in a free writing prospectus, be considered an omission of material information required to be included in the registration statement.  
197

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197  
The general anti-fraud provisions would of course apply to free writing prospectuses...issuer information could be made public through the prospectus filed as part of a registration statement, the issuer's filing

obligation for free writing prospectuses, or, in the case of reporting...

...a registered securities offering that is of the type excluded from the Regulation:

A registration **statement** filed under the Securities Act, including a prospectus contained therein;

A free writing prospectus used after filing of the registration **statement** for the offering and satisfying the requirements of proposed Rule 433, or to a communication...

...An oral communication made in connection with the registered offering after filing of the registration **statement** for the offering under the Securities Act.

The proposals also would narrow the types of...ability of dealers to publish research on non-reporting issuers following effectiveness of the registration **statement**. Are there reasons to discourage publication of research by non-participating dealers in the aftermarket...of material facts or omissions of material facts required to be included in a registration **statement**

or necessary to make the statements in the registration **statement** not

misleading at the time the registration **statement** became effective. Under Section 12(a)(2), sellers have liability to purchasers for offers or sales by means of a prospectus or oral communication that includes an untrue **statement** of material fact or omits to state a material fact

that makes the statements made to obtain money or property by means of any untrue **statement** of a material fact or any omission to state a material fact necessary in order...

...of the circumstances under which they were made, not misleading.

239

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238

Whether any particular **statement** or omission is material will depend on the particular facts and circumstances. Information is material...

...followed the Radiation Dynamics decision). Also, as indicated in note 244, below, the Uniform Commercial Code no longer requires that a securities contract be in writing.

241

For example, in a...

...supplement not later than the second business day after a takedown from a shelf registration statement.

-----  
We interpret Section 12(a)(2) and Section 17(a)(2) as reflecting a core...

...those sections based on statements made in offers.

244

Article 8 of the Uniform Commercial Code was amended in 1994 to eliminate the requirement that a contract for the purchase ...not affect that determination.

246

Such information could include information in the issuer's registration statement and prospectuses for the offering in question, the issuer's Exchange Act reports incorporated by...

...Section 17(a)(2) for the statements to which the section applies, are that the statement cannot contain any misstatement of a material fact or omit to state a material fact...

...they were made, not misleading. Liability under Section 17(a)(2) attaches to an untrue statement of a material fact or an omission to state a material fact necessary to make...

...these requirements.

Under our interpretation, the liability determination as to an oral communication, prospectus, or statement, as the case may be, would not take into account information conveyed only after the...

...the

information that must be contained in the prospectus filed as part of the registration statement. As today, the final prospectus would have

to contain information necessary to satisfy a line item requirement or

Securities Act Rule 408 and to meet the requirements of Securities Act Section...

...time of sale (including the time of the contract of sale), whether a prospectus, oral statement, or a statement,

250

includes an untrue statement of material fact or omits to state a material fact necessary in order to make...sale will not be taken into account.

-----

250

These would include a prospectus or oral **statement** in the case of Section 12(a)(2), or a **statement** to which Section 17(a)(2) is applicable.

251

Or, in the case of Section...

...contained in a prospectus

supplement or Exchange Act filing incorporated by reference into a registration **statement** may modify or supersede other previously disclosed information that was contained in a document incorporated or deemed to be incorporated by reference in that registration **statement**.

We are proposing to revise Rule 412 to make it consistent with our other proposals...

...provide that:

Subsequently provided information deemed part of or incorporated by reference into a registration **statement** or prospectus would not modify or supersede any information conveyed to an investor at the...

...in a document that is deemed part of or incorporated by reference into a registration **statement** or prospectus would modify or supersede the information contained in the registration **statement** or prospectus itself.

252

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252

See discussion in Section V.B.1 below under ``Date...

...be included? Should it include only information that is included in the issuer's registration **statement** including Exchange Act documents that are incorporated by reference? Should it include free writing prospectuses...reference or prospectus supplements deemed part of registration statements to update information in the registration **statement** and prospectus, would it be clear to investors what information in the prospectus either directly...

...issuers

with greater ability than they have today to update information in the filed registration **statement** and prospectus in a timely manner?

2. Relationship of Interpretation and Proposed Rule to Section...

...contract of

sale will be considered to be part of and included in a registration **statement** for purposes of liability under Section 11 at the time of effectiveness, which may be...

...sale.

253

The date and time that the information is deemed part of

the registration **statement** preserves an investor's rights under Section 11, but does not affect any rights assessed...

...might enforce under Section 17(a). Thus, information that is deemed part of the registration **statement** as of the time of the contract of sale for shelf takedowns or as of...

...of the contract of sale that is not in or deemed part of the registration **statement** at the time of the effectiveness of the registration **statement** for the securities sold to the investor.

-----

253

Whether the time of sale occurs on the same date as the effective date of a registration **statement** would depend on the type of registered offering the issuer is undertaking. For example, for...

...to be registered on a delayed basis under Rule 415, the prospectus in the registration **statement** must contain all required information, other than that permitted to be omitted pursuant to Rule 430A. For these non-shelf offerings, the effective date of the registration **statement** would be on or before the sale date, but the registration **statement** at the effective date would be deemed, as today, to contain information that was not actually contained in the prospectus or registration **statement** at the date of effectiveness, but is included in the filed final prospectus under Rule...

...our proposed amendment regarding the treatment of prospectus supplements, the effective date of the registration **statement** for liability purposes would be the earlier of the date of first use of certain...

...V.B.1. below under  
``Proposed Rule 430B.``

254

Individual offerings under a shelf registration **statement** are sometimes referred to as a ``takedown off the shelf``.

255

An investor could also...

...12(a)(2) for information contained in the issuer's prospectus included in its registration **statement**. Therefore, as part of our proposals regarding Section 12(a)(2), we are proposing a...  
...in a registered offering of transactions by selling security holders only.

An issuer's registration

statement relating to the offering and any preliminary prospectus or prospectus supplement relating to the offering...made on a continuous or delayed basis in the future, Provided, That:

(1) The registration **statement** pertains only to:

(i) Securities which are to be offered or sold solely by or...using a prospectus that is complete at the time of effectiveness of the related registration **statement** and therefore may not require a supplement, because there may be no additional information to...

...effective amendment. In that case, the new form of prospectus included in the amended registration **statement** is then complete at the new effective date and therefore also does not require a...

...terms also vary in different offerings, require that the prospectus included in the related registration **statement** at the time of effectiveness, usually referred to as a ``base prospectus,'' be supplemented to...

...and F-

3.

262

Under proposed Rule 430B, a base prospectus in a shelf registration **statement** could continue to omit information that is unknown or not reasonably available to the registrant...

...as provided in the Rule would be a permitted prospectus.

264

Thus, after a registration **statement** is filed, offering participants could use a base prospectus that omitted information in accordance with...described below, through its Exchange Act filings that were incorporated by reference into the registration **statement** and prospectus, and identified on the cover page of a prospectus supplement. Currently, information included...

...Act periodic report that is incorporated into a base prospectus is included in the registration **statement**. Proposed Rule 430B would make clear that prospectus supplements and information in them also would be deemed to be part of and included in the registration **statement**.

267

266

See Securities Act Section 5(b)(2).

267

In the 1998 proposals, we...

...is currently the case by means other than a post-effective amendment to the registration **statement**.

268

We are proposing to amend Forms S-3 and F-3 to permit all...

...proposals, prospectus supplements would be deemed to be part of and included in the registration **statement**.

269

268

Issuers would still have the flexibility to file post-effective amendments to include...

...of the holders of those restricted securities at the time of filing the resale registration **statement** may not be known to the issuer. Filing post-effective amendments to add new or...

...of selling security holders to include the names of selling security holders in the registration **statement** either prior to effectiveness or through a post-effective amendment to the registration **statement**, with limited exceptions for the identities of security holders owning a de minimis amount of...

...required by Item 507 of Regulation S-K,

271

could be added to the registration **statement** covering the resale of their securities after effectiveness by either an amendment to that registration **statement** or a prospectus supplement which, under our proposals, would be part of the registration **statement** for which for liability purposes there would be a new effective date tied to the...  
...today, the information would be part of and included in the prospectus in the registration **statement**. This ability to identify security holders after effectiveness would be available under the proposals only if:

271

Item 507 of Regulation S-K [17 CFR 229.507].

The resale registration **statement** identified the specific private transaction or transactions pursuant to which the securities



were sold; and

The private transaction was completed and the securities that were the subject of the registration **statement** were issued in the private transaction and outstanding prior to initial filing of the resale registration **statement**.

We believe that it is important for issuers to be able to satisfy their contractual...

...security holders and the securities to be sold by them are identified in the registration **statement**. The purpose of the proposed changes is to provide a more convenient method to identify...

...these selling security holders under the federal securities laws.

The proposals would require the registration **statement** to specify the particular private transaction in which the securities covered by the registration **statement**, on behalf of the to-be-named selling security holders, were acquired. The securities covered by the registration **statement** would have to be issued and outstanding and the private offering in which the securities were sold completed under Securities Act Rule 152

272  
before the resale registration **statement** could be filed. Our proposed changes could not be used to offer or sell securities...

...the resale of these securities, but must identify the selling security holders in the registration **statement** prior to effectiveness. In this case, the issuer would know the identities of the selling...

...from the issuer and would therefore be required to identify them in the resale registration **statement** prior to filing.

273

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273

See proposed Rule 430B. The proposals regarding automatic shelf registration....

...Will the conditions allowing the inclusion of the selling security holder information after the registration **statement** is effective enable issuers to satisfy their contractual obligations to the selling security holders?

Are...

...by prospectus supplement? If yes, explain why with specificity.

ii. Information Deemed Part of Registration **Statement**

We are proposing provisions in Rule 430B that will make clear that information contained in...

...filed in connection with a takedown or otherwise, will be deemed part of the registration **statement** containing the base prospectus to which the prospectus supplement relates. We also are proposing a...  
...the filing of those prospectus supplements would not trigger new effective dates of the registration **statement**.

---

iii. Date of Inclusion of Prospectus Supplements in Registration Statements and New Effective Dates of...

...Rule 430C would deem information contained in prospectus supplements to be included in the registration **statement** as follows:

For a prospectus supplement filed other than in connection with a takedown (pursuant...

...applicable, all information contained in that prospectus supplement would be deemed part of the registration **statement** as of the date the prospectus supplement is first used;

276

and

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276

We have in that prospectus supplement would be deemed part of the registration **statement** as of the earlier of the date it is first used or the date and...

...These new provisions would determine when a prospectus supplement is deemed part of the registration **statement** for Securities Act Section 11 purposes. They would not affect the determination of when information...

...first use as the appropriate date for it to be deemed part of the registration **statement** because that is the date on which the prospectus supplement updates the information in the registration **statement**.

278

Second, a prospectus supplement filed in connection with a takedown would be part of the registration **statement** the earlier of when it is first used or, to provide that the date for...

...information may change between the date the prospectus supplement is deemed part of the registration **statement** and the time of the contract of sale (if later) of securities to a purchaser...

...sale of the securities, there were material misstatements or materials omissions such that the registration statement was misleading.

-----

Proposed Rule 430B also would establish a new effective date for a shelf registration statement for liability purposes for a takedown or takedowns.

280

That new effective date would be...

...filed in connection with the takedown or takedowns was deemed part of the relevant registration statement. The new effective date would not, however, be considered the filing of a new registration statement for purposes of Form eligibility.

281

Such determination would remain, as today, to be made at the time of the Section 10(a)(3) update to the registration statement. As proposed, the new effective date would be for liability purposes only, would not, by...

...filing of additional consents of experts, and would not constitute an updating of the registration statement and prospectus for purposes of Securities Act Section 10(a)(3).

282

For example, a...

...not require consents to be filed or be considered the filing of a new registration statement.

-----

280

We are also proposing to amend Rule 158 to include conforming changes to the...

...a takedown would not, under our proposals, affect the information that was in the registration statement at the time of any prior sale. We are revising Securities Act Rule 412 to...

...of, or in an Exchange Act report that is incorporated by reference into, a registration statement or prospectus as of a new effective date for a takedown of securities would not modify or supersede any information that was contained in that registration statement or the prospectus for purposes of an earlier effective date with respect to a prior takedown of securities off that registration statement. Thus, the rights of an investor in a prior sale (with a previous effective date...

...prospectus supplements, used in shelf offerings would in their entirety be part of the registration

statement, as we believe was contemplated by and within the intent of the Securities Act. These...

...the time that liability is assessed. For example, in an offering from a shelf registration statement, an issuer could have its liability assessed as of the date of the registration statement's original effectiveness or the most recent ...issuer's Exchange Act reports incorporated by reference into the prospectus included in the registration statement after the Section 10(a)(3) update while issuers would not. We believe that the Securities Act contemplates that as a general matter, the date of effectiveness of a registration statement for an offering and the date on which an underwriter becomes an underwriter would be...

...as a result of proposals that would deem the prospectus supplement part of the registration statement and trigger new effective dates if the prospectus supplement relates to a takedown off a shelf registration statement? If so, how?

Would the ability to include information in an Exchange Act report that...

...amount that are intended to be offered or sold within two years from the registration statement effective date.

285

The two-year requirement was designed to ensure that the issuer had...

...could only be used for three years after the initial effective date of the registration statement.

287

Under this proposal, new shelf registration statements would have to be filed every three years, with unsold securities and unused fees carried forward to the new registration statement.

288

Continuous offerings begun prior to the end of the three years could continue on the old registration statement until the effective date of the new registration statement, at which point the continuous offerings could continue on the new registration statement. We believe that, especially with our liberalization of procedures for shelf registration, particularly automatic shelf...

...the period be longer, such as five years?

(B) Immediate Takedowns From a Shelf Registration Statement Filed Under

Rule 415(a)(1)(x)

We are proposing to amend Securities Act Rule...

...S-3 or Form F-3 to occur promptly after effectiveness of a shelf registration **statement**.

290

With respect to immediate offerings from an effective registration **statement**, our rules currently

permit omission of information from the prospectus at the time of effectiveness...offering of equity

securities without requiring identification of an

[[Page 67431]]

underwriter in its registration **statement**

295

and without a volume limitation.

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293

17 CFR 230.415(a)(4).

294

See...

...sheets) must be filed at the same time as other prospectus supplements for shelf registration **statement** takedowns. We do not believe that prospectus supplements used by issuers relying on Rule 434...

...conforming revisions to the issuer undertakings that are required in connection with a shelf registration **statement**. These revisions would reflect the issuer's agreement regarding the inclusion of information contained in prospectus supplements in registration statements and new effective dates of the registration **statement**.

(A) Treatment of Information in Prospectus Supplements

Item 512(a) of Regulation S-K currently requires an issuer to undertake to file a post-effective amendment to a registration **statement** to:

Include in the registration **statement** any prospectus required by Securities Act Section 10(a)(3);

Reflect in a prospectus included in the registration **statement** any facts or events arising after the effective date of the registration **statement** (or the most recent post-effective amendment thereto) which, individually or in the aggregate, represent a fundamental change in the information set forth in the registration **statement**; and

Include in a prospectus included in the registration **statement** any material information with respect to the plan of distribution not previously disclosed in the registration **statement** or

any material change in such information in the registration statement.

297

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297

In addition, Item 512(a)(4) contains a provision under which foreign private incorporated by reference into the registration statement. We are proposing to revise the Item 512(a) undertaking to clarify that for shelf...

...be contained in any filed prospectus supplement deemed part of and included in a registration statement or any Exchange Act report that an issuer files that is incorporated by reference into the registration statement, instead of only in periodic reports. This would permit an issuer to use an incorporated...

...that consent may be filed by post-effective amendment to Part II of the registration statement only or by filing of an Exchange Act report, such as an annual report on...

...report on Form 8-K or Form 6-K, incorporated by reference into the registration statement.

299

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298

For automatic shelf registration statements, this provision would not apply. See discussion in...

...in addition to those permitted today? If so, are there other disclosure and other registration statement requirements that should similarly be permitted to be satisfied through the incorporation by reference of...

...we modify it to continue to require financial statements to be included in a registration statement within the required time?

[[Page 67432]]

(B) Prospectus Supplements Deemed Part of a Registration Statement and New Effective Dates

To reflect the issuer's understanding of and agreement to the...

...with a takedown,

301

would be deemed part of and included in the relevant

registration **statement** as of the date of its first use and that a prospectus supplement filed in connection with a takedown would be deemed part of and included in the relevant registration **statement** as of the earlier of the date it is first used after effectiveness or the...

...be deemed for purposes of liability to be a new effective date of the registration **statement** relating to the securities to which the prospectus supplement relates, and the offering of such...

...they have liability for information that is included in or deemed part of the registration **statement**, that the liability of the issuer and other offering participants would be assessed as of...

...that a prospectus supplement would be deemed part of and included in the relevant registration **statement** as of the date of its first use, similar to the undertaking we are proposing...related entities. Whether information regarding the subsidiary would have to be included in the registration **statement** would depend, as today, on whether the subsidiary met the eligibility conditions of Rule 3...

...enable the issuer, as with other shelf registrants, to takedown securities off the shelf registration **statement** from time to time.  
305

-----  
305  
As with other delayed shelf registration statements, the issuer...

...registration or offering its securities when it offers securities in a takedown off its registration **statement**. See e.g., the 2000 Electronics Release at note 62.

-----  
For well-known seasoned issuers...

...issuers to use the automatic shelf registration process and to have a Securities Act registration **statement** become automatically effective so that sales in a rights offer can take place immediately after...

...of securities and eligible majority-owned subsidiaries as additional registrants after an automatic shelf registration **statement** is effective. They would also be able to freely accommodate both primary and secondary offerings...

...delay or other obstacles imposed by the registration process.

Issuers using an automatic shelf registration **statement** would be permitted to pay filing fees in advance or on a ``pay-as-you-go'' basis at the time of each takedown off the shelf registration **statement** in an amount calculated for that takedown. The proposals would permit more information to be excluded from the base prospectus in an automatic shelf registration **statement** than from a regular shelf registration **statement**. The omitted information would then be included at or before the time of filing a...

...prospectus to structure transactions.

b. Automatic Shelf Registration Mechanics

i. Eligibility

The automatic shelf registration **statement** could be used for all primary and secondary offerings of securities of eligible well-known... issuers would also be permitted to be included on the parent's automatic shelf registration **statement**.

-----  
As proposed, an issuer could file an automatic shelf registration **statement** if it met the eligibility criteria on the initial filing date and would reassess its...

...3).

309

If an issuer were no longer eligible to use an automatic shelf registration **statement** at the time of its Section 10(a)(3) update, it would have to either post-effectively amend its registration **statement** onto the form it was then eligible to use or file a new registration **statement** on such a form. Any offerings that were ongoing at that time, such as registered conversions of outstanding convertible securities, could continue on the automatic shelf registration **statement** until a post-effective amendment or new registration that was filed in a timely manner...

...Section 10(a)(3) update, but that retained its eligibility to file a shelf registration **statement** under Rule 415

on Form S-3, could file a post-effective amendment or a new registration **statement** on Form S-3 that designated an amount of securities to be registered and otherwise...

...310

To be considered timely for this purpose, the post-effective amendment or new registration

**statement** would have to be filed within the period established by Securities Act Section



10(a...

...owned subsidiaries of well-known seasoned issuers could be included on the automatic shelf registration **statement** if the subsidiary satisfied the conditions for being considered a well-known seasoned issuer described above.

311

Under automatic shelf registration, as proposed, a registration **statement** could be amended by post-effective amendment to add an eligible subsidiary as an issuer...

...issuers are followed by analysts and investors in the market.

ii. Information in a Registration **Statement**

(A) Information That May Be Omitted From the Base Prospectus

Our proposals would allow automatic shelf issuers to omit more information from the base prospectus in an automatic shelf registration **statement** than is the case currently or than would be the case in a regular shelf offering registration **statement**. A base prospectus included in an automatic shelf registration **statement** could, as today, omit information pursuant to Securities Act Rule 409

313

that was unknown...

...to broaden the means by which issuers may include information in an automatic shelf registration **statement** would benefit both issuers and investors. Our proposals would provide issuers with automatic shelf registration...

...to a prospectus generally by means other than a post-effective amendment to the registration **statement**.

316

As we discuss above, we [[Page 67434]] are proposing to amend Forms S-3...

...prospectus supplement that would be deemed to be part of and included in the registration **statement**.

317

Examples of the types of information that could be added in this manner for... exceptions to this approach would be that an issuer desiring to add to the registration **statement** new types of securities

318

or new eligible issuers, including guarantors, and the securities they...

...issuer for purposes of Securities Act Section 11 for the securities

covered by the registration **statement**. Information about the newly added subsidiary would be required in the amended registration **statement**, either in a prospectus that was part of the registration **statement** or through incorporation by reference, unless the subsidiary was exempt from reporting pursuant to Exchange...

...could be incorporated by reference from the new issuer's Exchange Act reports or registration **statement**, or be included in a prospectus supplement or a post-effective amendment. A new effective...

...11 liability purposes would also occur at the time of a takedown off the registration **statement**, which would include that information.

320

See Securities Act Section 6 [15 U.S.C...

...of Securities to be Offered

An eligible issuer may register on an automatic shelf registration **statement** an unspecified amount of securities to be offered, without indicating whether the securities would be...

...3

and Form F-3. The calculation of registration fee table in the initial registration **statement** also would not need to include a dollar amount or specific number of securities, but...

...Instructions to  
the Calculation of Registration Fee Table.

-----  
The base prospectus in the initial registration **statement** would identify and describe, to the extent the information was available at that time, the...

...x) and thus must be separately registered or designated prior to effectiveness of the registration **statement**. Issuers cannot currently offer and sell securities of selling security holders using an unallocated shelf registration **statement**.

-----  
We propose to remove the current restriction that would prevent well-known seasoned issuers from adding classes of securities to an automatic shelf registration **statement** after effectiveness.

323

Under the proposals, a well-known seasoned issuer could add new classes of securities or securities of an eligible subsidiary to an automatic shelf registration **statement** at any time before the sale of those securities. In order to add new classes...

...of

securities of the new class of security.

324

This requirement would make the registration **statement** cover each new class of securities to be offered. An issuer could provide the disclosure...

...in, or in an Exchange

Act report that was incorporated by reference into the registration **statement**.

325

-----

323

See proposed amendments to Securities Act Rule 413.

324

If an issuer using...

...effectiveness to add a class of debt securities or guarantees of securities to its registration **statement**, in addition to filing a post-effective amendment to the registration **statement** to register the class of debt securities or guarantees, it also would need to qualify...

...the position that the indenture covering the securities to be sold pursuant to a registration **statement** must be qualified when that registration **statement** becomes effective and not at the time of any post-effective amendment to that registration **statement**. See Division of Corporation Finance letter to Donald P. Spencer (available September 24, 1982). This...

...issuer must register an offering of additional securities through the use of a separate registration **statement**. In the automatic shelf registration process we propose today, however, an issuer would be permitted to add securities to a shelf registration **statement** by means of a post-effective amendment. As such, unlike in the existing registration **statement** process, the effectiveness of an automatic shelf registration post-effective amendment that adds securities to a shelf registration **statement** would be the time when registration becomes effective as to such securit(ies), as that...

...satisfied in the following manner: (1) For debt securities or guarantees included in the registration **statement** at original effectiveness, the trust indenture would be required to be included in the registration **statement** at the time that registration **statement** became effective; and (2) for debt securities or guarantees added to the registration **statement** through a post-effective amendment, the trust indenture would be required to be included in the registration **statement** at the time that post-effective amendment became effective.

325

This disclosure would become part of the registration **statement** regardless of the method chosen to provide it.

-----

(D) Pay-as-You-Go Registration Fees...

...would pay a small initial filing fee at the time of filing the initial registration **statement**.

326

The triggering event for a required fee payment under our proposals would be a takedown off a shelf registration **statement**

For each takedown, the issuer could file a prospectus supplement for the takedown that would...

...be applied against the fees payable in connection with the first takedown off the registration **statement**.

Because an issuer also would have the ability to pay any filing fee in advance...

...on the timing of the necessary filings and the content of the automatic shelf registration **statement** (including, as we have described, amendments, incorporated documents and prospectus supplements). Securities Act Section 5...

...Securities Act Section 5, any securities offered and sold off an effective automatic shelf registration **statement** would be deemed to satisfy the requirements of Securities Act Section 5(c) if the registration **statement**, or any amendment thereto, included that class of securities prior to the offer and sale. If the class of securities was included on the registration **statement**, the amendment, incorporated Exchange Act document or prospectus supplement reflecting the transaction and the fee...

...Thus, Securities Act Section 5(a) would be deemed satisfied if the automatic shelf registration **statement** included the class of securities sold and the filing fee was timely paid. If, however...

...proposing to amend Securities Act Rule 401(g) to provide that an automatic shelf registration **statement** would be deemed to be filed on the proper form unless we notified the issuer...

...not proceed with subsequent offerings (those offerings not in progress), unless it amended the registration **statement** to the proper form, or otherwise resolved ...were to

notify an issuer that it was ineligible to use an automatic shelf registration **statement**, securities sold prior to our notification would not have been sold in violation of Section...

...the 1998 proposals, we proposed to eliminate the presumption that an effective Securities Act registration **statement** is on the appropriate form. Many commenters opposed that proposal due to concerns about liability...

...3 or Form F-3, once notified by us, would have to amend the registration **statement** to reflect that it is not an automatic shelf registration **statement**. Pending effectiveness of the post-effective amendment or a new registration **statement**, conversions could continue.

329

See, e.g., comment letters in File No. S7-30-98...

...and Co, Inc.; SIA; and William J. Williams, Jr.

-----  
Automatic effectiveness of automatic shelf registration **statement**

would not, we believe, raise investor protection concerns. As with shelf registration statements today, most...

...Act reports. Such shelf registration statements permit issuers to sell securities off the shelf registration **statement** without previous staff review of each offering.

330

With automatic effectiveness of the automatic shelf...

...has raised on the issuer's Exchange Act filings before filing the automatic shelf registration **statement** or at the time of its

Section 10(a)(3) update to such registration **statement**. Our 1998 proposals would have disqualified an issuer from short-form registration if the issuer...

...a well-known seasoned issuer did not want automatic effectiveness of its automatic shelf registration

**statement**, should they still be able to use the automatic shelf registration **statement** process?

Should we permit well-known seasoned issuers to elect to include a delaying amendment...

...of registered securities offering.

-----  
333

-----  
(G) Duration

An automatic shelf registration **statement** would become effective automatically and would cover an unspecified amount of securities. The open-ended...

...shelf registration statements every three years that would, in effect, restate their then-current registration **statement** and amend it, as they deem appropriate. Under our proposals, issuers would be prohibited from issuing securities off an automatic shelf registration **statement** that is more than three years old. Our proposals provide, however, that, so long as eligibility for automatic shelf registration is maintained, the new registration **statement** would be effective immediately and would carry forward the securities registered and any fee paid on the old registration **statement**. As a result, an issuer's securities offerings under the registration **statement** would be uninterrupted.

334  
-----

334

We are proposing a similar three-year requirement for non...

...same as non-automatic shelf registration statements and require that a new automatic shelf registration **statement** be filed every three years? If so, is three years appropriate or should we increase...

...336

See discussion in Section V.B.1 above under ``Information Deemed Part of Registration **Statement**.''

-----  
b. Proposed Amendments to Form S-1 and Form F-1--Expanded Use of Incorporation...

...S-3, under the proposal, to be current, at the time of filing the registration **statement**, the issuer must have filed all materials required to be filed pursuant to Exchange Act...

...site. Today, all information must be included directly in the prospectus included in the registration **statement**. By conditioning the ability to incorporate by reference on the ready accessibility of an issuer...

...been able to obtain the information if it was set forth directly in the registration **statement**.

ii. Proposed Procedural Requirements

As proposed, the prospectus in the registration **statement** at effectiveness would identify all Exchange Act reports and documents, such as proxy and information...reference of Exchange Act reports and documents not identified in and filed after the

registration **statement** was effective--known as ``forward incorporation.'' Under the proposals, an issuer eligible to incorporate by reference its Exchange Act reports and other documents into its Securities Act registration **statement** would list the incorporated reports and documents, state that it would provide copies of any...

...to each investor in a registered offering.

343

After the effective date of a registration **statement**, a written communication that offers a security for sale or confirms the sale of a...

...of any security; except that

\* \* \* a communication provided after the effective date of the registration **statement** \* \* \* shall not be deemed a prospectus if it is proved that prior to or at...of Securities Act Section 10(a) is filed with us as

part of the registration **statement** by the

[[Page 67439]]

required Rule 424 prospectus filing date.

356

-----  
354

See proposed Rule...

...the final prospectus, a notice providing that the sale was made pursuant to a registration **statement** or a final prospectus pursuant to a registration **statement**.

The proposed Rule also would provide that an investor could request a final prospectus. Under...

...a). See discussion in

Section V.B.1 above under ``Information Deemed Part of Registration **Statement**.''

-----  
We propose to exempt compliance with proposed Rule 173 from being a condition to the...allow written confirmations and notices of allocation to be sent

after effectiveness of a registration **statement** without being accompanied or preceded by a final prospectus.

362

The exemption would

be conditioned on the registration **statement** being effective and the final prospectus meeting the requirements of Securities Act Section 10(a...at least initially for Form S-8

transactions. See Effective Date of Amendments to Registration **Statement** and Possible Expansion of Definitional Rule, Release No. 33-5768 (Nov. 22, 1976) [41 FR...

...of public

interest and input at the time. See Effective Date of Amendments to

Registration **Statement** and Expansion of Definition Rule, Release No. 33-5978 (Sep. 18, 1978) [43 FR 43725...

...trading on an exchange or through any trading facility registered with us; and  
The registration **statement** relating to the offering is effective and not the subject of a stop order issued...

...dealers are required to deliver a final prospectus for a specified period after a registration **statement** becomes effective to persons who buy the securities in the aftermarket.

370

Securities Act Rule...

...released from the escrow or trust account, as the case may be. Where a registration **statement** relates to offerings to be made from time to time, Rule 174 provides that there...40 days or 90 days after the later date of the effectiveness of the registration **statement** or the first bona fide offer of the security. The 90-day period applies to...

...would be the same type of Item 503 disclosure as in a Securities Act registration **statement**, other than information about a particular securities offering. We also are proposing that the risk...rather than boilerplate, risk factor disclosure?

-----

379

See the 2003 MDandA Release note 33; Commission **Statement** About Management's Discussion and Analysis of Financial Condition and Results of Operations, Release No...

...issuers would not be subject to the possibility that effectiveness of a Securities Act registration **statement** could be delayed while comments are resolved. In addition, all shelf eligible issuers would have...would all be filed on Form 8-K and incorporated by reference into the registration **statement**, regardless of who prepared the materials.

-----

383

See note 31 of the Asset-Backed Securities...

...prospectuses by non-reporting issuers, including ABS issuers using Form S-1, if a registration **statement** containing a ...free writing prospectuses in offerings registered on Form S-3 on filing of a registration **statement** containing a statutory prospectus complying with our requirements, but not on actual delivery of that...

...underwriter's free writing prospectus unless it was already filed or



part of a registration **statement** or previously filed free writing prospectus or issuer information. In addition, as is the case...

...like any free writing prospectus, would not be automatically incorporated by reference into the registration **statement** under today's proposals.

385

Whether filed or not, all free writing prospectuses would be...

...filing a report on Form 8-K that is incorporated by reference) into a registration **statement** and prospectus.

-----  
Today's proposal would also address some of the concerns that were expressed...

2/3,K/29 (Item 1 from file: 994) [Links](#)  
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0694512792 169F0EHR  
**S-1/A: AMIS HOLDINGS INC**

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**Journal Code: BDFB Language: English Record Type: Fulltext**  
**Document Type: Newswire**  
**Word Count: 53,512**

...levels by customers and excess production capacity. We cannot predict when or to what extent **business** conditions will improve in the future.

During industry downturns or otherwise, we may need to...carve-out financial statements do not include any allocation of Alcatel corporate overhead, interest or **taxes** because Alcatel management believed these charges could not be allocated to MSB on a reasonable...

2/3,K/30 (Item 2 from file: 994) [Links](#)  
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0685015451 168U0H2U  
**424B4: MARTEN TRANSPORT LTD**

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Friday , August 15, 2003

**Journal Code:** BDFB **Language:** English **Record Type:** Fulltext  
**Document Type:** Newswire  
**Word Count:** 40,537

...assimilating the acquired company's operations, the diversion of our management's attention from other **business** concerns, risks of entering into markets in which we have had no or only limited...Income before income **taxes** 12,624 13,864...

...Provision for income **taxes** 5,050 5,407...

2/3,K/31 (Item 1 from file: 996) Links

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**Building a Business Rule System: Part 1** The ideal payback of a business rules methodology is that it enables the discovery of key intellectual process flow, and allows for more spontaneous business change

von Halle, Barbara

DM REVIEW, v 11, n 1, p 38-43

Monday, January 1, 2001

**Journal Code:** ANMW **Language:** ENGLISH **Record Type:** Fulltext

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**Word Count:** 3,428

...If a customer is

high-risk, then  
notify the  
customer  
services  
manager.

Computation

A complete **statement**  
that provides an  
algorithm for arriving

The total-amount-  
due for an order  
is computed...

...where such algorithms

item amount(s) for  
may include sum,  
difference, product,  
quotient, count,  
maximum, minimum,  
average.

the order plus  
**tax**.

Inference

A complete **statement**  
that tests conditions  
and, upon finding them

\* If a customer  
has no  
outstanding

true, establishes the  
truth of a new fact.

invoices, then  
customer is of  
preferred  
status.

\* If a customer is...

?